

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization International Bureau



(43) International Publication Date  
26 May 2005 (26.05.2005)

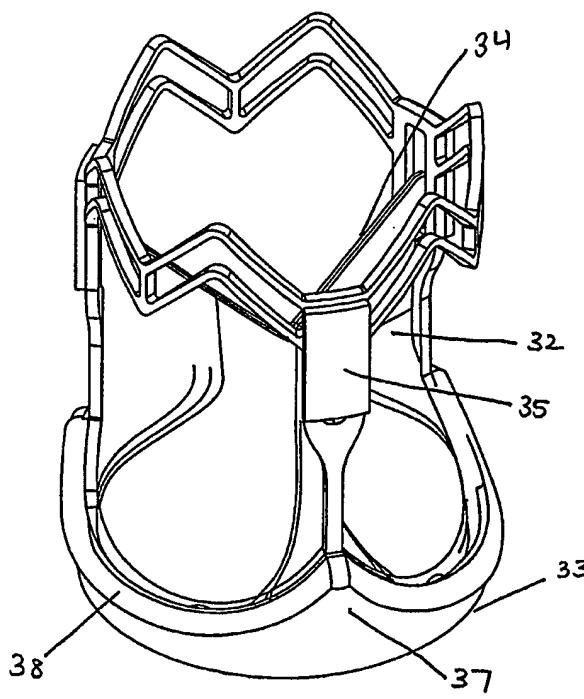
PCT

(10) International Publication Number  
**WO 2005/046528 A1**

(51) International Patent Classification <sup>7</sup> :	<b>A61F 2/24, 2/76</b>	10/680,070	6 October 2003 (06.10.2003)	US
(21) International Application Number:	PCT/US2004/033026	60/529,242	12 December 2003 (12.12.2003)	US
(22) International Filing Date:	6 October 2004 (06.10.2004)			
(25) Filing Language:	English			
(26) Publication Language:	English			
(30) Priority Data:				
10/680,075	6 October 2003 (06.10.2003)	US		
10/680,733	6 October 2003 (06.10.2003)	US		
10/680,716	6 October 2003 (06.10.2003)	US		
10/680,717	6 October 2003 (06.10.2003)	US		
10/680,728	6 October 2003 (06.10.2003)	US		
10/680,732	6 October 2003 (06.10.2003)	US		
10/680,719	6 October 2003 (06.10.2003)	US		
10/680,567	6 October 2003 (06.10.2003)	US		
10/680,560	6 October 2003 (06.10.2003)	US		
10/680,068	6 October 2003 (06.10.2003)	US		
10/680,071	6 October 2003 (06.10.2003)	US		
10/680,069	6 October 2003 (06.10.2003)	US		
10/680,562	6 October 2003 (06.10.2003)	US		
			(71) Applicant (for all designated States except US): 3F THERAPEUTICS, INC. [US/US]; 20412 James Bay Circle, Lake Forest, CA 92630 (US).	
			(72) Inventors; and	
			(75) Inventors/Applicants (for US only): MYERS, Keith, E. [US/US]; 25291 Dayton Drive, Lake Forest, CA 92630 (US). NGUYEN, Tuor, Tan [US/US]; 39 Linhaven, Irvine, CA 92602 (US). ARTOF, Jason [US/US]; 317 Yorktown, Huntington Beach, CA 92648 (US). CALI, Douglas, S. [US/US]; 25702 Morales, Mission Viejo, CA 92691 (US). BIANCUCCI, Brian [US/US]; 21192 Cedar Lane, Mission Viejo, CA 92691 (US). SVANIDZE, Oleg [GE/US]; 24991 Main Ct., Laguna Hills, CA 92653 (US). BERGHEIM, Bjarne [NO/US]; 27722 Deputy Circle, Laguna Hills, CA 92653 (US).	
			(74) Agent: KIM, Michelle, C.; Day Jones, 555 West Fifth Street, Suite 4600, Los Angeles, CA 90013-1025 (US).	
			(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM,	

*[Continued on next page]*

(54) Title: MINIMALLY INVASIVE VALVE REPLACEMENT SYSTEM



(57) **Abstract:** Methods and systems for minimally invasive replacement of a valve. The system includes a collapsible valve and anchoring structure (20 + 22 + 23) devices and methods for expanding the valve anchoring structure, adhesive means (401) to seal the valve to the surrounding tissue, a catheter-based valve sizing and delivery system, native valve removal means (603, 611, 620), a temporary valve (700) and filter assembly to facilitate removal of debris material, imaging and replacement valve delivery system, and imaging and valvuloplasty system. The valve assembly comprises a valve (32) and anchoring structure (20 + 22 + 23) for the valve, dimensioned to fit substantially within the valve sinus.

WO 2005/046528 A1



AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) **Designated States (unless otherwise indicated, for every kind of regional protection available):** ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI,

FR, GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

**Published:**

- *with international search report*
- *before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments*

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

DESCRIPTIONMinimally Invasive Valve Replacement SystemField of the Invention

5 The present invention relates to devices and systems for the replacement of physiological valves.

Background of the Invention

10 The transport of vital fluids in the human body is largely regulated by valves. Physiological valves are designed to prevent the backflow of bodily fluids, such as blood, lymph, urine, bile, etc., thereby keeping the body's fluid dynamics unidirectional for proper homeostasis. For example, venous valves maintain the upward flow of blood, particularly from the lower extremities, back toward the heart, while lymphatic valves prevent the backflow of lymph within the lymph vessels, particularly those of the limbs.

15 Because of their common function, valves share certain anatomical features despite variations in relative size. The cardiac valves are among the largest valves in the body with diameters that may exceed 30 mm, while valves of the smaller veins may have diameters no larger than a fraction of a millimeter. Regardless of their size, however, many physiological valves are situated in specialized anatomical structures known as sinuses. Valve sinuses can be 20 described as dilations or bulges in the vessel wall that houses the valve. The geometry of the sinus has a function in the operation and fluid dynamics of the valve. One function is to guide fluid flow so as to create eddy currents that prevent the valve leaflets from adhering to the wall of the vessel at the peak of flow velocity, such as during systole. Another function of the sinus geometry is to 25 generate currents that facilitate the precise closing of the leaflets at the beginning of backflow pressure. The sinus geometry is also important in reducing the stress exerted by differential fluid flow pressure on the valve leaflets or cusps as they open and close.

30 Thus, for example, the eddy currents occurring within the sinuses of Valsalva in the natural aortic root have been shown to be important in creating smooth, gradual and gentle closure of the aortic valve at the end of systole. Blood is permitted to travel along the curved contour of the sinus and onto the valve leaflets to effect their closure, thereby reducing the pressure that would otherwise be exerted by direct fluid flow onto the valve leaflets. The sinuses of Valsalva also

contain the coronary ostia, which are outflow openings of the arteries that feed the heart muscle. When valve sinuses contain such outflow openings, they serve the additional purpose of providing blood flow to such vessels throughout the cardiac cycle.

5 When valves exhibit abnormal anatomy and function as a result of valve disease or injury, the unidirectional flow of the physiological fluid they are designed to regulate is disrupted, resulting in increased hydrostatic pressure. For example, venous valvular dysfunction leads to blood flowing back and pooling in the lower legs, resulting in pain, swelling and edema, changes in skin color, and  
10 skin ulcerations that can be extremely difficult to treat. Lymphatic valve insufficiency can result in lymphedema with tissue fibrosis and gross distention of the affected body part. Cardiac valvular disease may lead to pulmonary hypertension and edema, atrial fibrillation, and right heart failure in the case of mitral and tricuspid valve stenosis; or pulmonary congestion, left ventricular  
15 contractile impairment and congestive heart failure in the case of mitral regurgitation and aortic stenosis. Regardless of their etiology, all valvular diseases result in either stenosis, in which the valve does not open properly, impeding fluid flow across it and causing a rise in fluid pressure, or insufficiency/regurgitation, in which the valve does not close properly and the fluid  
20 leaks back across the valve, creating backflow. Some valves are afflicted with both stenosis and insufficiency, in which case the valve neither opens fully nor closes completely.

Because of the potential severity of the clinical consequences of valve disease, valve replacement surgery is becoming a widely used medical  
25 procedure, described and illustrated in numerous books and articles. When replacement of a valve is necessary, the diseased or abnormal valve is typically cut out and replaced with either a mechanical or tissue valve. A conventional heart valve replacement surgery involves accessing the heart in a patient's thoracic cavity through a longitudinal incision in the chest. For example, a median  
30 sternotomy requires cutting through the sternum and forcing the two opposite halves of the rib cage to be spread apart, allowing access to the thoracic cavity and the heart within. The patient is then placed on cardiopulmonary bypass, which involves stopping the heart to permit access to the internal chambers. Such open heart surgery is particularly invasive and involves a lengthy and difficult  
35 recovery period. Reducing or eliminating the time a patient spends in surgery is thus a goal of foremost clinical priority.

One strategy for reducing the time spent in surgery is to eliminate or reduce the need for suturing a replacement valve into position. Toward this end, valve assemblies that allow implantation with minimal or no sutures would be greatly advantageous. Furthermore, while devices have been developed for the 5 endovascular implantation of replacement valves, including collapsing, delivering, and then expanding the valve, such devices do not configure the valve in a manner that takes advantage of the natural compartments formed by the valve sinuses for optimal fluid dynamics and valve performance. In addition, to the extent that such devices employ a support structure in conjunction with a tissue 10 valve, such valve constructs are configured such that the tissue leaflets of the support valve come into contact with the support structure, either during the collapsed or expanded state, or both. Such contact is capable of contributing undesired stress on the valve leaflet. Moreover, such support structures are not configured to properly support a tissue valve having a scalloped inflow annulus 15 such as that disclosed in the U.S. patent application serial number 09/772,526 which is incorporated by reference herein in its entirety.

Accordingly, there is a need for a valve replacement system comprising a collapsible and expandable valve assembly that is capable of being secured into position with minimal or no suturing; facilitating an anatomically optimal position of 20 the valve; maintaining an open pathway for other vessel openings of vessels that may be located in the valvular sinuses; and minimizing or reducing stress to the tissue valve leaflets. The valves of the present invention may comprise a plurality of joined leaflets with a corresponding number of commissural tabs. Generally, however, the desired valve will contain two to four leaflets and commissural tabs. 25 Examples of other suitable valves are disclosed in U.S. Patent Applications 09/772,526, 09/853,463, 09/924,970, 10/121,208, 10/122,035, 10/153,286, 10/153,290, the disclosures of all of which are incorporated by reference in their entirety herein.

#### Summary of the Invention

30 The present invention provides systems and devices for the replacement of physiological valves. In one embodiment of the present invention, the replacement valve assemblies are adapted to fit substantially within the valve sinuses. Because the devices and procedures provided by the present invention eliminate or reduce the need for suturing, time spent in surgery is significantly 35 decreased, and the risks associated with surgery are minimized. Further, the devices of the present invention are suitable for delivery by cannula or catheter.

In one preferred embodiment of the present invention a valve anchoring structure is provided that is dimensioned to be placed substantially within the valve sinus. In this embodiment, the valve anchoring structure extends substantially across the length of the valve sinus region.

5 In another preferred embodiment of the present invention a valve assembly is provided, comprising a valve and anchoring structure, in which the valve comprises a body having a proximal end and a distal end, an inlet at the proximal end, and an outlet at the distal end. The inlet comprises an inflow annulus, preferably with either a scalloped or straight edge. The outlet comprises a 10 plurality of tabs that are supported by the anchoring means at the distal end. In preferred embodiments of the invention, the plurality of tabs are spaced evenly around the circumference of the valve.

15 In yet another embodiment of the present invention, a valve assembly is provided in which there is minimal or no contact between the valve and anchoring structure.

In still another embodiment of the present invention, a valve assembly is provided in which the valve is capable of achieving full opening and full closure without contacting the anchoring structure.

20 In yet another embodiment of the present invention, a valve assembly is provided in which the vertical components of the anchoring structure are limited to the commissural posts between sinus cavities, thereby minimizing contact between mechanical components and fluid, as well as providing flow to vessels located in the valve sinus.

25 In still another embodiment of the present invention, a valve is provided that firmly attaches to the valve sinus, obviating the need for suturing to secure the valve placement.

In a further embodiment of the present invention, a valve assembly is provided in which the anchoring structure may be collapsed to at least fifty percent of its maximum diameter.

30 In still a further embodiment of the present invention, an expansion and contraction device is provided to facilitate implantation of the valve and anchoring structure.

In another embodiment, the present invention provides adhesive means for securing the valve assembly in a valve sinus.

35 In yet another embodiment of the present invention, a valve sizing apparatus is provided for the noninvasive determination of native valve size.

The present invention also provides cutting means to remove the native diseased valve. One aspect of the cutting means comprises a plurality of jaw elements, each jaw element having a sharp end enabling the jaw element to cut through at least a portion of the native valve. Another aspect of the cutting means 5 comprises a plurality of electrode elements, wherein radiofrequency energy is delivered to each electrode element enabling the electrode element to cut through at least a portion of the native valve. A further aspect of the cutting means comprises a plurality of ultrasound transducer elements, wherein ultrasound energy is delivered to each transducer element enabling the transducer element 10 to cut through at least a portion of the native valve.

In yet another embodiment, the present invention provides a temporary two-way valve and distal protection filter assembly.

In a further embodiment, methods and assemblies for the expansion and placement of replacement valves are provided using an inflatable perfusion 15 balloon. The inflatable perfusion balloon permits continued blood flow therethrough even while it is in an inflated and/or fully expanded state.

In still another embodiment, methods and assemblies are provided which are suitable for use in connection with the imaging, placement and inspection of replacement valves described herein.

## 20 Description of the Figures

**Figure 1** shows an exemplary valve during operation. **Figure 1 A** shows the valve in the open position during peak flow. **Figure 1B** shows the valve in closed position to prevent backflow of the fluid across the valve.

**Figure 2** shows a preferred embodiment of the valve of the present 25 invention. This valve features commissural tabs and a scalloped inflow annulus.

**Figures 3 A, B and C** are representations of a typical valve sinus. These figures illustrate the anatomy of the sinus cavities, commissural posts, leaflets and inflow/outflow annuli.

**Figure 4** is a schematic representation of the geometry and relative 30 dimensions of the valve sinus region.

**Figure 5** shows a valve anchoring structure, in accordance with a preferred embodiment of the present invention, that is lodged inside a vessel.

**Figures 6 A and B** are schematics of a valve assembly comprising a valve and an anchoring structure in which the anchoring structure features an additional 35 cloth ring along the valve inflow edge that serves as a gasket. **Figure 6 C** shows

a valve anchoring structure according to one preferred embodiment of the present invention featuring a two-ring inflow rim.

**Figure 7** is a diagrammatic representation of a flat pattern of a preferred embodiment of an anchoring structure in the expanded state.

5 **Figure 8** is a diagrammatic representation of a flat pattern of a preferred embodiment of an anchoring structure in the compressed state.

**Figure 9** shows a flat valve leaflet of a preferred valve to which the anchoring structure dimensions can be fitted.

10 **Figure 10** illustrates the relative dimensions of a preferred embodiment of an anchoring structure of the present invention.

**Figure 11** shows a flared anchoring structure dimensioned to lodge inside the sinus cavities.

**Figure 12** shows a different view of the flared anchoring structure.

15 **Figure 13** shows a preferred embodiment of an anchoring structure lacking an outflow ring, and having support posts dimensioned to lodge in the sinus commissural posts, providing cantilevered support for the valve outflow end.

**Figure 14** shows a preferred embodiment of an anchoring structure with flared in- and outflow ends and support posts for lodging in the commissural posts with attachment windows capable of deflecting inward at back flow pressure.

20 **Figure 15 A** shows a top view of a preferred embodiment of a valve assembly comprising a valve and an anchoring structure made of elliptical segments joined together. **Figure 15 B** shows a lateral view of the preferred anchoring structure without valve.

25 **Figure 16 A** shows the valve assembly comprising a valve and elliptical segment anchoring structure in expanded form. **Figure 16 B** shows the same in compressed form

**Figure 17** shows the lodging of an elliptical anchoring structure inside the valve sinus cavities.

30 **Figure 18 A** shows how the elliptical segments of the anchoring structure may be joined by a double crimp. **Figure 18 B** shows how the valve is positioned inside the anchoring structure.

**Figure 19 A** shows a double crimp uniquely designed to flexibly join the elliptical segments. **Figure 19 B** shows a modified double crimp.

35 **Figure 20 A** shows how the elliptical segments may be assembled into the double crimp. **Figure 20 B** shows the final assembly.

**Figures 21 A - G** show different views of an elliptical segment anchoring structure further comprising cloth covering including a gasket cloth cuff at the inflow rim.

5 **Figures 22 A and B** show different views of an elliptical segment anchoring structure made from a single piece of tubing.

**Figures 23 A through D** show an elliptical segment anchoring structure in which the upper segments have been removed and the ends of the junctions are formed into prongs.

10 **Figure 24** shows a preferred valve assembly of the present invention with an anchoring structure comprising a ring incorporated into the valve inflow rim.

**Figure 25 A** shows an anchoring structure comprising two undulating rings with inverse wave patterns. **Figure 25 B** shows an anchoring structure comprising two such rings connected by vertical elements.

15 **Figure 26** shows a valve assembly comprising an anchoring structure in which the inflow ring and outflow ring are structurally unconnected.

**Figure 27 A - C** show a tubular anchoring structure.

**Figures 28 A - D** show an anchoring structure comprising an inflow ring and an outflow ring connected by vertical posts that slide across one another upon compression.

20 **Figures 29 A and B** show an anchoring structure comprising an inflow and outflow ring connected by vertical posts that join to form a single vertical element upon compression.

**Figures 30 A and B** shows an anchoring structure comprising a three-member spring aided frame.

25 **Figures 31 A and B** show a preferred embodiment of an expansion and contraction device.

**Figures 32 A and B** more particularly shows the angled wires of the device.

30 **Figure 33** shows the positioning of an anchoring structure on the expansion and contraction device.

**Figure 34** shows another preferred embodiment of an expansion and contraction device featuring a wire-spindle mechanism.

**Figure 35** shows a different perspective of the wire-spindle expansion and contraction device.

35 **Figures 36 A and B** show another preferred embodiment of an expansion and contraction device for self-expanding valve assemblies.

**Figure 37 A** shows a further preferred embodiment of an expansion and contraction device featuring a rotating plate mechanism. Figures **37 B** and **C** more particularly shows the spiral-shaped rotating plate.

5 **Figures 38 A** and **B** show the expansion and contraction device expanding an anchoring frame.

**Figure 39** shows another preferred embodiment of an expansion and contraction device featuring a groove-pin mechanism.

10 **Figure 40** shows one preferred embodiment of a valve having an outer circumferential reservoir containing a sealable fixation means for securely fixing the valve prosthesis at a desired location within a vessel or body cavity.

**Figures 41 A** and **B** show another embodiment of a valve having an outer circumferential reservoir, wherein the sealabe fixation means comprises a two component biological adhesive.

15 **Figure 42** illustrates a reservoir with thin spots adapted to rupture when the reservoir is under pressure, thereby releasing the contents of the reservoir.

**Figure 43** is a cross-sectional view of the reservoir showing the thin spots.

**Figure 44** is a cross-sectional view of a valve reservoir having two concentric component compartments.

20 **Figures 45 A** and **B** depict a minimally-invasive valve replacement sizer.

**Figure 46** is a cross-sectional view of a minimally-invasive valve replacement sizer comprising a guidewire, an intravascular ultrasound (IVUS) catheter having a transducer, and a balloon catheter, all positioned within the central lumen of the catheter.

25 **Figure 47** shows a balloon catheter comprising a balloon that circumferentially surrounds a portion of the catheter at its distal portion.

**Figure 48** shows a cross-sectional view of an inflated balloon with curves forming leaflets to enable fluid to pass.

30 **Figure 49** shows one preferred embodiment of a minimally-invasive valve replacement sizer, wherein the balloon is inflated with saline.

35 **Figure 50** shows a preferred embodiment of a minimally-invasive valve replacement sizer system, wherein the transducer emits an ultrasonic signal in a perpendicular direction to an intravascular ultrasound catheter (IVUS), which is reflected off the outer wall of the balloon and then received by the transducer and wherein the radius and diameter of the body cavity is determined by the auxiliary processor.

**Figure 51** shows an anchoring structure of the present invention having ultrasound cutting means.

**Figure 52** shows an anchoring structure of the present invention having radiofrequency cutting means.

**Figure 53** shows an anchoring structure having sharp edge cutting means.

5 **Figure 54** is a partial view of the valve assembly with cutting means on a partially inflated balloon catheter.

**Figures 55 A - C** show a temporary two-way valve for distal protection.

**Figure 56** is a perspective view of one embodiment of the balloon-expandable valve delivery system.

10 **Figures 57 A - I** is a cross-sectional view of another embodiment of the balloon-expandable valve delivery system.

**Figures 58 A - B** is a partial view of an imaging and valve delivery system.

Figure 58A shows the system in which the imaging probe provides longitudinal imaging and Figure 58B shows the system in which the imaging probe provides radial imaging.

15 **Figures 59 A - C** shows a partial view of an imaging and valve delivery system in which a single imaging probe is provided at various locations on the catheter relative to the replacement valve assembly.

20 **Figures 60 A - C** shows a partial view of an imaging and valve delivery system in which multiple imaging probes are provided at various locations on the catheter relative to the replacement valve assembly.

25 **Figures 61 A - B** shows a partial view of an imaging and valve delivery system in which the imaging probe is provided on the sleeve that maintains the replacement valve assembly in a compressed state. Figure 61A shows the system in which the imaging probe provides radial imaging and Figure 61B shows the system in which the imaging probe provides longitudinal imaging.

**Figure 62** shows a partial view of an imaging and valve delivery system in which the imaging probe is provided separately from the catheter and is movably disposed within the catheter lumen to provide a field of view at various positions relative to the replacement valve assembly.

30 **Detailed Description Of The Preferred Embodiments**

The present invention relates to valve replacement systems and devices. As illustrated in Figure 1, a valve (1) comprises a distal or outflow end (2), leaflets (3) and a proximal or inflow end (4). A typical valve functions similar to a collapsible tube in that it opens widely during systole or in response to muscular contraction, to enable unobstructed forward flow across the valvular orifice (Figure 1 A). In contrast, at the end of systole or contraction, as illustrated in Figure 1 B,

as forward flow decelerates, the walls of the tube are forced centrally between the sites of attachment to the vessel wall and the valve closes completely.

#### Replacement Valves

A preferred valve (5) for use with the systems and devices of the present invention is illustrated in Figure 2 and is comprised of a body having a proximal end or inflow ring (6) and a distal end or outflow ring (7). The body is comprised of multiple leaflets of valve tissue joined by seams (8), wherein each seam is formed by a junction of two leaflets. A commissural tab region (9) extends from each seam at the distal end of the valve body. The proximal end (6) has an inflow ring with a peripheral edge that can be scalloped or straight. The inflow ring (6) of the valve can further comprise a reinforcement structure (10) that can be stitched to it. In preferred embodiments of the invention, the inflow edge of the valve is scalloped. The valve replacement systems and devices of the present invention are not limited, however, to the specific valve illustrated in Figure 2. An important consideration in the design of valve replacement systems and devices that has received insufficient attention in previous approaches is the architecture of valve sinuses. Valve sinuses are dilations of the vessel wall that surround the natural valve leaflets. Typically, each natural valve leaflet has a separate sinus bulge or cavity that allows for maximal opening of the leaflet at peak flow without permitting contact between the leaflet and the vessel wall. Thus, for example, a two-leaflet valve is surrounded by two sinus bulges, a three-leaflet valve by three, and a four-leaflet valve by four sinus cavities. The individual sinus bulges or cavities are separated by vertical fibrous structures known as commissural posts. These commissural posts define longitudinal structures with lesser outward curvature than the sinus cavities, as can be seen in Figure 3. Figures 3 A and B illustrate the reduced curvature of the commissural posts (11) compared with the curvature of the sinus cavities (12). Figure 3 C shows a view from outside the vessel of a commissural post (11) between two sinus cavities (12), while Figure 3 A shows a cross sectional view from the top of a closed valve within a valve sinus. The areas between the bulges define the commissural posts (11) and as can be clearly seen in Figure 3 B, the commissural posts serve as the sites of attachment for the valve leaflets to the vessel wall (13).

Figures 3 B and C also show the narrowing diameter of the sinus region at both its inflow end (14) and outflow end (15) to form the inflow and outflow annuli of the sinus region. Thus, the valve sinuses form a natural compartment to support the operation of the valve by preventing contact between the leaflets and

the vessel wall, which, in turn, may lead to adherence of the leaflets and/or result in detrimental wear and tear of the leaflets. The valve sinuses are also designed to share the stress conditions imposed on the valve leaflets during closure when fluid pressure on the closed leaflets is greatest. The valve sinuses further create 5 favorable fluid dynamics through currents that soften an otherwise abrupt closure of the leaflets under conditions of high backflow pressure. Lastly, the sinuses ensure constant flow to any vessels located within the sinus cavities.

As shown in Figure 4, the valve sinus region is characterized by certain relative dimensions which remain constant regardless of the actual size of the 10 sinuses. Generally, the diameter of the sinus is at its largest at the center of the cavities or bulges (16), while there is pronounced narrowing of the sinus region at both the inflow annulus (17) and outflow annulus (18). Furthermore, the height of the sinus (19), i.e. the distance between the inflow and outflow annuli remains proportional to its overall dimensions. It is thus apparent that the sinus region 15 forms an anatomical compartment with certain constant features that are uniquely adapted to house a valve. The systems and devices of the present invention are designed to utilize these anatomical features of the native sinus region for optimal replacement valve function and position.

Accordingly, in one preferred embodiment of the present invention, the 20 replacement valve assembly comprises a collapsible and expandable anchoring structure adapted to support a valve distally along the commissural tab region and proximally along the inflow annulus. Figure 5 shows a preferred anchoring structure adapted to support a valve such as that illustrated in Figure 2. As seen in Figure 5, the preferred anchoring structure has a generally tubular configuration 25 within which the valve is secured. The valve is secured at its proximal (inflow) annulus by attachment to the inflow rim (20) of the anchoring structure and at its distal end via the commissural tabs that are threaded through the axially extending slots (21), which are formed in the support posts (22) that extend longitudinally from the inflow rim (20) to the outflow rim (23) of the anchoring 30 structure. Thus, the distal ends (24) of the support posts contact the outflow rim (23) of the anchoring structure, whereas the proximal ends (25) of the support posts contact the inflow rim (20) of the anchoring structure.

In Figure 5 the outflow rim (23) of the anchoring structure is depicted as 35 comprising a plurality of rings that extend between the support posts (22) generally at or above the axially extending slots (21) that reside therein. The plurality of rings of the outflow rim (23) are configured in an undulating or zigzag pattern forming peaks (26) and valleys (27), wherein the individual rings remain

substantially parallel to one another. The plurality of rings of the outflow rim comprise a vertical connector element (28) positioned at the center of the valleys (27) formed by the undulating or zigzag pattern. This vertical connector element (28) is designed to stabilize the anchoring structure and to prevent distortion of the 5 valve during compression and expansion of the anchoring structure comprising the valve. The vertical element (28) extends longitudinally in the axial direction of the cylindrical anchoring structure. In a preferred embodiment, the outflow rim (23) of the anchoring structure comprises two rings. In a preferred implementation of this embodiment shown in Figure 5, the inflow rim (20) of the support structure 10 comprises a single ring that extends between the support posts (22).

Both the inflow (20) and outflow (23) rims of the anchoring structure are formed with an undulating or zigzag configuration, although the inflow rim (20) may have a shorter wavelength (circumferential dimension from peak to peak) and a lesser wave height (axial dimension from peak to peak) than the outflow rim 15 (23). The wavelengths and wave heights of the inflow (20) and outflow (23) rims are selected to ensure uniform compression and expansion of the anchoring structure without distortion. The wavelength of the inflow rim (20) is further selected to support the geometry of the scalloped inflow annulus of a preferred valve of the present invention. Notably, as shown in Figure 5, the undulating or 20 zigzag pattern that forms the inflow rim (20) of the anchoring structure is configured such that the proximal ends (25) of the vertical support posts (22) are connected to the peaks (29) of the inflow rim (20). Similarly, the undulating or zigzag pattern that forms the outflow rim (23) of the anchoring structure is configured such that the distal ends (24) of the support posts (22) are connected 25 to the valleys (27) of the outflow rim (23). Locating the distal ends (24) of the support posts at the valleys (27) of the outflow rim (23) will prevent the longitudinal extension of outflow rim (23) in the direction of the valve secured within the lumen of the anchoring structure upon compression of the valve assembly, thereby eliminating any contact between valve and anchoring structure. Likewise, locating 30 the proximal ends (25) of the support posts at the peaks (29) of the inflow rim (20) will prevent longitudinal extension of the inflow rim (20) in the direction of the valve tissue. Thus, compression of the valve and anchoring structure does not lead to distortion of or injury to the valve.

Figure 5 further shows that the support posts (22) are configured generally 35 in the shape of paddle with the axial slot (21) extending internally within the blade (30) of the paddle. The blade (30) of the paddle is oriented toward the outflow rim (23) of the anchoring structure and connects to the outflow rim (23) at a valley (27)

of the undulating or zigzag pattern of the outflow rim (23). An important function of the support posts (22) is the stabilization of the valve in general, and in particular the prevention of any longitudinal extension at points of valve attachment to preclude valve stretching or distortion upon compression of the device. The blades (30) of the paddle-shaped support posts (22) are designed to accommodate the commissural tabs of the valve. The support posts (22) further comprise triangular shaped elements (31) extending on each side of the proximal end (25) of the support post. The triangular shaped elements (31) are designed to serve as attachment sites for the sewing cuff gasket and may be designed in different shapes without losing their function.

The number of support posts (22) in this preferred embodiment can range from two to four, depending on the number of commissural posts present in the valve sinus. Thus, in a preferred embodiment, the anchoring structure comprises three support posts for a three-leaflet valve with a sinus that features three natural commissural posts. The support posts (22) of the anchoring structure are configured to coincide with the natural commissural posts of the sinus.

Figures 6 A and B show the preferred embodiment of Figure 5 having a valve secured internally. The valve (32) is secured at its proximal (inflow) annulus (33) by attachment to the inflow rim (20) of the anchoring structure and at its outflow or distal end (34) via the commissural tabs (35) that are threaded through the axially extending slots (21), which are formed in the support posts (22) that extend longitudinally from the inflow rim (20) to the outflow rim (23) of the anchoring structure. Notably, as can be seen in Figures 6 A and B, in this preferred embodiment the outflow rim (23) of the anchoring structure is configured to be longitudinally displaced from the distal outflow annulus (34) of the valve leaflets (36) that reside within the lumen of the tubular anchoring structure, thereby avoiding any contact between the valve leaflets (36) and the anchoring structure.

As shown in Figures 6 A and B, the inflow rim (20) of the anchoring structure can be secured to the proximal inflow annulus (33) of the valve via a suitable fabric that may be wrapped around the circumferential juncture at the inflow end (33) and stitched into position to form a sewing cuff (37). The fabric may be made of any suitable material including but not limited to woven polyester, such as polyethylene terephthalate, polytetrafluoroethylene (PTFE), or other biocompatible material. Thus, the valve (32) is secured inside the anchoring structure by sewing a fabric ring (37) around the inflow rim (20) of the anchoring structure so as to create a sealing surface around the outer perimeter of valve's

inflow annulus (33). In a preferred embodiment, the fabric ring (37) comprises two sewing cuff rings as shown in Figures 6 A and B, with the second sewing cuff ring (38) having a larger diameter than the inflow annulus of the native valve sinus to ensure the firm lodging of the anchoring structure against the inflow annulus of the native valve sinus, thereby creating a tight, gasket-like seal.

The positioning of the valve (32) internally to the preferred anchoring structure with only the fabric of the commissural mounting tabs (35) of the valve (32) contacting the support posts (22) at the distal outflow annulus of the valve (34), while the proximal inflow annulus (33) of the valve is separated from the inflow rim (20) of the anchoring structure by the sewing cloth (37), ensures that no part of the valve (32) is contacted by the anchoring structure during operation of the valve (32), thereby eliminating wear on the valve (32) that may be occasioned by contact with mechanical elements.

In Figures 6 A, B and C the outflow rim (23) of the anchoring structure is depicted as comprising a plurality of rings that extend between the support posts (22) generally at or above the axially extending slots (21) that reside at their distal ends (24). The plurality of rings of the outflow rim (23) are configured in an undulating or zigzag pattern forming peaks (26) and valleys (27), wherein the individual rings remain substantially parallel to one another. The plurality of rings of the outflow rim comprise a vertical connector element (28) positioned at the center of the valleys (27) formed by the undulating or zigzag pattern. This vertical connector element (28) is designed to stabilize the anchoring structure and to prevent distortion of the valve during compression and expansion of the anchoring structure containing the valve within. The vertical element (28) extends longitudinally in the axial direction of the cylindrical anchoring structure. In a preferred embodiment, the outflow rim of the anchoring structure comprises two rings.

Figure 6 C shows another implementation of a preferred anchoring structure of the present invention. In contrast to the implementation shown in Figure 5, wherein the inflow rim (20) of the anchoring structure comprises a single ring that extends between the support posts (22), the implementation shown in Figure 6 C features an inflow rim (20) comprising two rings that are substantially parallel to each other and are connected by a vertical connector element (39) positioned at the center of the peaks (29) formed by the undulating or zigzag pattern. This vertical connector element (39) is designed to stabilize the anchoring structure and to prevent distortion of the valve during compression and expansion of the anchoring structure comprising the valve. The vertical element

(39) extends longitudinally in the axial direction of the cylindrical anchoring structure. Figure 6 C also shows that the distal end (24) of the support post (22) may further comprise suture bores (41) to facilitate the placement of additional sutures for the securing the valve to the anchoring structure.

5 Because the wavelengths and wave heights of the inflow (20) and outflow rims (23) are selected to ensure uniform compression and expansion of the anchoring structure without distortion, a different wavelength and height may be chosen for the inflow ring (20) of an implementation of a preferred embodiment of an anchoring structure featuring an inflow rim (20) with two substantially parallel 10 undulating rings as shown in Figure 6 C. Thus, the inflow rim (20) depicted in Figure 6 C may have substantially the same wavelength and height as the outflow rim (23). Similarly, the support posts (22) may be modified to comprise a widened proximal end (25) with an axial slot (40) extending longitudinally from the inflow rim (20) toward the distal end (24) of the support posts (22) and centrally through 15 the triangular shaped elements (31). The widening of the proximal end (25) of the support posts (22) protects the triangular shaped elements (31) from distortion by the different collapsed profile of the inflow rim (20) with larger wavelength and height and ensures that no part of the valve (32) will be contacted by the anchoring structure during compression.

20 Figures 7 and 8 show the expansion (Figure 7) and compression (Figure 8) profile of a preferred anchoring structure of the present invention. In a preferred embodiment of the present invention, the anchoring structure is collapsible to at least 50% of its expanded diameter. As shown in Figures 7 and 8, the undulating or zigzag pattern that forms the inflow rim (20) of the anchoring structure is 25 configured such that the proximal ends (25) of the vertical support posts (22) are connected to the peaks (29) of the inflow rim (20). Similarly, the undulating or zigzag pattern that forms the outflow rim (23) of the anchoring structure is configured such that the support posts (22) are connected to the valleys (27) of the outflow rim (23). Locating the distal ends (24) of the support posts (22) at the 30 valleys (27) of the outflow rim (23) will prevent the longitudinal extension of outflow rim (23) in the direction of the valve upon compression of the device, thereby eliminating any contact between valve and anchoring structure. Similarly, locating the proximal ends (25) of the support posts (22) at the peaks (29) of the inflow rim (20) prevents structural interference between the proximal ends (25) of 35 the support posts (22), in particular the triangular shaped elements (31) designed to support the scalloped inflow annulus of the replacement valve, and the undulating pattern of the inflow rim (20), as well as longitudinal extension of the

inflow rim (20) in the direction of the valve tissue. Thus, compression of the valve and anchoring structure does not lead to distortion of or injury to the valve.

Figure 8 shows that the support posts (22) connect to the outflow rim (23) at a valley (27) of the undulating or zigzag pattern and that during compression, 5 the support posts stabilize the anchoring structure by preventing any longitudinal extension at points of valve attachment, that is at the proximal (25) and distal (24) ends of the support posts. The commissural mounting tabs of the valve are attached to the anchoring structure by extending through the axial slots (40) of the support posts to the exterior of the anchoring structure, while the inflow annulus of 10 the valve is connected to the inflow rim (20) of the anchoring structure via a fabric ring. This arrangement allows firm attachment of the distal or outflow end of valve to the anchoring structure and ensures the proper positioning of the valve, with the outflow end being supported such that the leaflets are allowed to open and close with the movement of fluid across the lumen of the valve. It should be noted that 15 the particular shapes of the individual elements of the structures disclosed herein may be modified by a person of skill in the art to achieve the advantages described without departing from the scope of the present invention.

The number of support posts (22) in this preferred embodiment can range from two to four, depending on the number of commissural posts present in the 20 valve sinus. Thus, in a preferred embodiment, the anchoring structure comprises three support posts (22) for a three-leaflet valve with a sinus that features three natural commissural posts. The support posts (22) of the anchoring structure are configured to coincide with the natural commissural posts of the sinus.

An advantage of this arrangement is the additional option for the surgeon of 25 suturing the valve assembly into place, wherein the anchoring structure provides the surgeon with additional guidance as to the proper anatomical positioning of the valve inside the native valve sinuses. Since the anchoring structure is dimensioned to fit precisely into the valve sinus cavities, the surgeon's positioning task is simplified to a visual determination of the location of the commissural posts 30 of the native sinuses and their alignment with the support posts (22) of the anchoring structure of the valve. Thus, the present preferred embodiment takes advantage of the natural features of the valve sinus for the rapid orientation and attachment of the valve assembly. The ability of the anchoring structure to emulate the architecture of the valve sinus thus significantly reduces the 35 surgeon's time spent on suturing the valve into position, should he so desire.

The geometry of the preferred embodiment of a valve anchoring structure further naturally positions it across the entire longitudinal extension of the native

valve sinus, lodging the anchoring structure firmly against the vessel walls. Proximally, the inflow rim (20) of the anchoring structure naturally fits into the native valve sinus at a position near the inflow narrowing (annulus) of the native valve sinus against which it is designed to rest, while distally, the outflow rim (23) of the anchoring structure fits into the sinus at a position near the outflow narrowing (annulus) of the sinus against which it is designed to rest.

Between the proximal and distal ends of the anchoring structure the only longitudinal mechanical elements of the anchoring structure are the support posts (22) which are confined to the native commissural posts between the sinuses, 10 leaving the sinus cavities free to create the native fluid currents that support leaflet closure and valve operation in general. A further advantage of this preferred embodiment of the present invention is the ability of the anchoring structure to emulate the natural compartment formed by the sinus for anchoring the valve. Thus, the anchoring structure is able to extend completely across the sinuses 15 without placing mechanical elements into the path of fluid flow and without obstructing flow to any vessel openings that may be present in the valve sinuses.

In a preferred implementation of the present embodiment, the anchoring structure exerts radial force against the vessel wall so as to produce a compression fit. This may be accomplished by oversizing the anchoring structure 20 such that it permanently seeks to expand to its original size. Thus, both the inflow (20) and outflow (23) rims are designed to push radially against the sinus walls near the inflow and outflow annuli of the sinus. The undulating or zigzag pattern formed by the inflow (20) and outflow (23) rings further serves to provide tire-like traction against the sinus wall for anchoring. Thus, the combination of 25 compression fit, traction and sewing cuff rings (37 and 38) of the anchoring structure provides a firm anchor for the replacement valve and an optimal configuration in the native valve sinus.

In preferred embodiments of the present invention, the anchoring structure comprises a material that is expandable from a compressed configuration 30 illustrated in Figure 8 into the configuration depicted in Figure 7. The anchoring structure may be non-self expanding, i.e. capable of being expanded from a compressed state using mechanical means, such as a balloon inflated from within the radial center of the anchoring structure, or using the expansion and compression devices disclosed herein. The anchoring structure comprises 35 vertical tab support posts (22) which are designed to prevent inelastic deformation when the anchoring structure is collapsed prior to implantation.

Figure 9 shows a representative flat valve leaflet (36) before it is sewn together with a desired number of additional leaflets (36) to form a three-dimensional replacement valve. The flat pattern of the leaflet (36) can be used to dimension the anchoring structure shown in Figure 10 such that the commissural tabs (35) of the valve (36) will coincide with the axial slots (21) at the distal ends (24) of the support posts (22) and the proximal edges (42) at which the leaflets will be stitched or otherwise attached to each other to form the inflow annulus of the valve can be attached to the proximal ends (25) of the support posts (22) of the anchoring structure via the triangular shaped elements (31).

Figures 9 and 10 also show how an anchoring structure and valve may be scaled to fit different sizes of valve sinuses while retaining the proportional dimensions of the valve sinus. For example, if the width (43) of the leaflet (36) shown in Figure 9 is chosen for a certain valve size, then the distance (44) between support posts (22) of the anchoring structure shown in Figure 10 will be determined accordingly. Likewise, the height (45) of the leaflet (36) in Figure 9 will determine the length (46) of the support posts (22) of the anchoring structure in Figure 10. In this manner, a person of skill in the art can dimension both the valve and anchoring structure to fit any size of valve sinus.

Another preferred embodiment of the present invention, illustrated in Figures 11 and 12, comprises a valve supported by a flared anchoring structure. The flared anchoring structure preferably comprises flared-out sections located at both the inflow (47) and outflow rims (48) to anchor it firmly against the narrowed inflow and outflow annuli of the valve sinuses. The flared distal end (48) of the anchoring structure is adapted to support the tab regions of the valve while the flared proximal end (47) supports the valve inflow annulus (33). The flared-out feature prevents contact between the valve tissue and the anchoring structure if the outflow rim (48) is positioned below the upper edges of the valve leaflets (36) in the open position, while also allowing the anchoring structure to secure itself in a sinus cavity of the vascular passageway. In this embodiment, the outflow rim (48) of the anchoring structure is comprised of diamond (49) and hexagon (50) shaped structures which facilitate collapsibility and dynamic compliance. The commissural tabs (35) of the valve (32) can be stitched directly to the hexagon shaped elements (50) of the outflow ring, rather than being secured via slots. The flared inflow rim (47) of the anchoring structure preferably comprises a single ring in the form of an undulating or zigzag pattern to which the valve's fabric ring (37) can be sewn. The inflow ring (47) of the anchoring structure is connected to the outflow rim (48) through vertical elements (51) that are positioned to coincide with

the commissural posts of the native sinus region. Thus, the exemplary embodiment of Figures 11 and 12 comprises three vertical connecting elements (51) for a three-leaflet valve (32). However, it should be understood that the number of vertical connecting elements (51) is meant to be adapted to the number 5 of native commissural posts present in the particular sinus region. The area between vertical connector elements (51) is thus left free of any structural elements for the accommodation of vessel openings that may be present in the particular valve sinus.

In another preferred embodiment, as illustrated in Figure 13, a valve is 10 supported by an anchoring structure comprising a plurality of posts (52) with a single ring (53) at the inflow rim. The ring (53) is configured in an undulating or zigzag pattern. In this exemplary embodiment the plurality of posts (52) number three for a three-leaflet valve sinus region. The three posts (52) extend in the distal direction from the single ring (53) located at the inflow end of the anchoring 15 structure. The proximal end (33) of the valve is attached to the ring (53) portion of the anchoring structure so that the ring (53) provides support to the inflow annulus (33) of the valve. The inflow ring (53) comprises an undulating or zigzag pattern for tire-like traction against the vessel wall. The anchoring structure portion surrounding the proximal end (33) of the valve is preferably flared in an outward 20 direction to improve anchoring forces against the vascular wall.

The three posts (52) extend from the proximal end (33) to the distal end (34) of the valve and provide cantilevered support to the tab regions (35) of the valve at the distal end (34). The three posts (52) are designed to be sufficiently 25 flexible so that they may deflect inwardly in a controlled motion at back flow pressures to optimize the fatigue life of the anchoring structure. The posts (52) comprise a distal end (54) for the attachment of the valve commissural tabs (35). Below the distal end (54), the posts (52) comprise a diamond-shaped element (55) for enhanced structural stability and valve support. As with the previous 30 embodiments of the present invention, the design according to the present embodiment creates open space between the proximal (33) and distal ends of the valve (34). This also ensures that there is no direct contact between the valve and the anchoring structure and that vessel openings located within the particular sinus remain unencumbered. Again, as in the preceding embodiments, the support posts (52) are configured to spatially coincide with the commissural posts 35 of the valve sinuses for ease of positioning and anatomical optimization.

The anchoring structure embodiment illustrated in Figure 14 comprises a valve supported by a multi-operational anchoring structure (56). The multi-

operational anchoring structure (56) comprises a proximal end (57), a distal end (58), posts (59) extending from the proximal end (57) to the distal end (58), and a tab attachment window (60) attached to each post (59) at the distal end (58). The tab attachment windows (60) in the present embodiment have a triangular geometry that is designed to create an optimal interference fit between the anchoring structure and the commissural tabs. The post (59) and tab attachment window (60) construction of the present embodiment allows inward deflection of the post at back flow pressure, thus providing cantilevered support to the valve and greater dynamic compliance with the sinus region. Both the proximal (57) and distal (58) ends of the anchoring structure are flared out to better secure the valve in the valvular sinus region. The proximal end or inflow rim (57) of the anchoring structure also preferably possesses barbs or hooks (61) at the proximal end (62) of the post (59) for better attachment to the vascular wall and/or the valve's inflow annulus. In this embodiment, the flared inflow rim (57) is depicted as featuring two undulating rings that are substantially parallel to one another, while the flared outflow rim features three undulating rings.

Yet another preferred embodiment of a valve anchoring device according to the present invention is illustrated in Figures 15-21. In this preferred embodiment, an elliptical segment (70) anchoring structure is used to support the valve (32) as shown in Figure 15 A. As shown in Figure 15 B, the elliptical segment anchoring structure (70) comprises a plurality of elliptical segments (71) that are joined together, either integrally, mechanically, or by adhesive means. Each elliptical segment (71) is flared outward at the proximal (72) and distal ends (73) of the anchoring structure and curved inward at the junctures (74) with the other segments (71) assuming the shape of a potato chip. When joined together side by side, the elliptical segments (71) form a tubular structure that is flared outward at both the inflow (72) and outflow (73) ends. The junctures (74) of the elliptical segments (71) are located at the center of a substantially straight area of the elliptical segments (71) that defines the longitudinal support post elements (75) of the elliptical segment anchoring structure (70) and also provides a gap location (75) near which the valve tabs (35) can be secured. The tab regions (35) extending from the seams of the valve can be attached to the anchoring structure using any suitable means, including, sewing, stapling, wedging or adhesive means. The tab regions (35) are preferably attached to the gaps (75) formed above the junctures (74) between the elliptical segments (71). The inflow (72) and outflow (73) rims of the anchoring structure are formed by the corresponding regions of the elliptical segments (71) that reside below and above the junctures

(74). The inflow annulus of the valve can be secured at the inflow rim (72) via stitching to the inflow annulus fabric which also serves as a sealing gasket.

As shown in Figure 16 A, the vertical axes (76) of the elliptical segments (71) are dimensioned to exceed the axial length (77) of the valve (32), thereby 5 eliminating valve leaflet (36) contact with the outflow rim (73) of the anchoring structure. Figure 16 B shows how both the valve (32) and anchoring structure (70) of the present embodiment can be compressed radially to facilitate implantation. The concave configurations of the elliptical segments (71) effectively form a radial spring that is capable of being radially collapsed under 10 pressure for deployment and then expanded when positioned at the implant site. One advantageous feature of the instant design is that the region of juncture (74) between the elliptical segments (71) does not become extended upon compression of the anchoring structure. The valve (32) and anchoring structure (70) of the present embodiment can also be compression fit within a valve sinus 15 cavity to exert radial force against the sinus walls.

As shown in Figure 17, the anchoring structure (70) is preferably dimensioned to be lodged substantially within a valve sinus, with the regions of juncture (74) between the elliptical segments (71) being configured to reside at the location of the native commissural posts. The elliptical segment anchoring 20 structure (70) is designed to expand at the proximal end (72) during peak flow and at the distal end (73) during peak backflow pressure, thereby maintaining pressure against the vascular wall. As a result, the valve and anchoring structure (70) of the present embodiment will remain secure in the valve sinus without sutures. A metal wire frame made from a metal that exhibits a high modulus of elasticity and 25 that is biocompatible is preferred, such as Nitinol, as such materials exhibiting superior compressibility allow the anchoring structure to be self-expandable.

A further preferred embodiment of a valve anchoring structure according to the present invention is illustrated in Figures 18 A and B. In the present embodiment, an elliptical segment anchoring structure (70) is presented in which 30 the elliptical segments (71) are joined together by a specialized double crimp (78). Figure 18 B shows that the valve tabs (35) can be secured near the double crimp (78) that joins the elliptical segments (71). The tab regions (35) are preferably attached to the gaps (75) between the elliptical segments (71). The inflow annulus of the valve (33) can be secured at the inflow rim (72) via stitching to the inflow annulus fabric which also serves as a sealing gasket.

Figures 19 A and B illustrate the double crimp (78) used to join the elliptical segments (71). As shown in Figures 19 A and B, the double crimp (78) comprises

two hollow tubes (79), one for each elliptical segment (71) to be inserted. The hollow tubes (79) of the double crimp (78) are designed to allow for better motion of the individual elliptical segments (71) and to minimize material stresses during expansion and compression of the anchoring structure. The double crimp (78) 5 further comprises a central portion (80) joining the two hollow tubes (79). This central portion (80) comprises one or more holes (81) to facilitate the attachment of the valve commissural tabs to the anchoring structure and to reduce the mass of the double crimp (78). Thus, the double crimp (78) also serves as an attachment site for the valve and further acts as a stop against backflow pressure 10 on the valve leaflets.

Figure 20 A shows the insertion of the elliptical segments (71) of the preferred anchoring structure embodiment (70) into the double crimp (78). As with the previous embodiments, the present embodiment is dimensioned to be lodged substantially within the valve sinuses, with the joined regions (74) of the elliptical 15 segments in Figure 20 B configured to align with the commissural posts of the sinus and the flared inflow (72) and outflow ends (73) of the anchoring structure configured to rest against the sinus cavities.

Figures 21 A through G show how the elliptical segment anchoring structure (70) may additionally be covered with cloth (82), particularly at the inflow end (72) 20 to provide traction and a gasket-like seal. Thus, this preferred embodiment of the present invention is dimensioned to follow the sinus architecture and to lodge into the sinus cavities and against the inflow and outflow annuli of the sinuses for optimal securing and positioning of the replacement valve.

Figures 22 A and B illustrate a further preferred embodiment the present 25 invention. This figure shows an elliptical segment anchoring structure (90) made from one piece of tubing. As illustrated, the support posts (91) that form the slots (92) for the valve tabs include a series of small holes (93) on either side of the slot (92) to facilitate suture or mechanical attachment of the commissural tabs of the valve. Again, this anchoring structure (90) is dimensioned to fit substantially 30 within the valve sinuses with the support posts (91) being configured to reside in the commissural posts between the individual sinus cavities. The present embodiment also exerts axial force particularly at the flared inflow (94) and outflow rims (95) against the sinus walls to anchor the valve.

Yet another embodiment of a valve and anchoring structure according to 35 the present invention is illustrated in Figures 23 A through D. In the present embodiment, a claw anchoring structure (100) is shown in Figure 23 A. This embodiment corresponds to an elliptical segment embodiment wherein the upper

portions of each elliptical segment have been removed. The ends of the junctures (101) of the remaining elliptical segments are shaped into prongs or claws (102). Thus, the claw anchoring structure (100) comprises a flexible spring frame having a plurality of barbs (102), located distally just beyond where the valve leaflet tab regions meet the anchoring structure. The claw anchoring structure (100) preferably comprises at least one barb (102) for each valve leaflet tab included in the valve. The barbs (102) are designed to anchor the valve (32) and anchoring structure (100) to the vascular wall.

In another preferred embodiment of the invention, an anchoring structure is provided that lacks vertical support posts. As shown in Figure 24, the representative anchoring structure configuration comprises an inflow ring (110) that is adapted to being secured to the inflow annulus of the valve (33) via stitching to the reinforced fabric sewing ring in a manner similar to the prior representative implementations. The undulating or sinusoidal pattern of the ring (110) facilitates radial collapse and expansion and exerts radial force against the vessel wall. The anchoring structure does not support the outflow annulus (34) of the valve. Rather, the valve's commissural tabs (35) are attached to the sinus walls via mechanical means, such as sutures, staples, or wire.

Another representative embodiment of an anchoring structure is shown in Figure 25 A. The present embodiment comprises a dual-ring anchoring structure (120). The dual ring (120) of the present embodiment may, as in the previous embodiment, be secured to the inflow annulus of the valve via stitching to the reinforced fabric sewing ring. The undulating or sinusoidal pattern of the individual rings (121) is configured such that the peaks (122) of one ring (121) coincide with the valleys (123) of the other ring and vice versa, thereby forming a sine-cosine pattern. This pattern facilitates radial collapse and expansion and exerts radial force against the vessel wall. As in the previous embodiment, the dual ring anchoring structure (120) does not support the outflow annulus of the valve. Rather, the valve's commissural tabs are attached to the native sinus walls via mechanical means, such as sutures, staples, or wire, or additionally by the adhesive means disclosed herein.

Figure 25 B shows another dual ring embodiment of the present invention. This anchoring structure is comprised of an upper (distal) dual ring (130) and a lower (proximal) dual ring (131). The lower dual ring (131) is connected to the proximal end of the valve at the inflow annulus while the upper dual ring (130) is connected to the distal end of the valve at the outflow annulus. The valve may be connected to the rings (130, 131) via sutures, clips or any other suitable means for

attachment. The valve and the attached proximal (131) and distal (130) rings can be collapsed and inserted via a catheter. Once the valve has reached its desired location in the vascular passageway, the two rings (130, 131) are expanded to secure the valve in the vascular passageway. As in the previous embodiment, 5 each dual ring (130, 131) comprises a wire frame with a circular cross-section and a sinusoidal pattern. The sinusoidal pattern may be of a sine-cosine shape with a varied frequency and amplitude. One or more longitudinal rods (132) may be used to connect the two dual rings (130, 131) and maintain longitudinal separation and radial orientation. The rods (132) may be removable so that once the valve is 10 implanted in the vascular passageway they can be removed.

In another preferred embodiment, illustrated in Figure 26, an upper single ring (140) with an undulating or zigzag pattern provides support to the tab regions (35) of the valve (32) at the distal end (34) of the valve whereas a lower single ring (141) configured in an undulating or sinusoidal pattern provides support to the 15 inflow annulus (33) at the proximal end of the valve (32). The inflow ring (141) is stitched to the sewing fabric wrapped around the circumference of the inflow annulus of the valve, as described previously. The outflow ring (140) of the anchoring structure generally resides above the leaflets (36) to avoid leaflet contact. To improve traction, the inflow or outflow rings may comprise attachment 20 bars (142). The structural dissociation between the rings (140, 141) provides improved dynamic compliance while retaining the benefits of a two ring design.

Yet another embodiment of a valve and anchoring structure according to the present invention is illustrated in Figures 27 A through C. In the valve anchoring structure according to the present embodiment shown in Figures 27 A 25 and C, the valve (32) is supported by a tubular anchoring structure (150). The tubular anchoring structure (150) is preferably made of metal or plastic. The tubular anchoring structure (150) is also preferably designed to be expandable. For example, the anchoring structure may be designed to be self-expandable, balloon-expandable, or mechanically-expandable. The tab regions (35) of the 30 valve (32) are preferably attached to the distal end (151) of the tubular anchoring structure (150) using staples, sutures, wire fasteners, or any other suitable means. The inflow rim (152) of the tubular anchoring structure may comprise a plurality of suture bores (153) to facilitate attachment of the valve (32). The tubular anchoring structure (150) also comprises vertical support posts (154) with axial 35 slots (155) for the insertion of the valve tabs (35). The vertical support posts (154) extend to the distal end (151) of the tubular anchoring structure (150). In a preferred implementation of the of the present embodiment, the means of

attachment, or an alternative means, is used to also attach the tab regions (35) of the valve (32) to the vascular wall thereby securing the valve (32) and tubular anchoring structure (150) in the valve sinuses. Such fastening means can also be optionally used at the inflow annulus to provide additional anchoring.

5 Another embodiment of a valve and anchoring structure according to the present invention is illustrated in Figure 28. In the present embodiment, a dual-ring anchoring structure (160) is shown, as seen in Figures 28 C and D, with an inflow ring (161) and an outflow ring (162) connected by a vertical element (163) comprised of two posts (164). The anchoring structure (160) is designed to be  
10 circumferentially collapsible as can be seen in Figures 28 A and B. As shown in Figures 28 C and D, the anchoring structure (160) is collapsed by sliding the two posts (164) that are adjacent to each other in the expanded state (Figure 28 D) past each other to decrease the circumference of the upper outflow (162) and lower inflow (161) rings (Figure 28 C). Thus, prior to implantation the anchoring  
15 structure (160) is collapsed and, once the valve is properly positioned in the valve sinuses, the anchoring structure freely self-expands to its original dimensions. The self-expanding behavior of the present embodiment is due to Nitinol's relatively high modulus of elasticity, which imparts superior spring-like properties to the anchoring structure. Alternatively, if the anchoring structure is constructed  
20 of a non-self expanding material, it may be mechanically collapsed and expanded using the devices disclosed herein.

Another embodiment of a valve and anchoring structure according to the present invention is illustrated in Figures 29 A and B. In the present embodiment, a dual-ring anchoring structure (170) is shown, with an inflow ring (171) and an  
25 outflow ring (172) connected by a vertical element (173) comprised of two posts (174). The inflow rim may further comprise tissue mounting posts (175). The anchoring structure (170) is designed to be circumferentially collapsible. Figure 29 A shows how the posts (174) are separated in the expanded state and Figure 29 B shows how the posts (174) form a single vertical element (173) in the  
30 collapsed state. Thus, prior to implantation the anchoring structure is collapsed and upon the positioning of the valve assembly in the valve sinuses, the anchoring structure (170) freely self-expands to its original dimensions. As in the previous embodiment, the self-expanding behavior of the present embodiment is a function of Nitinol's high modulus of elasticity. Alternatively, if the anchoring structure is  
35 constructed of a non-self expanding material, it may be mechanically collapsed and expanded using the devices disclosed herein.

A further embodiment of a valve and anchoring structure according to the present invention is illustrated in Figures 30 A and B. The present embodiment comprises a spring-aided anchoring structure (180). The spring aided anchoring structure (180) preferably comprises three members (181) that are radially 5 collapsible for implantation into the valve sinuses. The members (181) comprise peaks (182) that serve as valve attachment points and valleys (183) that serve to lodge the anchoring structure at the valve sinus inflow annulus. Following implantation, the anchoring structure (180) is expanded to its original dimensions 10 by coil springs (184) that provide an outward radial force on each member. In a preferred embodiment, shown in Figure 30 B, the spring aided anchoring structure (180) comprises at least one anchoring section (185) for selectively securing the anchoring structure (180) in the valve sinus at the inflow annulus. Although the present embodiment illustrates three members (181) and three coil springs (184), it should be appreciated that two or more members (181) with a corresponding 15 number of coil springs (184) may be used.

The anchoring structures of the present invention may be constructed from superelastic memory metal alloys, such as Nitinol, described in U.S. Patent No. 6,451,025, incorporated herein by reference. Nitinol belongs to a family of intermetallic materials which contain a nearly equal mixture of nickel and titanium. 20 Other elements can be added to adjust or modify the material properties.. Nitinol exhibits both shape memory and superelastic properties. The shape memory effect of Nitinol allows for the restoration of the original shape of a plastically deformed structure by heating it. This is a result of the crystalline phase change known as thermoelastic martensitic transformation. Thus, below the 25 transformation temperature, Nitinol is martensitic, i.e. easily deformable. Heating the material converts the material to its high strength, austenitic condition. Accordingly, prior to implantation, the valve assembly is chilled in sterile ice water. Upon cooling, the Nitinol anchoring structure enters its martensite phase. Once in this phase, the structure is malleable and can maintain a plastically deformed 30 crushed configuration. When the crushed anchoring structure comprising the valve is delivered into the valve sinus, the increase in temperature results in a phase change from martensite to austenite. Through the phase change, the anchoring structure returns to its memorized shape, and thus expands back to its original size.

35 The anchoring structures can also be designed to use the superelasticity properties of Nitinol. With the superelastic design, the chilling procedure would not be necessary. The anchoring structure would be crushed at room

temperature. The phase change to martensite would be accomplished by means of the stress generated during the crushing process. The anchoring structure would be held in the crushed configuration using force. Force is removed once the anchoring structure is delivered to the valve sinus, resulting in a phase 5 transformation of the Nitinol from martensite to austenite. Through the phase change, the anchoring structure returns to its memorized shape and stresses and strains generated during the crushing process are removed. Alternatively, the anchoring structures of the present invention may be composed of a non-self expanding suitable material, such as biocompatible metals, including titanium, and 10 plastics. Whether the valve assembly is designed to be self-expandable or non-self expandable, it may be compressed (and expanded, if non-self expandable) for implantation using the expansion and contraction devices disclosed herein.

#### Expansion and Contraction Devices

A preferred embodiment of an expansion and contraction device for 15 implanting the valve assemblies of the present invention is illustrated in Figures 31-33. As seen in Figures 31 A and B, the device of the present embodiment comprises a group of bendable hollow tubes or wires (200), a grip handle (201), and a circular element (202) that holds the wires (200) together at their proximal ends (203). Each wire (200) comprises a proximal end (203), a distal end (204) 20 and a hollow shaft (205) running from the proximal end (203) to the distal end (204). The wires (200) are attached to the grip handle (201) at their proximal ends (203) via the circular element (202) such that the wires form a circular pattern.

As shown in Figures 32 A and B, the expansion and contraction device further comprises a cylinder (206) having a proximal end (207) and a distal end 25 (208). The cylinder (206) has holes (209) drilled along its distal perimeter (208). The holes (209) in the cylinder (206) are preferably drilled at an outward angle so that by forcing the wires (200) through the angled holes (209), the distal ends (204) of the wires (200) are driven radially outward. As the wires (200) are pushed further through the outwardly angled cylinder holes (209), the further the 30 wires (200) spread radially, thereby expanding the anchoring structure that is positioned over the wires (200). Accordingly, the angle of the cylinder holes (209) controls the relationship between the longitudinal movement of the wires (200) and their radial dilation.

As shown in Figure 33, a representative anchoring structure (210) of the 35 present invention is attached to the distal ends (204) of the hollow wires (200). The cylinder (206) having a proximal end (207) and a distal end (208) has holes

(209) drilled along its distal perimeter (208). The holes (209) in the cylinder (206) are drilled at an outward angle so that by forcing the wires (200) through the angled holes (209), the distal ends (204) of the wires (200) are driven radially outward. As this figure shows, when the wires (200) are pushed further through 5 the outwardly angled cylinder holes (209), they are forced to spread radially, thereby expanding the anchoring structure (210) that is positioned over the wires (200) at their distal ends (204). In a preferred embodiment, a long suture is routed from the proximal end to the distal end of the wire down its hollow shaft, looped around a segment of the anchoring structure at the distal end of the wire and then 10 routed back to the proximal end of the wire, where it is secured. Attached to the distal ends (204) of the hollow wires, the anchoring structure (210) contracts and expands radially in response to the longitudinal motion of the wires (200). Pulling the grip handle (201) proximally contracts the anchoring structure (210) into a collapsed state for implantation whereas pushing the grip handle (201) distally 15 expands the anchoring structure (210). When the anchoring structure (210) is positioned in a desirable location in the vessel and expanded to the desired dimensions, the sutures are severed and removed from the proximal end (203) of the wires (200) in order to disconnect the anchoring structure (210) from the device. The device of the present embodiment is removed, thereby leaving the 20 valve assembly securely situated in the valve sinus.

Another expansion and contraction device is illustrated in Figures 34 and 35. As shown in Figure 34, the device of the present embodiment comprises a tube (220), multiple wall panels (221), springs (222) corresponding to the multiple wall panels (221), a spindle (223) and a plurality of connecting wires (224). The 25 tube (220) comprises a hollow shaft (225) having a radial center (226), a proximal end (227), a distal end (228) as shown in Figure 35, an interior wall (229) and an exterior wall (230), wherein a hole (231) corresponding to each wall panel (221) extends through the interior (229) and exterior wall (230) of the tube (220). In a preferred embodiment, the perimeter of the exterior wall (230) is surrounded by 30 adjacent wall panels (221), only buffered by the springs (222) corresponding to the wall panels (221). The spindle (223) is attached to the interior wall (229) of the tube (220), preferably facing the tube's (220) radial center (226). A connecting wire (224) is attached to each wall panel (221) and routed through the spring (222) and the corresponding hole (231) in the tube wall (229, 230) to meet the 35 other connecting wires (224), preferably at the radial center (226) of the tube (220).

As shown in Figure 35, upon meeting at the radial center (226) of the tube (220), the wires (224) having been wrapped around the spindle (223), now run parallel to the tube's (220) longitudinal axis. By pulling the wires (224) proximally, the attached panels (221) compress the springs (222) against the tube's (220) exterior wall (230). In this compressed state, a collapsed valve assembly of the present invention can be placed over the panels (221). Once the device of the present embodiment, loaded with the valve assembly, is positioned at the desired location in the valve sinus, the tension in the wires (224) is relieved to force the wall panels (221) outward, thereby expanding the anchoring structure and valve.

5 The length of the uncompressed spring (222) determines the diameter to which the anchoring structure can be expanded. The anchoring structure can optionally be secured to the wall panels (221), by staples, sutures, wire fasteners, or any other suitable means, so that the valve assembly may be selectively expanded and collapsed by preferably varying the tension on the connecting wires.

10

15 In Figures 36 A and B, another preferred embodiment of an expansion and contraction device of the present invention is presented. In this embodiment, the anchoring structure (240) is composed of a shape memory metal or the like having a relatively high modulus of elasticity, and possessing an outward spring-like force when in a compressed state. Therefore, spring loaded wall panels are

20 not necessary in the present embodiment. Instead, the wires (241) pass through sutures (242) that are threaded through holes (243) in the tube (244) wall and wrap around portions of the anchoring structure. Thus, the wires (241) keep the anchoring structure (240) compressed by pulling the sutures (242) around the anchoring structure (240) against the tube (244). Alternatively, the tube structure

25 can be omitted with only the wires (241) and sutures (242) keeping the anchoring structure (240) in a compressed state. This would ensure that the valve within the anchoring structure is not contacted by any mechanical elements, such as a tube (244). Alternatively, the tube could be made from a cloth- or tissue-like material. Once the anchoring structure (240) is positioned in the desired location in the

30 valve sinus, the wires (241) can be retracted, allowing the anchoring structure (240) to self-expand such that the tube (244) can be withdrawn, leaving the anchoring structure (240) securely lodged at the desired location of implantation. The sutures (242), which will remain wrapped about the anchoring structure (240), can be made of biodegradable material and thus will be resorbed by the body

35 within a matter of days.

The contraction and expansion device illustrated in Figures 37 and 38 represents another preferred embodiment of the present invention. As illustrated

in Figure 37, each wall panel (250) is connected to a pin (251) which runs through the corresponding hole (252) in the tube (253) wall. The pin (251), protruding radially inward from the tube's interior, is preferably spring-loaded (254) toward the radial center of the tube (253). In a zero energy state, the wall panels (250) 5 rest against the exterior wall of the tube (253) and the collapsed anchoring structure rests against the wall panels (250). Instead of wires, the present embodiment comprises a longitudinal shaft (255) running through the radial center of the tube. The shaft is comprised of a proximal end (256) and a distal end (257). The distal end (257) is connected to a central plate (258) having spiral shaped 10 edges (259) as shown in Figures 37 B and C. The central plate (258) is located in the tube (253), parallel to the tube's cross-section and is aligned with the spring-loaded (254) pins (251). The plate's spiral-shaped edges (259) preferably cause the distance from the plate's perimeter to the tube's radial center to vary along the plate's (258) perimeter. When the shaft (255) is rotated, the edge of the plate 15 (259) pushes against each pin (251), thereby driving the corresponding panels (250) outward and expanding the anchoring structure, as Figure 37 C shows.

Figures 38 A and B show how rotation of the shaft (255) pushes the wall panels (250) radially out, thereby expanding the anchoring structure (260). In a preferred embodiment, the anchoring structure (260) is sutured to the wall panels 20 (250) to allow expansion and contraction of the anchoring structure by alternating rotation of the shaft. The sutures are preferably removable from the shaft's (255) proximal end to free the valve assembly from the device following implantation in the valve sinus.

In still another embodiment, as illustrated in Figure 39, an expansion and 25 contraction device similar to the previous embodiment is presented. Instead of a device comprising a central plate with spiral-shaped edges of varying dimensions, the present preferred embodiment utilizes a circular disk (270) with pre-cut spiral-shaped grooves (271) corresponding to the spring-loaded pins (272). Preferably, the grooves (271) provide a track of varying depth for the pins (272) such that the 30 pins (272) are forced radially outward upon rotation of the disk (270), thereby expanding the anchoring structure.

#### Adhesive Means for Securing Replacement Valves

In addition to the disclosed features and mechanisms for securing the valve assembly comprising a valve and anchoring structure into position, the present 35 invention provides the use of biocompatible adhesives. A number of adhesives

may be used to seal the valve assembly to the surrounding tissue in the valve sinus. The following are examples of available adhesives and methods of use:

U.S. Pat. No. 5,549,904, the entire contents of which are incorporated herein by reference, discloses a formulated biological adhesive composition comprising tissue transglutaminase and a pharmaceutically acceptable carrier, the tissue transglutaminase in an effective amount to promote adhesion upon treatment of tissue in the presence of a divalent metal ion, such as calcium or strontium. In operation, the two components are mixed to activate the sealable fixation means for securely fixing the valve assembly to tissue at a desired valve location.

U.S. Pat. No. 5,407,671, the entire contents of which are incorporated herein by reference, discloses a one-component tissue adhesive containing, in aqueous solution, fibrinogen, F XIII, a thrombin inhibitor, prothrombin factors, calcium ions and, where appropriate, a plasmin inhibitor. This adhesive can be reconstituted from a freeze-dried form with water. It can contain all active substances in pasteurized form and is then free of the risk of transmission of hepatitis and HTLV III. In operations, the one-component tissue adhesive is reconstituted from a freeze-dried form with water to activate the sealable fixation means for securely fixing the valve assembly to tissue at a desired valve location.

U.S. Pat. No. 5,739,288, the entire contents of which are incorporated herein by reference, discloses a method for utilizing a fibrin sealant which comprises: (a) contacting a desired site with a composition comprising fibrin monomer or noncrosslinked fibrin; and (b) converting the fibrin monomer or noncrosslinked fibrin to a fibrin polymer concurrently with the contacting step, thereby forming a fibrin clot. In operation, the fibrin monomer or noncrosslinked fibrin is converted to activate the sealable fixation means for securely fixing the valve assembly to tissue at a desired valve location.

U.S. Pat. No. 5,744,545, the entire contents of which are incorporated herein by reference, discloses a method for effecting the nonsurgical attachment of a first surface to a second surface, comprising the steps of: (a) providing collagen and a multifunctionally activated synthetic hydrophilic polymer; (b) mixing the collagen and synthetic polymer to initiate crosslinking between the collagen and the synthetic polymer; (c) applying the mixture of collagen and synthetic polymer to a first surface before substantial crosslinking has occurred between the collagen and the synthetic polymer; and (d) contacting the first surface with the second surface to effect adhesion between the two surfaces. Each surface can be a native tissue or implant surface. In operation, collagen and a

multifunctionally activated synthetic hydrophilic polymer are mixed to activate the sealable fixation means for securely fixing the valve assembly to tissue at a desired valve location.

U.S. Pat. No. 6,113,948, the entire contents of which are incorporated 5 herein by reference, discloses soluble microparticles comprising fibrinogen or thrombin, in free-flowing form. These microparticles can be mixed to give a dry powder, to be used as a fibrin sealant that is activated only at a tissue site upon dissolving the soluble microparticles. In operation, soluble microparticles comprising fibrinogen or thrombin are contacted with water to activate the 10 sealable fixation means for securely fixing the valve assembly to tissue at a desired valve location.

U.S. Pat. Nos. 6,565,549, 5,387,450, 5,156,911 and 5,648,167, the entire 15 contents of which are incorporated herein by reference, disclose a thermally activatable adhesive. A "thermally activatable" adhesive is an adhesive which exhibits an increase in "tack" or adhesion after being warmed to a temperature at or above the activation temperature of the adhesive. Preferably, the activation temperature of the thermally activatable adhesive is between about 28° C and 60° C. More preferably, the activation temperature is between about 30° C and 40° C. One exemplary thermally activatable adhesive is described as Example 1 in U.S. 20 Pat. No. 5,648,167, which is incorporated by reference herein. It consists of a mixture of stearyl methacrylate (65.8 g), 2-ethylhexyl acrylate (28.2 g) and acrylic acid (6 g) monomers and a solution of catalyst BCEPC (0.2 g) in ethyl acetate (100 g) is slowly added by means of dropper funnels to ethyl acetate (50 g) heated under reflux (80 degrees C) in a resin flask over a period of approximately 25 6 hours. Further ethyl acetate (50 g) is added to the mixture during the polymerization to maintain the mixture in a viscous but ungelled state. In operation, thermally activatable adhesive is heated to activate the sealable fixation means for securely fixing the valve assembly to tissue at a desired valve location.

Figure 40 shows a preferred embodiment, wherein an outer circumferential 30 reservoir (401) is located at an outermost radius of a valve anchoring structure (400) when the anchoring structure (400) is in an expanded state, wherein the reservoir is filled with a sealable fixation means for securely fixing the valve assembly (400) at a desired location within a body cavity. Figure 40 further 35 illustrates one embodiment of the reservoir (401) comprising a sealable fixation means, wherein the sealable fixation means may comprise a one-component biological adhesive. The sealable fixation means may be activated by exposing the biological adhesive to blood or heat.

Figure 41 illustrates another preferred embodiment wherein the sealable fixation means may comprise a two-component biological adhesive. The sealable fixation means may be activated by mixing the two components. Thus, for example, if one reservoir (402) contains microparticles that are activated by 5 contact with water, the second reservoir (403) would contain the water for the activation of the microparticles. This figure also shows that the reservoirs may be arranged concentrically as shown in Figure 41 B or adjacent to each other as shown in Figure 41 A.

Figure 42 illustrates an exemplary reservoir (401) which may be attached to 10 the valve anchoring structure by its inner wall (404) by sutures, glue, staples or some other appropriate method. Figure 42 further illustrates a thin spot (405) on the outer wall (406) of the reservoir (401). The thin spots (405) are areas on the reservoir (401) that are adapted to rupture when placed under certain levels of pressure. The pressure is exerted on the thin spots (405) as the reservoir (401) is 15 expanded along with the valve anchoring structure. The thin spots (405) are unable to withstand the pressure and therefore rupture releasing the contents of the reservoir (401) or reservoirs. In a preferred embodiment, the reservoir (401) is made of an elastic material that expands along with the expansion of the valve anchoring structure.

Figure 43 illustrates a cross sectional view of the reservoir (401). The reservoir (401) may contain a lumen (407) which extends along at least a portion 20 of the circumference of the reservoir. The reservoir (401) has one or more thin spots (405) along its outermost circumference, wherein the thin spots (405) are sized and configured to rupture when the reservoir (401) is expanded to an appropriate diameter. When the anchoring structure comprising the valve is 25 fully expanded, the pressure exerted upon the expanded thin spots (405) causes them to rupture. In still another preferred embodiment, the reservoir (401) is made of a biodegradable material adapted for erosion or rupture to release the content of the reservoir (401) and activate the sealable fixation means in a desired timeframe 30 after implantation. In a further preferred embodiment, a circumferentially outermost portion is pressure sensitive to rupture, wherein the contents of the reservoir (401) are released when the reservoir (401) is compressed against the sinus cavities during expansion and implantation of the valve assembly.

Figure 44 shows a cross-sectional view of another preferred embodiment, 35 illustrating thin spots (405) on a reservoir having two concentric component compartments, an inner compartment (408) and an outer compartment (409). Component A in an inner compartment (408) and component B in an outer

compartment (409) are to be mixed to form adhesive for sealing the valve assembly against the valve sinuses. The inner compartment (408) has a plurality of thin spots (405) along its outermost circumference, wherein the thin spots (405) are sized and configured to rupture when the reservoir (401) is expanded to an appropriate diameter. The outer compartment (409) also has a plurality of thin spots (405) along its innermost circumference. The thin spots (405) of the inner compartment (408) and the thin spots (405) of the outer compartment (409) may be located adjacent to each other. In one preferred embodiment, the space between the adjacent pair of thin spots (405) on the inner (408) and outer (409) compartment may comprise a piercing element that is activated to rupture the thin spot or the pair of adjacent spots when the reservoir is expanded to an appropriate diameter or a predetermined diameter. Other embodiments of reservoir configuration, for example, two parallel compartments circumferentially or longitudinally, and suitable activation mechanism for the sealable fixation means are also within the scope of the present invention.

The present invention further comprises methods and devices for the sizing of native valves that require replacement.

#### Methods and Apparatus for Valve Sizing

Intravascular ultrasound (IVUS) uses high-frequency sound waves that are sent with a device called a transducer. The transducer is attached to the end of a catheter, which is threaded through a vein, artery, or other vessel lumen. The sound waves bounce off of the walls of the vessel and return to the transducer as echoes. The echoes can be converted into distances by computer. A preferred minimally invasive valve replacement sizer is shown in Figures 45 A and B. For purposes of this application, the distal end or portion refers to the area closer to the body while the proximal end or portion refers to the area closer to the user of the valve replacement sizer. The device comprises a guidewire (500), an intravascular ultrasound (IVUS) catheter (501) having a transducer (502), and a balloon dilatation catheter (503) all positioned within the central lumen of a catheter. The transducer (502) is positioned in the IVUS sizing window (504) of the balloon catheter. The guide wire (500) advances and guides the catheter (501) to the appropriate location for valve sizing. Figure 45 A shows the catheter in deflated form, whereas in Figure 45 B the balloon dilatation catheter (503) has inflated the balloon (505).

In a preferred embodiment, shown in Figure 46, the catheter (510) contains multiple lumens (511) in order to house a guidewire (512), an IVUS catheter (513),

and a balloon dilatation catheter (514). Figure 46 illustrates a cross sectional view. One of the separate lumens (511) contains the guidewire (512), another contains the IVUS catheter (513), and another contains the balloon dilatation catheter (514). The balloon dilatation catheter (514) has a balloon (515) attached circumferentially surrounding the balloon dilatation catheter (514) as well as a portion of the catheter (510).

Figure 47 shows a balloon dilatation catheter (516) comprising a balloon (517) that circumferentially surrounds a portion of the catheter (518) proximal to its distal portion (519). More specifically, the balloon (517) comprises an outer wall (520) that circumferentially surrounds a portion of the catheter (518) near its distal portion (519). The balloon (517) also has a distal end (521) and a proximal end (522). In a preferred embodiment, within the area encompassed by the balloon, a transducer (523) is located on the IVUS catheter (524). Directly over the transducer (523) a sizing window (525) is placed on the IVUS catheter (524) to enable signals to be transmitted and received by the transducer (523) without interference. In a preferred embodiment, the sizing window (525) is simply an empty space. However, the sizing window (525) could be made from any substance which does not interfere with the signals emitted and received by the transducer (523).

Preferably, the balloon (517) is round but other shapes are possible and contemplated for use with the valve sizing apparatus. In particular, Figure 48 shows a cross section of an inflated balloon (530) with curves forming leaflets (531) to enable fluid (532) to pass through the vessel while the balloon (530) is in its inflated state and the outer edges (533) of the leaflets (531) are in contact with the vessel wall (534) to measure the diameter. The balloon may further be made from compliant or non-compliant material.

Figure 49 shows a preferred embodiment wherein the balloon (540) is inflated with saline (541). Preferably, the saline is pumped into the balloon (540) through the balloon dilatation catheter. Alternatively, the balloon (540) may be inflated with a gas or any other suitable substance. The balloon (540) is inflated to a chosen pressure by the person using the valve replacement sizer. When the balloon (540) has been inflated, the outermost portion of the outer wall (542) will be in contact with the vessel wall (543) or other lumen at the location where the replacement valve is to be placed. When the balloon (540) is completely inflated, the farthest radial points of the balloon's outer wall (542) will be equidistant to the center of the catheter (544). This distance is labeled as R. The transducer (545) may or may not be at the centermost point of the inflated balloon (540). Any

deviation from the centermost point by the transducer (545) may be accounted for when calculating the diameter of the vessel lumen. However, the signal emitted by the transducer (545) preferably intersects the balloon (540) at its greatest radius.

5 Figure 50 shows a preferred embodiment, wherein a transducer (550) emits an ultrasonic signal (556) in a perpendicular direction to the IVUS catheter (551). The signal is then reflected off the outer wall (552) of the balloon (540) and received by the transducer (550). The transducer (550) then transmits the data to the auxiliary processor (553) to determine the radius and diameter of the vessel  
10 lumen. Alternatively, an infrared light may be emitted and received by the transducer (550) to determine the radius and diameter of the vessel lumen. The diameter is calculated by knowing the speed of the signal and the time it takes for the signal to be reflected off the balloon wall (552) back to the transducer (550).  
15 The known speed is multiplied by the time to determine the radius of the balloon (540). The radius may be adjusted if the transducer (550) was not located at the centermost point of the catheter.

20 The present invention further provides devices and methods to remove the native diseased valves prior to implantation of the replacement valve assembly. In one embodiment of the present invention, the valve removing means is provided by the replacement valve assembly. In another embodiment, the valve removing means is provided by a valve sizing device of the present invention.

#### Valve assemblies with native valve removing capability

25 The present invention further provides valve assemblies comprising native valve removing capabilities. Thus, in a preferred embodiment, a valve anchoring structure having cutting means located at the annulus base for cutting a native valve is provided. Accordingly, when passing the valve assembly comprising the valve and anchoring structure through the vessel with the anchoring structure in a collapsed state, the cutting means can be advanced against the native valve with the anchoring structure in a partially expanded state. In this manner, the  
30 anchoring structure comprising the cutting means cuts at least a portion of the native valve by deploying the cutting means, before the valve assembly is secured to the desired valve location with the anchoring structure in the expanded state.

35 It is one object of the present invention to provide a valve assembly of the preferred embodiment having a tissue valve and an anchoring structure, which permits implantation without surgery or with minimal surgical intervention and provides native valve removing means for removing a dysfunctional native valve,

followed by valve replacement. The native valve removing means on the anchoring structure is selected from a group consisting of: a plurality of sharp edge elements, each sharp edge element having a sharp end enabling the element to cut through at least a portion of the native valve; a plurality of electrode elements, wherein radiofrequency energy is delivered to each electrode element enabling the electrode element to cut through at least a portion of the native valve, and a plurality of ultrasound transducer elements, wherein ultrasound energy is delivered to each transducer element enabling the transducer element to cut through at least a portion of the native valve.

5                    Percutaneous implantation of a valve prosthesis is achieved according to the invention, which is characterized in that the valve anchoring structure is made from a radially collapsible and re-expandable cylindrical support means for folding and expanding together with the collapsible replacement valve for implantation in the body by means of catheterization or other minimally invasive procedure.

10                  15                    Catheters and catheter balloon systems are well known to those of skill in the art, for example, U.S. Pat. No. 6,605,056 issued on August 23, 2003.

Accordingly, in one preferred embodiment of the invention shown in Figure 51, the anchoring structure (600) comprises at least one ultrasound transducer (601) at the distal end portion of the lower ring (602), wherein each ultrasound transducer is sized and configured with ultrasound energy as cutting means for cutting a native valve. Ultrasound energy is delivered through conductor means (603) to each transducer element (601) enabling the transducer element (601) to cut through at least a portion of the native valve. In one embodiment, the conductor (603) passes through a delivery means and is connected to an external ultrasound energy generator. The ablative ultrasound delivery means and methods are well known to one skilled in the art, for example, U.S. Pat. No. 6,241,692 issued on June 5, 2001.

Figure 52 shows another preferred embodiment of a native valve removal system comprising a valve assembly having radiofrequency cutting means. In this 30 preferred embodiment, the anchoring structure comprises at least one radiofrequency electrode (610) at the distal end portion of the lower ring (602), wherein each radiofrequency electrode (610) is sized and configured with radiofrequency energy as cutting means for cutting a native valve. Radiofrequency energy is delivered through conductor means (611) to each 35 electrode element(610) enabling the electrode element to cut through at least a portion of the native valve. In one embodiment, the conductor (611) passes through delivery means and is connected to an external radiofrequency energy

generator. The ablative radiofrequency delivery means and methods are well known to one skilled in the art, for example, U.S. Pat. No. 6,033,402 issued on March 7, 2000.

Figure 53 shows another embodiment of an anchoring structure having sharp edge cutting means (620). In one preferred embodiment, the anchoring structure comprises a set of sharp edge cutting elements (621) at the distal end portion of the cutting means (620) of the lower ring (602) of the anchoring structure, wherein each cutting element (621) has a cutting tip (622), and wherein each cutting element (621) of the cutting means is sized and configured, optionally with radiofrequency energy, as cutting means for cutting a native valve. In one embodiment, sharp edge cutting means on the delivery apparatus is rotatable, enabling the cutting element (621) to cut through at least a portion of the native valve. Sharp edge cutting means, with optionally ablative radiofrequency delivery means and methods, are well known to one skilled in the art, for example, U.S. Pat. No. 5,980,515 issued on November 9, 1999.

Figure 54 shows a partially inflated balloon catheter. A balloon catheter (630) is introduced in the vessel. The balloon means (632) of the balloon catheter (630) is led out of the protection cap (633) at the catheter tip (634) and is partly inflated through a fluid channel (635), which is led to the surface of the patient. In one embodiment, the balloon (632) is partially expanded and the sharp end (636) of the cutting means of the valve anchoring structure (637) is advanced to cut and remove at least a portion of the native valve. In another embodiment, the valve anchoring structure (637) comprises an ultrasound or radiofrequency cutting means (638). In one embodiment, the support structure is expanded at about 30 to 95% of full expansion for cutting the native valve. More preferably, the support structure is expanded at about 50 to 90% of the full expansion. In another embodiment, the balloon catheter (630) comprises a central channel (639) with respect to a central axial line (640) to receive a guide wire (641) which is used in a way known for viewing the introduction of the catheter through fluoroscopy.

Some aspects of the present invention provide a method of endovascularly implanting a valve through a vessel, comprising the steps of providing a collapsible expandable valve assembly that comprises an anchoring structure according to the present invention with an annulus base and a collapsible valve connected to the anchoring structure, the collapsible valve being configured to permit blood flow in a direction and prevent blood flow in an opposite direction, the anchoring structure having cutting means located at the annulus base for cutting a native valve, passing the valve assembly through the vessel with the anchoring

structure in a collapsed state, advancing the cutting means against the native valve with the anchoring structure in a partially expanded state, cutting at least a portion of the native valve by deploying the cutting means, and securing the valve assembly to the desired valve location with the anchoring structure in the 5 expanded shape.

In operations, a method of implanting a valve assembly according to the present invention is given below: a valve assembly made of an anchoring structure of the present invention and a collapsible valve, as described above, is placed on a deflated balloon means and is compressed thereon, either manually 10 or by use of the expansion/compression devices of the instant invention; the balloon means and the valve assembly are drawn into an insertion cover; a guide wire is inserted into a vessel through the central opening of the balloon catheter under continuous fluoroscopy; the insertion cover conveys the guide wire to a point in the channel in the immediate vicinity of the desired position of the valve 15 assembly; the balloon means is pushed out of the protection cap and the valve assembly is positioned in the desired position if necessary by use of further imaging means to ensure accurate positioning; the balloon means is inflated partially; the valve assembly is advanced with its cutting means cutting at least a portion of the native valve; the balloon means is further inflated to position the 20 valve at a desired site, preferably against the truncated valvular annulus; the balloon means is deflated; and the balloon means with entrapped tissue and debris inside the filter means, the guide wire, and the protection cap are drawn out and the opening in the channel, if any, wherein the valve prosthesis is inserted can be closed.

25 The present invention also provides for devices and methods to prevent the release of debris during removal of the native diseased valves from traveling to distant sites where such debris may cause undesirable physiological effects.

#### Distal Protection Assembly

As described above, removal or manipulation of diseased valves may result 30 in dislodgment of parts of the valve or deposits formed thereon which may be carried by the fluid to other parts of the body. Thus, the present invention provides for specialized filters that capture material and debris generated during valve replacement procedures. The distal protection devices of the present invention are also effective in trapping material that may be released during other 35 percutaneous interventional procedures, such as balloon angioplasty or stenting procedures by providing a temporary valve and filter in the same device.

In one preferred embodiment, shown in Figures 55 A and B, the present invention provides for a temporary valve (700), which may be deployed at a desired location in a collapsed state and then expanded and secured to the walls of the passageway. The temporary valve (700) comprises two concentric one-way valves, an outer valve (701) and an inner valve (702) disposed within the outer valve (701), that open in opposite directions as shown in Figure 55 B. The outer valve (701) opens in response to positive fluid flow pressure, thereby regulating blood flow in substantially one direction. The inner valve (702) opens in the opposite direction of the outer valve (701) to facilitate the insertion of catheter based equipment (703) as shown in Figure 55 C and functions as a seal through which such equipment may be passed. The pressure required to open the individual valves may be manipulated to facilitate positive fluid flow, while precluding or minimizing retrograde flow that might otherwise occur as a result of back flow pressure. Hence, it is contemplated that the inner valve (702) be configured or constructed to open with relatively more pressure than that required to open the outer valve.

The outer (701) and inner valves (702) of the temporary valve (700) may be coupled together by radial support members. In one embodiment, the radial support members couple the inner surface of the outer valve to the outer surface of the inner valve. The length of the radial support means depends upon the dimension of the blood vessel or body cavity within which the temporary valve is to be deployed.

The temporary valve may be constructed from material that is capable of self-expanding the temporary valve, once it is deployed from the collapsed state at the desired location. Once expanded, catheter based equipment required for the particular surgical procedure may be passed through and movably operated in relation to the temporary valve.

In another embodiment of the present invention, the temporary valve may be combined with a filter that extends distally from the temporary valve to capture debris material. In this embodiment, the temporary valve-filter device is preferably configured such that the open proximal end is secured to the temporary valve and the closed distal end comprises an opening or a third valve to facilitate the passage of the catheter equipment through the distal end of the bag and out of the temporary valve. Additional valves may also be positioned in the filter to coincide with one or more branching arteries.

In yet another preferred embodiment of the present invention, the temporary valve-filter device may include one or more traps within the filter bag to

trap debris material within the bag to reduce the likelihood of debris material leaving the filter when the catheter equipment is being passed through the filter bag. The filter traps may be comprised of one or more valves disposed within the filter bag that are configured to open with retrograde pressure. Alternatively, the 5 traps may be comprised of flaps that extend inwardly from the perimeter of the bag to create a cupping effect that traps particulate matter and directs it outwardly toward the perimeter of the filter bag. The filter traps may be constructed of material that is capable of facilitating and filtering antegrade fluid flow, while retaining the debris material within the filter bag.

10 The valve-filter assembly previously described may also incorporate multiple valves. In this arrangement, debris may be better and better entrapped, and thus reduces the chance of debris coming out of the valve-filter assembly. The present invention is particularly useful while performing an interventional procedure in vital arteries, such as the carotid arteries and the aorta, in which 15 critical downstream blood vessels can become blocked with debris material.

One benefit of the current invention is that it provides fast, simple, and quick deployment. One may deploy both the filter and temporary valve simultaneously. The valve-filter assembly may also include a cannulation system at the downstream end of the filter to remove particles and debris. The valve-filter 20 assembly may also include a grinder for cutting up or reducing the size of the debris. This debris, in turn, may be removed by a cannulation system or be allowed to remain in the filter.

The valve-filter assembly is well-suited for use in minimally invasive surgery where the valve-filter may be placed in the aorta between the aortic valve and the 25 innominate branch or the braciocephalic branch. In such a configuration, the valve-filter may be put in place before the start of surgery and function as a valve. The valve-filter may further collect debris and particles during removal and clean up of the old valve. The valve-filter may also stay in place while the new valve is put in place and until the end of the procedure to function as protection and as a 30 valve. A vascular filter system is well known to one skilled in the art, for example, U.S. pat. No. 6,485,501 issued on November 26, 2002.

In all of the embodiments described above, the invention may be part of a catheter. The invention may also be assembled onto a separate catheter. The valve-filter may also be part of a non-catheter device, placed directly into a blood 35 vessel or other lumen. In both the catheter and non-catheter embodiments, the valve-filter may be introduced into the body by the ways described in the following non-inclusive list: femoral artery, femoral vein, carotid artery, jugular vein, mouth,

nose, urethra, vagina, brachial artery, subclavian vein, open sternotomies, partial sternotomies, and other places in the arterial and venous system.

Furthermore, in all of the embodiments described above, the filter mesh of the valve-filter may be of any size and shape required to trap all of the material 5 while still providing sufficient surface area for providing satisfactory flows during the use of the filter. The filter may be a sheet or bag of different mesh sizes. In a preferred embodiment, the mesh size is optimized taking the following factors into consideration: flow conditions, application site, size of filter bag, rate of clotting, etc.

10 Radiopaque markers and/or sonoreflective markers, may be located on the catheter and/or the valve-filter assembly. An embodiment of the valve-filter catheter is described having an aortic transillumination system for locating and monitoring the position and deployment state of the catheter and the valve-filter assembly without fluoroscopy.

15 Additionally, visualization techniques including transcranial Doppler ultrasonography, transesophageal echocardiography, transthoracic echocardiography, epicardiac echocardiography, and transcutaneous or intravascular ultrasoneography in conjunction with the procedure may be used to ensure effective filtration.

20 Alternatively, or additionally, the material of the filter screen in each embodiment of the filter catheter may be made of or coated with an adherent material or substance to capture or hold embolic debris which comes into contact with the filter screen within the valve-filter assembly. Suitable adherent materials include, but are not limited to, known biocompatible adhesives and bioadhesive 25 materials or substances, which are hemocompatible and non-thrombogenic. Such material are known to those having ordinary skill in the art and are described in, among other references, U.S. Pat. No. 4,768,523, 5,055,046, 5,066,709, 5,197,973, 5,225,196, 5,374,431, 5,578,310, 5,645,062, 5,648,167, 5,651,982, and 5,665,477. In one particularly preferred embodiment, only the upstream side 30 of the elements of the filter screen are coated with the adherent material to capture the embolic material which comes in contact with the upstream side of the filter screen after entering the filter assembly. Other bioactive substances, for example, heparin or thrombolytic agents, may be impregnated into or coated on the surface of the filter screen material or incorporated into an adhesive coating.

35 In a preferred method, blood is filtered during cardiac surgery, in particular during percutaneous valve surgery, to protect a patient from embolization. In this method, the valve-filter is positioned in the aorta between the aortic valve and the

in nominate branch, where it filters blood before it reaches the carotid arteries, brachiocephalic trunk, and left subclavian artery. The valve contains the embolic material and foreign matter dislodged during the surgery and also provides a temporary valve for use during valve surgery. Such a method may be utilized 5 both on and off pump. Such a method may also be utilized for aortic, mitral, and pulmonary valve surgery and repair.

Although this invention has been exemplified for purposes of illustration and description by reference to certain specific embodiments, it will be apparent to those skilled in the art that various modifications and alterations of the illustrated 10 examples are possible. Numerous modifications, alterations, alternate embodiments, and alternate materials may be contemplated by those skilled in the art and may be utilized in accomplishing the present invention. Any such changes which derive directly from the teachings herein, and which do not depart from the spirit and scope of the invention, are deemed to be covered by this invention.

15 **Valve Delivery and Implantation System**

The present invention also provides for the delivery and implantation of the valve assemblies at a desired location. In one embodiment, a balloon-expandable valve delivery system permits the delivery and implantation of expandable replacement valve assemblies without the concomitant blockage of the blood flow 20 when the balloon is fully inflated.

Many conventional balloon inflatable and other devices used in connection with expanding a valve assembly for implantation does not permit continued blood flow when the device is fully expanded. For example, when implanting a replacement valve in the aortic position, the expansion device may temporarily 25 block the continued blood flow when the device is in its fully expanded state. Although this blockage is temporary, it exerts high pressure and stress within the heart, especially during systole. This is because the heart is essentially pumping against itself.

In addition to potentially damaging the heart, the high pressures produced 30 from the blocked blood flow may interfere with the implantation of the replacement valve at its intended location. For example, it may be very difficult to implant a replacement valve using conventional balloons which do not have a means to permit blood to flow through at its fully inflated and expanded state. This is because as the replacement valve is positioned and the balloon expansion device 35 begins to inflate, there is a decrease in the blood flow and an increase in the back pressure until finally, when blood flow is completely blocked, the pressure exerted

on the balloon by the blood flow may be so great that it causes the balloon and the replacement valve to shift. The embodiments disclosed herein permit for the expansion of the replacement valve assemblies without blocking the flow of blood.

5 Accordingly, in one embodiment, a balloon-expandable valve delivery and implantation system is provided. Figure 56 depicts one embodiment of a fully inflated balloon-expandable valve delivery and implantation system (1000) comprising an inflatable balloon member (1002) disposed around a catheter (1004). The catheter comprises an inner lumen (1006) and a plurality of openings 10 (1007) at the proximal (1007A) and distal (1007B) ends of the inflatable balloon member (1002). The plurality of openings (1007) permits the continued blood flow in through and out of the lumen (1006) of the catheter (1004) when the balloon member (1002) is fully inflated to implant the replacement valve (1008).

15 In yet another embodiment, the balloon member (1002) may be configured such that it is in fluid communication with a liquid or gas reservoir such that the balloon member (1002) may be inflated as the liquid or gas is infused from the reservoir to the balloon member and the balloon member (1002) may be contracted as the liquid or gas is withdrawn from the balloon member and back into the reservoir.

20 In yet another embodiment, the balloon-expandable valve delivery and implantation system may comprise a one-way check valve within the lumen (1006) of the catheter (1004). This one-way check valve acts as a temporary valve when the native valve ceases the function as is the case when the native valve leaflets are removed or compressed against the walls of the vessel as the inflatable 25 balloon member (1002) is expanded.

30 In still another embodiment, the perfusion balloon catheter may further comprise an elongated shaft (1010) that is movably disposed within the lumen of the catheter. Because the catheter (1004) is hollow, insertion of the elongated shaft (1010) is permitted, as well as other devices used in connection with valve replacement procedures, such as distal embolic protection assemblies. When the elongated shaft (1010) is positioned in the lumen (1006) of the catheter (1004) it will prevent the formation of air pockets within the lumen (1006) of the catheter (1004) as it is inserted into and advanced through the body. The elongated shaft (1010) also prevents back flow of blood out of the catheter (1004) as the device is 35 being advanced through the body to its intended location. When the replacement valve (1008) disposed around the balloon member (1002) is positioned at the desired location for implantation, the elongated shaft (1010) may be withdrawn

from the elongated catheter (1004) to permit blood to flow through the openings (1007).

In the embodiments disclosed above, balloon-expandable valve delivery and implantation devices may be used to deliver and implant an expandable valve assembly either by insertion of the device through major vessels or through the apex of the heart. The inflatable balloon member (1002) is first deflated and a replacement valve assembly (1008) is compressed around the deflated balloon member (1002). The elongated shaft (1010) is positioned within the catheter (1004) such that it prevents the introduction of air pockets or the back flow of blood out of the catheter (1004). The catheter may then be introduced into the patient through a major vessel or the apex of the heart and advanced through the vasculature to the location at which implantation of the replacement valve is desired. Once the replacement valve assembly (1008) is positioned and oriented in the proper position for implantation, the elongated shaft (1010) may be withdrawn or retracted to permit the blood to flow through the proximal openings (1007A), through the lumen of the catheter (1004) and out of the distal openings (1007B). The balloon member (1002) may then be inflated by the infusion of fluid or other suitable medium into the balloon member. The balloon member (1002) may be expanded to a diameter that is slightly larger than the diameter at the place of implantation so as to ensure that the valve is securely implanted.

In the embodiments disclosed herein, it is also understood that the catheter may be used to deliver other devices, tools or fluids which may be used in connection with the implantation of replacement valve assemblies. Such devices and tools may include imaging probes, distal embolic protection assemblies, temporary valves, valve decalcification systems, and additional balloons.

While the embodiments disclosed above are described with reference to an inflatable balloon member disposed around a catheter, other embodiments of the balloon-expandable valve delivery and implantation system may not include the catheter. In one embodiment, the balloon-expandable valve delivery and implantation system may comprise a cylindrically-shaped inflatable balloon member having a central opening. The cylindrically-shaped inflatable balloon member may be deliverable to the desired location by a guide wire or a catheter.

Figures 57A through 57I are cross-sectional views of embodiments of the cylindrically-shaped inflatable balloon member. In this embodiment, the inflatable and substantially cylindrical balloon member (1012) comprises an outer surface (1014), an inner surface (1016) and an opening (1018) defined by the inner

surface (1016) of the cylindrical balloon member (1012). The opening (1018) permits blood to flow through the balloon when it is fully inflated.

The inflatable balloon member (1012) may further comprise one or more lumens (1020). The lumen (1020) may be provided at various locations within the balloon member (1012) as depicted in FIGS. 57A through I. The lumen (1020) may accommodate a guide wire or other device to position the balloon member (1012) at the desired location. The lumen (1020) may be glued or attached to the inside wall (1016) of the balloon member (1012) or it may be molded to the inner surface (1016) of the balloon member (1012). Alternatively, as shown in FIG. 10 57D, the lumen may be held in suspension within the opening (1018) of the balloon member (1012) by a plurality of arms (1020) that extend radially from the lumen to the inner surface (1016) of the balloon member (1012) to the lumen (1020).

The lumen described in the aforementioned embodiments and as used herein may be hollow or solid. In one embodiment, a solid or hollow lumen may be provided as a support spine to ensure that the balloon member maintains its substantially shape and is not compressed along its longitudinal axis. In another embodiment, the lumen may be hollow so as to accommodate a guide wire that is disposed either fixedly or movably within the lumen. In this manner, the guide 20 wire may facilitate the insertion and advancement of the balloon member to its desired location for deployment. In yet another embodiment, the lumen may be configured such that it is in fluid communication with a liquid or gas reservoir such that the balloon member may be inflated as the liquid or gas is infused from the reservoir to the balloon member and contracted as the liquid or gas is withdrawn 25 from the balloon member and back into the reservoir.

In one embodiment, the inner surface (1016) of the balloon member (1012) may be characterized in which the inner surface has greater tensile strength and less elasticity than the outer surface. This may be desirable such that when the replacement valve is collapsed around the balloon member for delivery to its 30 intended location for implantation, the expansion of the valve assembly by inflation of the balloon assembly does not result in the inner surface (1016) of the balloon member (1012) from bulging inwards as a result of the high pressure involved in the radial expansion of the balloon member and the valve assembly.

The embodiments disclosed above may be used to deliver and implant an 35 expandable valve assembly either by insertion of the device through major vessels or through the apex of the heart. The inflatable balloon member is first deflated and a replacement valve assembly is compressed around the deflated

balloon member. The guide wire is positioned within the lumen of the balloon member such that it permits the delivery of the compressed valve assembly on the inflatable balloon member to the desired location. The guide wire may then be introduced into the patient through a major vessel or the apex of the heart and advanced through the vasculature to the location at which implantation of the replacement valve is desired. Once the replacement valve assembly is positioned and oriented in the proper position for implantation, the balloon member may be inflated by the infusion of fluid or other suitable medium into the balloon member. The balloon member may be expanded to a diameter that is slightly larger than the diameter at the place of implantation so as to ensure that the valve is securely implanted. After implantation of the valve assembly is completed, the balloon member may be deflated and removed from the patient's body.

Although the embodiments herein is described with reference to a balloon expandable member, it is understood that other expandable device may similarly be used in connection with the expansion and implantation of the valve assemblies, such as devices described above and depicted in Figures 31-39.

#### Imaging and Mapping for Delivery and Placement of Replacement Valves

The present invention also provides methods and systems for imaging the native valves and surrounding tissue before, during and/or after implantation of the replacement valves. The imaging system may be useful to image the aortic, mitral, tricuspid, and pulmonary valves prior to, during and after implantation of the replacement valves to ensure proper placement of the replacement valve at the desired location. The imaging system may also be useful to provide an image or map of other locations in which the valve assemblies may be implanted, such as the supra-aortic location or the inferior and/or superior vena cava, as described in co-pending and co-owned patent application Serial Nos. 10/418,677, 10/418,633 and 10/653,397, which is incorporated herein by reference. The imaging system may be used in connection with known percutaneous techniques or with apical delivery. The apical valve delivery approach is described in co-pending U.S. patent application Serial No. 10/831,770, filed April 23, 2005 and is incorporated herein by reference.

The imaging system is useful for aiding in the proper placement and rotational orientation of a deliverable replacement valve at a desired location. As previously described, there are certain in-vivo anatomical features of the native valve and the surrounding tissue which must be considered in determining the placement and orientation of a replacement valve. Certain embodiments of the

replacement valve assemblies disclosed herein have structural configurations and dimensions which are specifically adapted to fit within the geometry of the aortic valve sinus, such as commissural tabs and support posts. For example, the valve assemblies may comprise commissural support posts which are configured to coincide with the natural commissural posts of the aortic sinus.

Accordingly, imaging system disclosed herein may therefore be used to visualize the placement and the three-dimensional orientation of the valve assemblies such that the commissural support posts of the valve assembly is aligned with the natural commissural posts of the aortic sinus. The proper positioning and orientation of the replacement valve not only optimizes valve durability and hemodynamics, it also ensures that the position of replacement valve does not adversely interfere with the surrounding anatomy such as, for example, by blocking the coronaries.

While the imaging system is described in connection with delivery and implantation of replacement valves, it is understood that the imaging systems is not so limited. Rather, the imaging system may also be utilized in connection with other procedures in which visualization of the valve, vessel or other body cavity is desired, such as in valve removal, valve sizing, and valvuloplasty, to name a few.

Various types of imaging modalities may be used in connection with the imaging system. Although the current imaging system is described with reference to ultrasound imaging, such as intravascular ultrasound (IVUS), two-dimensional ultrasound probes, and three-dimensional ultrasound probes, it is understood that other suitable imaging modalities, or a combination of various other imaging modalities, may also be used in place of or in conjunction with ultrasound imaging. By utilizing a combination of different imaging modalities, it is possible to capture a more complete or detailed image of the anatomical features of the viewing field. Other imaging modalities include, but are not limited to, infrared (IR), ultraviolet (UV), optical coherence tomography (OCT), and magnetic resonance imaging (MRI). For example, ultrasound imaging may be used in conjunction with infrared imaging (IR), which is capable of providing an image of the valve and surrounding tissues through blood.

The imaging probe may provide differing fields of view, such as longitudinal imaging, radial imaging or a combination of both. For example, intravascular ultrasound (IVUS) probes are capable of providing radial imaging, whereas two- and three-dimensional ultrasound probes and infrared probes are capable of providing "forward-looking" or longitudinal imaging. Figures 58A and 58B depict

the longitudinal and radial imaging provided by the probes on an imaging and replacement valve delivery device.

In Figure 58A, longitudinal imaging (1057) is provided wherein the imaging probe (1056) is disposed on a catheter (1050) and is capable of capturing an image at a longitudinal field of view (1057) that is taken at an angle relative to the longitudinal axis of the catheter (1050). The imaging probe (1056) depicted in Figure 58A is located at the proximal end of the inflatable balloon member (1052) on which the replacement valve (1054) is disposed. The longitudinal field of view (1057) provided by the imaging probe (1056) captures an image of both the valve 10 (1054) and the surrounding tissue.

In Figure 58B, radial imaging is provided wherein the imaging probe (1058) is disposed on the catheter (1050) proximal to the inflatable balloon member (1052) on which the replacement valve (1054) is disposed. The imaging probe (1058) captures an image at a radial field of view (1059) taken at a substantially 15 perpendicular angle to the longitudinal axis of the catheter (1050). In this manner, the imaging probe (1058) is capable of obtaining an image that is a plane or sliced volume image of the 360° area outward from the longitudinal axis of the imaging probe (1058).

The imaging system may also be used in conjunction with other 20 conventional imaging technologies, such as transthoracic ultrasound probes, transesophageal ultrasound probes, epicardial ultrasound probes, intracardiac echo, computer tomography, magnetic resonance imaging, x-ray and cinefluoroscopy. These imaging technologies may further assist in determining and verifying various anatomical features observed by the imaging probe and to 25 further assist in the proper placement and orientation of replacement valve assembly. For example, radiopaque and/or sonoreflective markers may be provided at various locations within the anchor or support structure of the replacement valve to visualize the relative position of the valve assembly in the patient's body. While the use of the imaging system disclosed herein provides an 30 image of the valve and surrounding tissues within which the replacement valve is positioned, the conventional imaging technologies may be used to provide information as to the position and orientation of the replacement valve relative to the valve and surrounding tissues.

As previously discussed, the imaging system may be incorporated onto a 35 replacement valve delivery system and used to visualize the location in which implantation of the replacement valve is desired. The imaging system may then be used to position and orient the replacement valve at the desired location during

implantation and to subsequently inspect the implanted replacement valve at the desired location.

Accordingly, the imaging and valve delivery system may be used to deliver an expandable valve assembly, such as a balloon-expandable valve assembly, to 5 a desired location. In this embodiment, the imaging and valve delivery system may generally comprises at least one imaging probe, a catheter, an inflatable balloon member disposed on the catheter, and an expandable valve assembly compressed around the inflatable balloon member. In one embodiment, the catheter may comprise openings at the distal and proximal ends of the balloon 10 member, as described above, to permit blood to flow therethrough and to prevent the buildup of pressure when the balloon member is expanded.

The one or more imaging probes may be provided on various locations on the catheter. In one embodiment, a single imaging probe may be located on the catheter either just outside and adjacent to the balloon member or within the 15 balloon member. The imaging probe may provide either longitudinal or radial imaging, depending on the type of imaging probe that is used. The location of the imaging probe on the catheter is selected such that it the position of the valve assembly relative to the captured image can be ascertained. The imaging probe may also be located on the catheter such that it is centered directly underneath 20 the valve assembly.

Figures 59A-C depict embodiments of the imaging and replacement valve delivery system, wherein a single imaging probe is provided at various locations on the catheter. The imaging and replacement valve delivery systems in Figures 59A-C comprise a delivery catheter (1060) having an inflatable balloon member 25 (1064) disposed around the catheter (1060). An expandable valve assembly (1066) is shown in the fully expanded state and disposed around the fully inflated balloon member (1064). In Figure 59A, the imaging probe (1062) is provided on the catheter (1060) at a fixed location within the balloon member (1064) and underneath the valve assembly (1066) such that it is capable of capturing an 30 image of the 360° area (1063) surrounding the replacement valve assembly (1066). In Figures 59B-C, the imaging probe (1062) is provided on the catheter (1060) at a fixed location inside the balloon member (1064) and adjacent one side of the valve assembly (1066) such that it is capable of capturing an image of the 360° area (1063) adjacent the replacement valve assembly (1066).

35 In another embodiment multiple imaging probes may be provided in a variety of configurations. As depicted in Figure 60A and B, two imaging probes (1082, 1084) may be provided at fixed locations on the catheter (1080) such that

they are located adjacent to either the ends of the valve assembly (1088) to provide an images of the 360° area (1083, 1085) adjacent both ends of the replacement valve assembly (1066). As depicted in Figure 60B, a third imaging probe (1090) may be provided on the catheter (1080) at a fixed location within the 5 balloon member (1086) and underneath the valve assembly (1088) such that it is capable of capturing an image of the 360° area (1091) surrounding the replacement valve assembly (1088).

Figure 60C depicts an alternate embodiment in which two imaging probes (1082, 1084) may be provided at a fixed location on the catheter (1080) such that 10 they are located adjacent to either the ends of the balloon member (1086) to provide an images of the 360° area (1083, 1085) adjacent both ends of the balloon member (1086).

Again, it is understood that the number or location of the imaging probes on 15 the catheter is not critical so long as the relative position of the imaging probe and the compressed valve assembly is known so as to permit precise placement of the replacement valve assembly at its desired location within the valve or other body cavity. It is also understood that while the figures depict the radial field of view provided by the imaging probes, it is not so limited. A variety of different imaging probes may be provided on a single catheter having varying fields of view, 20 including the longitudinal field of view disclosed herein.

The imaging system may also be used in connection with visualization of the valve or vessel and its surrounding tissue prior to valvuloplasty. Accordingly, the imaging system may be used to visualize the valve or vessel for calcification or other lesions and the balloon member may be inflated to compress or remove 25 the calcium deposits or lesions. In one embodiment, the valvuloplasty imaging system is similar to the imaging and replacement valve system described above, with the exception that the replacement valve assembly is not included. In another embodiment, a distal embolic protection assembly may be delivered through the catheter and deployed downstream prior to inflation of the balloon member once a stenosed valve or vessel is diagnosed using the imaging system and identified as suitable for valvuloplasty. Suitable distal embolic protection 30 assemblies are generally known and also disclosed in co-pending and co-owned patent application Serial No. 10/938,410, filed September 10, 2004.

In yet another embodiment, the replacement valve system comprises an 35 apparatus for the delivery of a self-expandable valve assembly and an imaging system. In this embodiment, as depicted in Figures 61A-B, the imaging and replacement valve delivery system comprises a catheter (1100), a self-

expandable valve assembly (1104) compressed around the catheter (1100) and a sleeve (1102) movably disposed on the catheter (1100) to maintain the self-expandable valve (1104) in a compressed state for delivery of the valve to a desired location. In one embodiment, the one or more imaging probes may be 5 provided on the catheter for the imaging and replacement valve delivery system for the expandable valves. In Figure 61A, the imaging probe (1110) is located on the catheter (1100) at a fixed location underneath the self-expandable valve (1104) to provide an image of the 360° area (1111) surrounding the self-expandable valve (1104). In Figure 61B, the imaging probe (1112) is located on 10 the catheter (1100) at a fixed location proximal to the self-expandable valve (1104) to provide an image of the longitudinal field of view (1113) surrounding the self-expandable valve (1104). Although the imaging probe is depicted in Figures 61A-B as being located on the catheter, in yet a further embodiment, the one or 15 more imaging probes may be provided on the outside of the sleeve (1102), such that the location in which implantation of the valve assembly (1104) is visualized and the relative position of the imaging probe(s) (1110, 1112) and the compressed valve (1104) is known.

In still another embodiment, the imaging probe may be separately provided such that it is inserted into the lumen of the catheter and movably disposed within 20 the lumen catheter in connection with the delivery of either the balloon expandable valve or the self-expandable valve. In this embodiment, the imaging probe may be used to provide a complete picture of how the valve assembly is positioned relative to certain anatomical structures at the desired location just prior to deployment of the valve assembly. After the valve assembly is implanted, the 25 imaging system may be used to inspect the position of the valve assembly. As depicted in Figure 62, a movable imaging probe (1200) is provided within the lumen of the catheter (1202) having an inflatable balloon member (1204) disposed therein. The replacement valve (1206) is provided around the inflatable balloon (1204) such that it may be expanded at a desired location. In this embodiment, 30 imaging probe (1200) may provide either radial or longitudinal fields of view (not shown).

In yet another embodiment, a method and assembly is provided in which the placement of the replacement heart valve is accomplished by providing either a two- or three-dimensional map of the target location and surrounding tissue in 35 which placement of the replacement heart valve is desired. Once such a map of the target location is generated, the replacement valve assembly may then be delivered and positioned at the target location by reference to the map of the

target location and the distance coordinates obtained from the imaging probe. An intravascular ultrasound mapping with superimposed imaging and directed placement of percutaneously delivered heart valve is disclosed in U.S. patent application Serial No. 10/529,242, filed December 12, 2003.

5        In one embodiment, the two- or three-dimensional map may be generated by an imaging probe, such as an IVUS, two-dimensional or three-dimensional ultrasound probes, that is provided on the imaging and replacement valve delivery system. The distance traveled by the imaging probe in relation to a fixed point is simultaneously recorded with the generation of the map as the imaging probe moves and captures the images of the desired location. Once the map of the 10 desired location and the distance coordinates of the imaging probe relative to the desired location is generated, the delivery of the replacement valve assembly may be accomplished by superimposing the delivery of replacement valve assembly on the map generated for the imaged area.

15      In yet a further embodiment, the imaging and valve delivery system may be provided wherein the an image of the valve and the surrounding tissue or other desired location within the patient's body is captured before the replacement valve assembly is delivered, positioned and implanted at the desired location. The image may be captured by, for example, an ultrasound or IVUS imaging probe, 20 transmitted to a processor and retrieved for subsequent viewing. In one embodiment, an imaging probe is introduced into the patient and advanced to the area surrounding the location in which imaging is desired. As the imaging probe is moved from the desired location, the coordinates reflecting the relative location of the imaging probe is concurrently provided and recorded.

25      In another embodiment, the imaging and replacement valve system comprises a guide wire, an imaging probe movably disposed on the guide wire, a pullback device that is capable of monitoring and recording the longitudinal displacement or velocity of the imaging probe, and a replacement valve delivery device. The pullback device may be any device that monitors and records 30 longitudinal measurement of the imaging probe as it moves along the guide wire that is inserted into the patient.

35      In embodiments where imaging of the aortic valve and surrounding tissue is desired, the imaging probe may be inserted into the patient's body at the femoral or other major vessels or the apex of the patient's heart. If the imaging probe is inserted into the femoral vessels, then the imaging probe is passed through the vasculature until it is advanced through the aortic or other valve. If the imaging probe is inserted into the apex of the heart, it is advanced through the left ventricle

and through the aortic valve. The imaging probe may then be pulled back at a fixed velocity or distance using a pullback device and these parameters may be simultaneously recorded with the images captured by the imaging probe.

Once an image map of the desired location has been generated, a 5 replacement heart valve may be introduced on a delivery system that is maneuvered to the exact location as dictated by the pullback device. As described above, this may be accomplished using either the expandable or self-expanding valve assemblies. The delivery of the replacement valve assembly may then be superimposed on top of the three-dimensional image in the virtual 10 map to provide the impression that the operator/physician is seeing the replacement heart valve in the aorta in real time. The pullback device may be used to measure the distance that the replacement valve assembly is moving and to position the replacement valve assembly at the desired position with the location in which implantation of the valve is desired.

15 The replacement valve delivery system does not itself require an imaging probe or transducer because the replacement heart valve is being delivered by reference to the three-dimensional image previously generated by the imaging probe. In another embodiment, the replacement valve delivery system may additionally comprise an imaging transducer. In this case, the operator may 20 switch back and forth between the virtual and real-time imaging to further ensure proper placement of the replacement valve.

The imaging system may also be used in conjunction with an electrocardiogram (EKG), such that the images are understood in context of the beating of the heart and the opening and closing of the heart valves. Because the 25 imaging system is used to capture the images of the valve and surrounding tissues while the heart is beating, a simultaneous EKG reading permits the user to more clearly identify the anatomical features of the valve and understand the images in the relation to the movement of the valve leaflets and surrounding tissue as the valve opens and closes. Additionally, insofar as the EKG indicates 30 the opening and closing of the valve, the physician may time the deployment or implantation of the replacement valve in relation to the opening or closing of the native valve.

Claims:

1. A valve assembly comprising:

a replacement valve; and

an expandable and collapsible anchoring structure;

5           said anchoring structure being dimensioned to extend longitudinally from an attachment location near the inflow annulus of a valve sinus to an attachment location near the outflow annulus of a valve sinus.

2. The valve assembly of claim 1, wherein said valve is positioned internally to said anchoring structure.

10           3. The valve assembly of claim 2, wherein said valve comprises an inflow annulus, an outflow annulus, and a plurality of leaflets.

4. The valve assembly of claim 3, wherein said inflow annulus is scalloped.

15           5. The valve assembly of claim 1, wherein said anchoring structure comprises an inflow rim, an outflow rim, and a plurality of support posts extending from the inflow rim to the outflow rim.

6. The valve assembly of claim 5, wherein said support posts are configured to coincide longitudinally with the sinus commissural posts.

20           7. The valve assembly of claim 6, wherein said support posts comprise axially extending slots toward the outflow end for the attachment of a valve's commissural tabs.

8. The valve assembly of claim 7, wherein said support posts comprise triangular shaped elements at the inflow end.

9. The valve assembly of claim 8, wherein said support posts further comprise a plurality of bores on either side of said slots to facilitate attachment of the commissural tabs.

10. The valve assembly of claim 5, wherein said outflow rim comprises a plurality of rings.

11. The valve assembly of claim 10, wherein said plurality of rings are configured in an undulating pattern.

12. The valve assembly of claim 11, wherein said plurality of rings are substantially parallel to each other.

13. The valve assembly of claim 12, wherein said plurality of rings are connected by a vertical element.

14. The valve assembly of claim 5, wherein said inflow rim comprises a plurality of rings.

15. The valve assembly of claim 14, wherein said plurality of rings are configured in an undulating pattern.

16. The valve assembly of claim 15, wherein said plurality of rings are substantially parallel to each other.

17. The valve assembly of claim 16, wherein said plurality of rings are connected by a vertical element.

18. The valve assembly of claim 5, wherein said inflow rim comprises one ring.

19. The valve assembly of claim 18, wherein said ring is configured in an undulating pattern.

20. The valve assembly of claim 1, wherein said anchoring structure is 25 collapsible to at least 50% of its expanded diameter.

21. A valve assembly comprising:  
a replacement valve; and  
a collapsible and expandable anchoring structure;  
said anchoring structure being dimensioned to extend longitudinally from  
5 an attachment location near the inflow annulus of a valve sinus to an attachment  
location within a sinus cavity; and  
said anchoring structure further comprising a flared inflow rim, a flared  
outflow rim, and a plurality of vertical elements extending from the inflow rim to the  
outflow rim.

10 22. The valve assembly of claim 21, wherein said valve is positioned  
internally to said anchoring structure.

23. The valve assembly of claim 22, wherein said valve comprises an  
inflow annulus, an outflow annulus and a plurality of leaflets.

15 24. The valve assembly of claim 23, wherein said inflow annulus is  
scalloped.

25. The valve assembly of claim 21, wherein said flared inflow rim  
comprises one or more rings configured in an undulating pattern.

26. The valve assembly of claim 21, wherein said flared outflow rim  
comprises diamond and hexagon shaped structures.

20 27. The valve assembly of claim 21, wherein said vertical elements are  
configured to coincide longitudinally with the sinus commissural posts.

28. A valve assembly comprising:  
a replacement valve comprising an inflow annulus, an outflow annulus, and  
a plurality of leaflets; and  
25 a collapsible and expandable anchoring structure;  
said anchoring structure being dimensioned to extend longitudinally from  
an attachment location near the inflow annulus of a valve sinus to an attachment  
location within a sinus cavity;

said anchoring structure further comprising a flared inflow rim and a plurality of flexible vertical posts extending from the inflow rim in a direction toward said outflow annulus of said valve.

29. The valve assembly of claim 28, wherein said inflow annulus is  
5 scalloped.

30. The valve assembly of claim 28, wherein said flared inflow rim comprises a ring configured in an undulating pattern.

31. The valve assembly of claim 28, wherein said flexible vertical posts are configured to coincide longitudinally with the sinus commissural posts.

10 32. The valve assembly of claim 28, wherein said flexible vertical posts further comprise a diamond-shaped element.

33. The valve assembly of claim 5, wherein said flexible vertical posts flex inwardly at back flow pressure.

15 34. The valve assembly of claim 28, wherein said valve is positioned internally to said anchoring structure.

35. A valve assembly comprising:  
a replacement valve;  
and a collapsible and expandable anchoring structure;  
said anchoring structure being dimensioned to extend longitudinally from  
20 an attachment location near the inflow annulus of a valve sinus to an attachment location within a sinus cavity, and  
said anchoring structure further comprising a flared inflow rim, a flared outflow rim, and a plurality of support posts extending from said inflow rim to said outflow rim.

25 36. The valve assembly of claim 35, wherein said valve is positioned internally to said anchoring structure.

37. The valve assembly of claim 36, wherein said valve comprises an inflow annulus, an outflow annulus and a plurality of leaflets.

38. The valve assembly of claim 37, wherein said inflow annulus is scalloped.

39. The valve assembly of claim 35, wherein said support posts are configured to coincide longitudinally with the sinus commissural posts.

5 40. The valve assembly of claim 39, wherein said support posts extend beyond the outflow rim.

41. The valve assembly of claim 40, wherein said support posts deflect inwardly at backflow pressure.

10 42. The valve assembly of claim 41, wherein said support posts comprise tab attachment windows.

43. The valve assembly of claim 42, wherein said tab attachment windows are triangular.

44. The valve assembly of claim 35, wherein said flared outflow rim comprises a plurality of rings.

15 45. The valve assembly of claim 44, wherein said plurality of rings are configured in an undulating pattern.

46. The valve assembly of claim 45, wherein said plurality of rings are connected by a vertical element.

20 47. The valve assembly of claim 35, wherein said inflow rim comprises a plurality of rings.

48. The valve assembly of claim 47, wherein said plurality of rings are configured in an undulating pattern.

49. The valve assembly of claim 48, wherein said plurality of rings are substantially parallel to each other.

60

50. The valve assembly of claim 49, wherein said plurality of rings are connected by a vertical element.

51. The valve assembly of claim 35, wherein said inflow rim comprises barbs.

5 52. A valve assembly, comprising:  
a replacement valve; and  
a collapsible and expandable anchoring structure,  
said anchoring structure being dimensioned to lodge inside a valve sinus.

10 53. The valve assembly of claim 52, wherein said anchoring structure comprises a plurality of elliptical segments joined side by side to form junctures.

54. The valve assembly of claim 53, wherein said junctures define longitudinal support post areas.

55. The valve assembly of claim 54, wherein said longitudinal support post areas are configured to coincide with the sinus commissural posts.

15 56. The valve assembly of claim 53, wherein said elliptical segments flare outwardly at the inflow and outflow ends.

57. The valve assembly of claim 53, wherein said elliptical segments are joined by a double crimp.

20 58. The valve assembly of claim 53, wherein said elliptical segment anchoring structure is made of a material with a high modulus of elasticity.

59. The valve assembly of claim 53, wherein the outflow ends of said elliptical segments are removed and the distal ends of the junctures between elliptical segments are formed into prongs.

25 60. The valve assembly of claim 53, wherein said elliptical segment anchoring structure is made from one piece of tubing.

61. The valve assembly of claim 60, wherein said elliptical segment anchoring structure comprises a plurality of support posts.

62. The valve assembly of claim 61, wherein said support posts comprise axial slots oriented toward the outflow end.

5 63. The valve assembly of claim 61, wherein said support posts comprise a plurality of suture bores.

64. The valve assembly of claim 61, wherein said support posts are configured to coincide longitudinally with the sinus commissural posts.

10 65. A valve assembly comprising:  
a replacement valve; and  
an anchoring structure comprising one or more sinusoidal inflow rings.

66. The valve assembly of claim 65, wherein said valve is positioned internally to said anchoring structure.

15 67. The valve assembly of claim 66, wherein said valve comprises an inflow annulus, an outflow annulus, and a plurality of leaflets.

68. The valve assembly of claim 67, wherein said inflow annulus is scalloped.

69. The valve assembly of claim 65, wherein said inflow rings are configured in an inverse sinusoidal pattern.

20 70. The valve assembly of claim 65, wherein said anchoring structure further comprises one or more sinusoidal outflow rings.

71. The valve assembly of claim 70, wherein said sinusoidal outflow rings are configured in an inverse sinusoidal pattern.

25 72. The valve assembly of claim 70, further comprising a plurality of longitudinal rods connecting the inflow and outflow rings.

73. The valve assembly of claim 70, wherein the inflow or outflow rings further comprise attachment barbs

74. A valve assembly comprising:

a replacement valve; and

5 a tubular anchoring structure comprising a distal end, an inflow rim comprising a plurality of suture bores; and a plurality of vertical support posts comprising axial slots.

75. The valve assembly of claim 74, wherein said valve is positioned internally to said anchoring structure.

10 76. The valve assembly of claim 75, wherein said valve comprises an inflow annulus, an outflow annulus, and a plurality of leaflets.

77. The valve assembly of claim 76, wherein said inflow annulus is scalloped.

78. A valve assembly comprising:

15 a replacement valve; and

a dual ring anchoring structure;

said dual ring anchoring structure comprising an inflow ring and an outflow ring connected by a vertical element.

20 79. The valve assembly of claim 78, wherein said valve is positioned internally to said anchoring structure.

80. The valve assembly of claim 79, wherein said valve comprises an inflow annulus, an outflow annulus, and a plurality of leaflets.

81. The valve assembly of claim 80, wherein said inflow annulus is scalloped.

25 82. The valve assembly of claim 78, wherein said vertical element comprises two posts.

83. The valve assembly of claim 82, wherein said two posts are configured to slide past each other upon compression of the anchoring structure.

84. The valve assembly of claim 82, wherein said two posts form a single vertical element upon compression of the anchoring structure.

5 85. A valve assembly comprising:

a replacement valve; and

a spring-aided anchoring structure comprising a plurality of members and a plurality of springs therebetween.

10 86. The valve assembly of claim 85, wherein said valve is positioned internally to said anchoring structure.

87. The valve assembly of claim 86, wherein said valve comprises an inflow annulus, an outflow annulus, and a plurality of leaflets.

88. The valve assembly of claim 87, wherein said inflow annulus is scalloped.

15 89. The valve assembly of claim 85, wherein said members comprise peaks for the attachment of said valve and valleys for lodging the anchoring structure at a location near a sinus inflow annulus.

90. The valve assembly of claim 89, further comprising a plurality of anchoring sections.

20 91. An expansion and contraction device for implanting a valve assembly, comprising:

a plurality of hollow wires;

a grip handle; and

a cylinder comprising outwardly angled holes along its perimeter.

25 92. The expansion and contraction device of claim 91, wherein said valve assembly comprises a replacement valve and anchoring structure.

93. The expansion and contraction device of claim 92, wherein said valve is positioned internally to said anchoring structure.

94. The valve assembly of claim 93, wherein said valve comprises an inflow annulus, an outflow annulus, and a plurality of leaflets.

5 95. The valve assembly of claim 94, wherein said inflow annulus is scalloped.

96. The expansion and contraction device of claim 91, wherein said wires are inserted in said angled holes.

10 97. The expansion and contraction device of claim 96, wherein said wires form a circle.

98. The expansion and contraction device of claim 97, wherein said circle is expanded by pushing the wires through said angled holes.

99. An expansion and contraction device for implanting a valve assembly, comprising:

15 a hollow tube with an interior wall and an exterior wall;  
a plurality of wall panels;  
a plurality of springs connected thereto by a plurality of connecting wires;  
and  
a plurality of spindles.

20 100. The expansion and contraction device of claim 99, wherein said valve assembly comprises a replacement valve and anchoring structure.

101. The expansion and contraction device of claim 100, wherein said valve is positioned internally to said anchoring structure.

25 102. The valve assembly of claim 101, wherein said valve comprises an inflow annulus, an outflow annulus, and a plurality of leaflets.

103. The valve assembly of claim 102, wherein said inflow annulus is scalloped.

104. The expansion and contraction device of claim 99, wherein said connecting wires are routed through holes in said tube and through said springs to connect at the center of said tube.

105. The expansion and contraction device of claim 99, wherein said wall 5 panels are pushed outwardly upon releasing tension in the connecting wires.

106. An expansion and contracting device for implanting a valve assembly comprising a hollow tube surrounded by a plurality of wall panels connected to a plurality of spring loaded pins extending from the exterior of the tube to a central plate at the interior of the tube, said central plate having spiral shaped edges, 10 such that rotation of the central plate pushes the pins radially outward.

107. The expansion and contraction device of claim 106, wherein said valve assembly comprises a replacement valve and anchoring structure.

108. The expansion and contraction device of claim 107, wherein said valve is positioned internally to said anchoring structure.

109. The valve assembly of claim 18, wherein said valve comprises an inflow annulus, an outflow annulus, and a plurality of leaflets.

110. The valve assembly of claim 109, wherein said inflow annulus is scalloped.

111. A valve assembly to be endovascularly implanted within a body 20 channel, comprising:

an anchoring structure;

a collapsible valve connected to the anchoring structure, wherein the collapsible valve is configured to permit fluid to flow in a first direction and prevent fluid to flow in a second direction;

25 an outer circumferential reservoir positioned at an outermost radius of the anchoring structure when the anchoring structure is at an expanded state; and a sealable fixation compound housed within the reservoir.

112. The valve assembly of claim 111, wherein said valve is positioned internally to said anchoring structure.

113. The valve assembly of claim 112, wherein said valve comprises an inflow annulus, an outflow annulus, and a plurality of leaflets.

114. The valve assembly of claim 113, wherein said inflow annulus is scalloped.

5 115. The valve assembly of claim 111, wherein the anchoring structure is collapsible and expandable to fill the body channel.

116. The valve assembly of claim 115, wherein multiple reservoirs are attached to the anchoring structure.

10 117. The valve assembly of claim 116, wherein the reservoir has two concentric compartments.

118. The valve assembly of claim 111, wherein the sealable fixation compound comprises a two component biological adhesive that is activated by mixing the two components.

15 119. The valve assembly of claim 118, wherein the two components are housed in separate reservoirs.

120. The valve assembly of claim 111, wherein the sealable fixation compound is a temperature sensitive adhesive that is activated by heat.

121. The valve assembly of claim 111, wherein the sealable fixation compound comprises a plurality of microparticles that is activated by water.

20 122. The valve assembly of claim 111, wherein the sealable fixation compound is activated by blood.

123. The valve assembly of claim 111, wherein said anchoring structure is inflated by a balloon catheter to the expanded state from the collapsed state.

25 124. The valve assembly of claim 111, wherein said anchoring structure is self expanding.

125. The valve assembly of claim 111, wherein the reservoir excretes the sealable fixation compound when the support structure is fully expanded.

126. The valve assembly of claim 111, wherein the reservoir is made of a material that ruptures from the pressure exerted by the expanded anchoring structure when expanded to an appropriate diameter.

127. The valve assembly of claim 111, wherein the reservoir is made of a material that erodes upon contact with body fluids.

128. The valve assembly of claim 111, wherein endovascular implantation through a body channel is carried out by coupling the anchoring structure to a catheter.

129. The valve assembly of claim 111, wherein the collapsible valve is a biological valve.

130. The valve assembly of claim 111, wherein the collapsible valve is a nonbiological valve.

131. The valve assembly of claim 111, wherein the collapsible valve comprises three valve leaflets.

132. The valve assembly of claim 111, wherein the collapsible valve comprises two valve leaflets.

133. A method of endovascularly implanting a collapsible valve assembly within a body channel, comprising the steps of:

providing a collapsible valve connected to an anchoring structure, the collapsible valve and anchoring structure being expandable to fill the body channel, the collapsible valve being further configured to permit fluid to flow in a first direction and prevent fluid to flow in a second direction, the anchoring structure having an outer circumferential reservoir located at an outermost radius of the anchoring structure when the anchoring structure is at an expanded state, wherein the reservoir contains a sealable fixation compound for securely fixing the valve assembly at a desired body channel location;

passing the collapsible valve assembly to the desired body channel location;

excreting the sealable fixation compound from the reservoir when the anchoring structure is at an expanded state; and

5 sealing the anchoring structure onto a tissue at the desired body channel location by activating the sealable fixation compound.

134. The method of claim 133, wherein said valve assembly comprises a replacement valve and anchoring structure.

10 135. The method of claim 134, wherein said valve is positioned internally to said anchoring structure.

136. The method of claim 135, wherein said valve comprises an inflow annulus, an outflow annulus, and a plurality of leaflets.

137. The method of claim 136, wherein said inflow annulus is scalloped.

15 138. The method of claim 133, wherein the anchoring structure is collapsible and expandable to fill the body channel.

139. The method of claim 133, wherein multiple reservoirs are attached to the anchoring structure.

20 140. The method of claim 133, wherein the sealable fixation compound comprises a two component biological adhesive that is activated by mixing the two components.

141. The method of claim 140, wherein the two component biological adhesives are housed in separate reservoirs.

142. The method of claim 141, wherein the reservoir is comprised of two concentric compartments.

25 143. The method of claim 133, wherein the sealable fixation compound is a temperature sensitive adhesive that is activated by heat.

144. The method of claim 133, wherein the sealable fixation compound comprises a plurality of microparticles activated by water.

145. The method of claim 133, wherein the sealable fixation compound is activated by blood.

5 146. The method of claim 133, wherein the anchoring structure is inflated by a balloon catheter to the expanded state from the collapsed state.

147. The method of claim 133, wherein the anchoring structure is self expanding.

10 148. The method of claim 133, wherein the reservoir excretes the sealable fixation compound when the anchoring structure is fully expanded.

149. The method of claim 133, wherein the reservoir is made of a material that ruptures from the pressure exerted by the expanded anchoring structure when expanded to an appropriate diameter.

15 150. The method of claim 133, wherein the reservoir is made of a material that erodes upon contact with body fluids.

151. The method of claim 133, wherein endovascular implantation through the body channel is carried out by coupling the anchoring structure to a catheter.

152. The method of claim 133, wherein the collapsible valve is a biological valve.

20 153. The method of claim 133, wherein the collapsible valve is a nonbiological valve.

154. The method of claim 133, wherein the collapsible valve comprises three valve leaflets.

25 155. The method of claim 133, wherein the collapsible valve comprises two valve leaflets.

156. A valve sizing apparatus, comprising:  
a catheter having a distal end, a proximal end, and a central lumen adapted  
to fit through a body cavity,  
a guidewire, an intravascular ultrasound catheter, and a balloon catheter  
5 comprising a balloon having a distal end, a proximal end, and an outer wall  
circumferentially surrounding a portion of the catheter at its distal portion;  
a transducer positioned on the intravascular ultrasound catheter with the  
ability to emit and receive a signal;  
a sizing window positioned over the transducer; and  
10 an auxiliary processor for processing the ultrasonic signals operatively  
connected to the transducer.

157. The valve sizing apparatus of claim 156, wherein the central lumen  
comprises multiple lumens.

158. The valve sizing apparatus of claim 156, wherein the outer wall is  
15 compliant or non-compliant.

159. The valve sizing apparatus of claim 156, wherein the balloon is  
inflated to a pressure whereby the outer wall portion of the balloon with the  
greatest radius from the center is in contact with the body cavity.

160. The valve sizing apparatus of claim 156, wherein the balloon is  
20 proximal to the distal portion of the catheter.

161. The valve sizing apparatus of claim 156, wherein the balloon is  
inflated with saline.

162. The valve sizing apparatus of claim 156, wherein the transducer is  
positioned centrally to the balloon such that an ultrasonic sound may be emitted  
25 from the transducer in a perpendicular direction to the longitudinal axis of the intra  
vascular catheter and reflected by the outer wall back to the transducer.

163. The valve sizing apparatus of claim 156, wherein the balloon is  
inflated with gas.

164. The valve sizing apparatus of claim 156, wherein the transducer is positioned centrally to the balloon such that an infrared light may be emitted from the transducer in a perpendicular direction to the longitudinal axis of the intra vascular ultrasound catheter and reflected by the outer wall back to the  
5 transducer.

165. The valve sizing apparatus of claim 156, wherein the signal received by the transducer is processed by the auxiliary processor to determine the diameter of the inflated balloon and the body cavity.

166. A method for determining a diameter of a body cavity, comprising the  
10 steps of:

emitting a signal from a transducer through a sizing window, said transducer positioned on an intravascular ultrasound catheter guided through a body cavity by a guidewire, the intravascular catheter and guidewire share the lumen of a catheter with a balloon catheter, said balloon catheter comprising a  
15 balloon, said balloon having an outer wall circumferentially surrounding a portion of the catheter;

reflecting the signal off the outer wall of the balloon;

receiving the signal through the transducer through the sizing window positioned directly over the transducer;

20 transmitting data received by the transducer to an auxiliary processor for calculating the diameter of the body cavity.

167. The method of claim 166, wherein the central lumen comprises multiple lumens.

168. The method of claim 166, wherein the outer wall is compliant or non-  
25 compliant.

169. The method of claim 166, wherein the balloon is proximal to the distal portion of the catheter.

170. The method of claim 166, wherein the balloon is inflated to a pressure whereby the outer wall portion of the balloon with the greatest radius from the  
30 center is in contact with the body cavity.

171. The method of claim 166, wherein the balloon is inflated with saline.

172. The method of claim 166, wherein the transducer is positioned centrally to the balloon such that an ultrasonic sound may be emitted from the transducer in a perpendicular direction to the longitudinal axis of the intravascular ultrasound catheter and reflected by the outer wall back to the transducer.

173. The method of claim 166, wherein the balloon is inflated with gas.

174. The method of claim 166, wherein the transducer is positioned centrally to the balloon such that an infrared light may be emitted from the transducer in a perpendicular direction to the longitudinal axis of the intra vascular ultrasound catheter and reflected by the outer wall back to the transducer.

175. The method of claim 166, wherein the signal received by the transducer is processed by the auxiliary processor to determine the diameter of the inflated balloon and the body cavity.

176. A valve assembly comprising:  
15 a replacement valve;  
an anchoring structure; and  
native valve removal means comprising cutting means selected from the group consisting of radiofrequency, ultrasound, and sharp edge cutting means.

177. The valve assembly of claim 176, wherein said valve is positioned  
20 internally to said anchoring structure.

178. The valve assembly of claim 177, wherein said valve comprises an inflow annulus, an outflow annulus, and a plurality of leaflets.

179. The valve assembly of claim 178, wherein said inflow annulus is scalloped.

25 180. A method of endovascularly implanting a valve assembly through a vessel, comprising the steps of:  
providing a collapsibly expandable valve assembly comprising an anchoring structure with an annulus base and a collapsible valve connected to the

anchoring structure, the collapsible valve being configured to permit flow in a direction and prevent flow in an opposite direction, the anchoring structure having cutting means located at about the annulus base for cutting a native valve; passing the valve assembly through the vessel with the anchoring structure in a collapsed state; advancing the cutting means against the native valve with the anchoring structure in a partially expanded state;

5 cutting at least a portion of the native valve by deploying the cutting means; and

securing the valve assembly to the desired valve location with the

10 anchoring structure in the expanded state.

181. The method of claim 180, wherein the collapsibly expandable valve is a biological valve.

182. The method of claim 180, wherein the collapsibly expandable valve is a nonbiological valve.

183. The method of claim 180, wherein the cutting means comprises a plurality of sharp edge elements, each sharp edge element having a sharp end enabling said sharp edge element to cut through at least a portion of the native valve.

184. The method of claim 180, wherein the cutting means comprises a plurality of electrode elements, and wherein radiofrequency energy is delivered to each electrode element enabling said electrode element to cut through at least a portion of the native valve.

185. The method of claim 180, wherein the cutting means comprises a plurality of ultrasound transducer elements, and wherein ultrasound energy is delivered to each transducer element enabling said transducer element to cut through at least a portion of the native valve.

186. The method of claim 180, wherein the at least a portion of the native valve is a leaflet.

187. The method of claim 180, wherein the advancing step is carried out with the anchoring structure placed on an inflatable balloon, and wherein the anchoring structure is in a partially expanded state.

188. The method of claim 180, wherein the securing step is carried out by 5 inflating a balloon so that the balloon moves the anchoring structure from the partially collapsed state to the expanded state.

189. The method of claim 180, wherein the passing step is carried out by coupling the anchoring structure to a catheter.

190. The method of claim 180, wherein the catheter further comprises filter 10 means for entrapping the cut portion of the native valve.

191. The method of claim 180, wherein the providing step is carried out with the collapsible valve having three valve leaflets.

192. A valve assembly to be endovascularly implanted through a vessel, comprising:

15 a collapsible expandable anchoring structure having an inflow rim, wherein the inflow rim comprises cutting means sized and configured for cutting at least a portion of a native valve; and

20 a collapsible valve connected to the anchoring structure, wherein the collapsible valve is configured to permit flow in a direction and prevent flow in an opposite direction.

193. The valve assembly of claim 192, wherein the cutting means is selected from the group consisting of radiofrequency, ultrasound and sharp edge cutting means.

194. A collapsible temporary valve for placement in a blood vessel or body 25 cavity of a patient, the temporary valve comprising:

a substantially cylindrical outer valve, permitting substantially unidirectional blood flow of the patient by opening in response to positive fluid flow pressure; and

an inner valve disposed within the outer valve, the inner valve opening in a direction opposite the outer valve and permitting a catheter or other device to pass through the temporary valve;

5 wherein the temporary valve is expandable to securely fit within the walls of the blood vessel or body cavity upon deployment.

195. The temporary valve of claim 194 further comprising at least one radial support member extending between and securing the inner and outer valves together.

10 196. The temporary valve of claim 194 further comprising radiopaque markers and/or sonoreflective markers to show the position and/or deployment state of the temporary valve.

197. The temporary valve of claim 194 further comprising bioactive substances, such as heparin and/or thrombolytic agents.

15 198. The temporary valve of claim 194, wherein the pressure required to open the outer valve is less than that required to open the inner valve.

199. The temporary valve of claim 194, wherein the temporary valve is made of elastic or superelastic material.

200. The temporary valve of claim 194, wherein the temporary valve is passively deployed.

201. A collapsible valve-filter assembly for placement in a blood vessel or other body cavity of a patient to capture embolic material, the valve-filter comprising:

the temporary valve of claim 194;

25 a filter bag comprising a plurality of pores, the filter bag having a first end and a second end, the first end fixedly attached to the temporary valve and the second end having an opening to permit the catheter to enter the filter bag and out through the inner valve of the temporary valve; and

wherein the filter bag extends distally from the temporary valve.

202. The collapsible valve-filter assembly of claim 201, wherein the opening comprises a unidirectional valve to permit the entry of the catheter into the filter bag.

5 203. The collapsible valve-filter assembly of claim 201 further comprising additional valves to coincide with one or more of the aortic arch arteries.

204. The collapsible valve-filter assembly of claim 201 further comprising at least one trap to maintain embolic material within the filter bag.

10 205. The collapsible valve-filter assembly of claim 201 further comprising radiopaque markers and/or sonoreflective markers to show the position and/or deployment state of the temporary valve.

206. The collapsible valve-filter assembly of claim 201 further comprising bioactive substances, such as heparin and/or thrombolytic agents.

15 207. The collapsible valve-filter assembly of claim 201, wherein the filter bag is a mesh made of any one or a combination of materials selected from the group consisting of: woven fabric, non-woven fabric, knitted fabric, wire, and an open cell foam material.

208. The collapsible valve-filter assembly of claim 201 further comprising an adhesive material to capture or hold the embolic material within the filter bag.

209. The collapsible valve-filter assembly of claim 201, wherein the filter bag comprises a plurality of regions having different pore sizes.

210. A valve expansion member for expanding and implanting an expandable valve assembly at a desired location within a body lumen, the valve expansion member comprising:

25 an inflatable balloon member having an outer surface and an inner surface; and

a reservoir in fluid communication with the inflatable balloon member, wherein the inflatable balloon member is expanded by the infusion of fluid from the reservoir to the inflatable balloon member and contracted by the retraction of the fluid from the inflatable balloon member to the reservoir;

wherein the outer surface is adapted to engage the walls of the body lumen and wherein the inner surface of the balloon member defines an opening to permit blood to flow through when the inflatable balloon member is fully inflated.

211. The valve expansion member of claim 210 further comprising an  
5 expandable valve assembly compressed around the outer surface of the inflatable balloon member.

212. The valve expansion member of claim 211 wherein the inner surface is characterized as having a greater tensile strength and less deformability than the outer surface when the inflatable balloon member is inflated.

10 213. The valve expansion member of claim 210 further comprising a conduit to permit the fluid inflation of the balloon member.

214. The valve expansion member of claim 213 further comprising at least one lumen to permit the passage of a catheter or guide wire to deliver the balloon member at a desired location.

15 215. The valve expansion member of claim 214 wherein the valve expansion member comprises one lumen.

216. The valve expansion member of claim 215 wherein the lumen is disposed within the inflatable balloon member.

20 217. The valve expansion member of claim 215 wherein the lumen is fixed to the inner surface of the inflatable balloon member.

218. The valve expansion member of claim 215 further comprising a plurality of arms extending radially from the inner surface of the inflatable balloon member and coupled to the lumen.

25 219. The valve expansion member of claim 213 wherein the valve expansion member comprises multiple lumens.

220. The valve expansion member of claim 219 wherein at least one lumen is disposed within the inflatable balloon member.

221. The valve expansion member of claim 219 wherein at least one lumen is fixed to the inner surface of the inflatable balloon member.

222. A valve expansion member for expanding and implanting an expandable valve assembly at a desired location within a body lumen, the valve expansion member comprising:

5 a catheter having an inner lumen;  
an inflatable balloon member disposed around the catheter;  
an expandable valve assembly compressed around the inflatable balloon member; and

10 a plurality of openings disposed on the catheter at both ends of the inflatable balloon member;

wherein the plurality of openings permit blood to flow through the inner lumen of the catheter when the inflatable balloon member is fully inflated.

15 223. The valve expansion member of claim 222 further comprising an elongated shaft that is movably disposed within the lumen of the catheter.

224. An imaging and replacement valve delivery system comprising:  
one or more imaging probes;  
a catheter; and  
valve assembly disposed on the catheter.

20 225. The imaging and replacement valve delivery system of claim 224 wherein the imaging probe is movably disposed within catheter lumen.

226. The imaging and replacement valve delivery system of claim 224 wherein the system is used to deliver an expandable valve assembly to a desired location.

25 227. The imaging and replacement valve delivery system of claim 226 wherein the catheter comprises an inflatable balloon member disposed on the catheter and wherein the valve assembly compressed around the inflatable balloon member.

228. The imaging and replacement valve delivery system of claim 227 wherein at least one imaging probe is disposed on the catheter adjacent to the balloon member.

229. The imaging and replacement valve delivery system of claim 227  
5 wherein at least one imaging probe is disposed on the catheter inside the balloon member and adjacent to one end of the compressed valve assembly.

230. The imaging and replacement valve delivery system of claim 227 wherein at least one imaging probe is disposed on the catheter inside the balloon member and centered underneath the compressed valve assembly.

10 231. The imaging and replacement valve delivery system of any one of claims 228-230 wherein at least one imaging probe is selected from one or more of the group consisting of an IVUS imaging, two-dimensional ultrasound imaging, three-dimensional ultrasound imaging, infrared imaging, ultraviolet imaging, optical coherence imaging, and magnetic resonance imaging.

15 232. The imaging and replacement valve delivery system of claim 231 wherein the field of imaging is selected from the group consisting of longitudinal imaging and radial imaging.

20 233. The imaging and replacement valve delivery system of any one of claims 224-232 further comprising a second imaging modality, the second imaging modality selected from the group consisting of: transthoracic ultrasound probes, transesophageal ultrasound probes, epicardial ultrasound probes, intracardiac echo, computer tomography, magnetic resonance imaging, x-ray and cinesfluoscopy.

25 234. The imaging and replacement valve delivery system of claim 224 wherein the system is used to deliver a self-expandable valve assembly to a desired location.

30 235. The imaging and replacement valve delivery system of claim 234 further comprising a sleeve that is movably disposed over the catheter and wherein the valve assembly is compressed around the catheter and maintained in the compressed state by the sleeve.

236. The imaging and replacement valve delivery system of claim 235 wherein at least one imaging probe is disposed on the sleeve.

237. The imaging and replacement valve delivery system of claim 235 wherein at least one imaging probe is disposed on the catheter.

5 238. The imaging and replacement valve delivery system of claims 236 or 237 wherein the at least one imaging probe is selected from one or more of the group consisting of an IVUS imaging, two-dimensional ultrasound imaging, three-dimensional ultrasound imaging, infrared imaging, ultraviolet imaging, optical coherence imaging, and magnetic resonance imaging.

10 239. The imaging and replacement valve delivery system of claim 235 wherein the field of imaging is selected from the group consisting of longitudinal imaging and radial imaging.

15 240. The imaging and replacement valve delivery system of any one of claims 234-239 further comprising a second imaging modality, the second imaging modality selected from the group consisting of: transthoracic ultrasound probes, transesophageal ultrasound probes, epicardial ultrasound probes, intracardiac echo, computer tomography, magnetic resonance imaging, x-ray and cinesfluoscopy.

20 241. An imaging and valvuloplasty system comprising:  
one or more imaging probes;  
a catheter; and  
an inflatable balloon member disposed on the catheter.

242. The imaging and valvuloplasty system of claim 241 wherein the imaging probe is movably disposed within catheter lumen.

25 243. The imaging and valvuloplasty system of claim 241 wherein at least one imaging probe is disposed on the catheter adjacent to the balloon member.

244. The imaging and valvuloplasty system of claim 241 wherein at least one imaging probe is disposed on the catheter inside the balloon member.

245. The imaging and valvuloplasty system of any one of claims 242-244 wherein the at least one imaging probe is selected from one or more of the group consisting of an IVUS imaging, two-dimensional ultrasound imaging, three-dimensional ultrasound imaging, infrared imaging, ultraviolet imaging, optical coherence imaging, and magnetic resonance imaging.

5

246. The imaging and valvuloplasty system of claim 245 wherein the field of imaging is selected from the group consisting of longitudinal imaging and radial imaging.

10

247. The imaging and valvuloplasty system of any one of claims 241-246 further comprising a second imaging modality, the second imaging modality selected from the group consisting of: a transthoracic ultrasound probe, transesophageal ultrasound probes, epicardial ultrasound probes, intracardiac echo, computer tomography, magnetic resonance imaging, x-ray and cinefluoscopy.

15

248. A method for delivering and implanting a replacement valve assembly at a target region in a patient's body, the replacement valve assembly comprising: obtaining an image of the target region; obtaining the coordinates reflecting the location of the target region relative to a fixed point outside the body; 20 delivering the replacement valve assembly to the target region with reference to the obtained image and the coordinates reflecting the location of the target region; and deploying the replacement valve assembly for implantation at the target region.

25

249. The method of claim 248 wherein the step of obtaining the image of the target location is performed by an imaging probe.

30

250. The method of claim 249 wherein the imaging probe is selected from the group consisting of an IVUS probe, a two-dimensional ultrasound imaging probe, a three-dimensional ultrasound imaging probe, an infrared imaging probe, an ultraviolet imaging probe, an optical coherence imaging probe, and a magnetic resonance imaging probe.

251. The method of claim 248 wherein the step of obtaining the coordinates reflecting the location relative to a fixed point outside the body is performed using a pullback device which monitors and records the distance traveled by the imaging probe relative to the pullback device, wherein the imaging probe is movably coupled to the pullback device.

252. The method of claim 251 wherein the step of delivering the replacement valve assembly to the target region is performed using the pullback device which monitors and records the distance traveled by the replacement valve assembly relative to the pullback device, wherein the imaging probe is removed from the pullback device and replaced with the replacement valve assembly and wherein the replacement valve assembly is movably coupled to the pullback device.

253. The method of any one of claims 248-252 further comprising the step of obtaining an electrocardiogram during the step of delivering the replacement valve assembly to the target region with reference to the obtained image and the coordinates reflecting the location of the target region.

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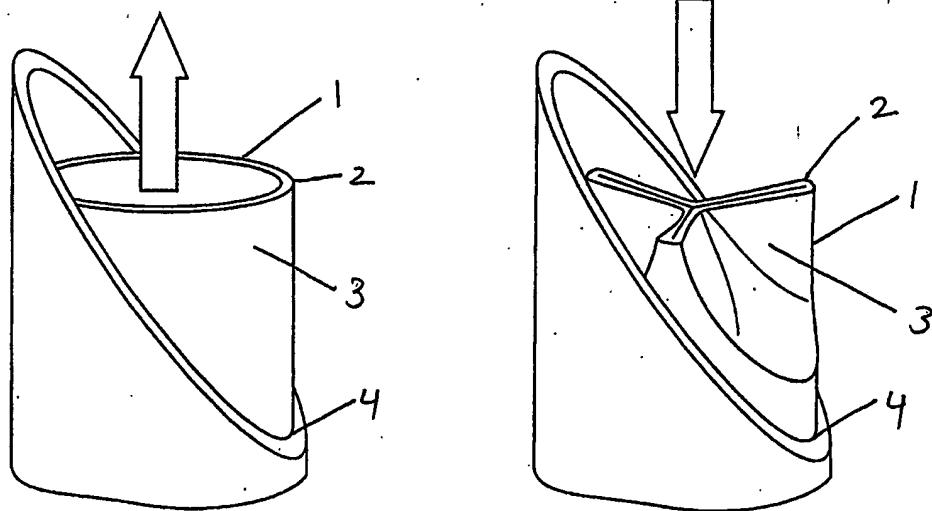


FIG. 1A

FIG. 1B

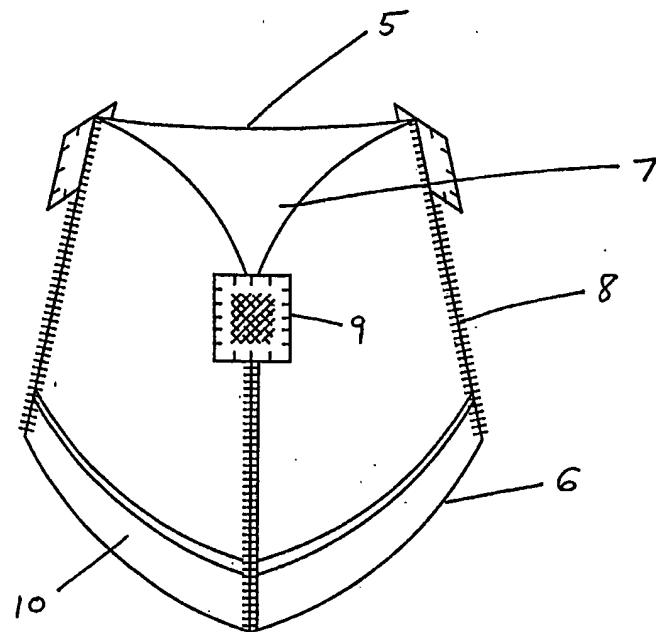


FIG. 2

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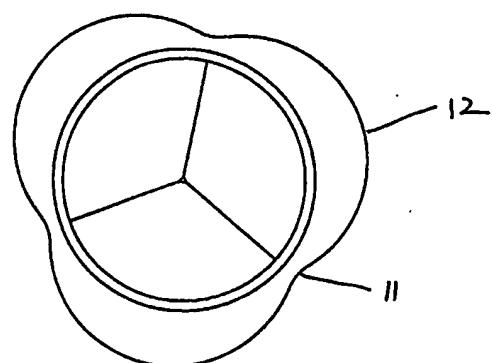


FIG. 3A

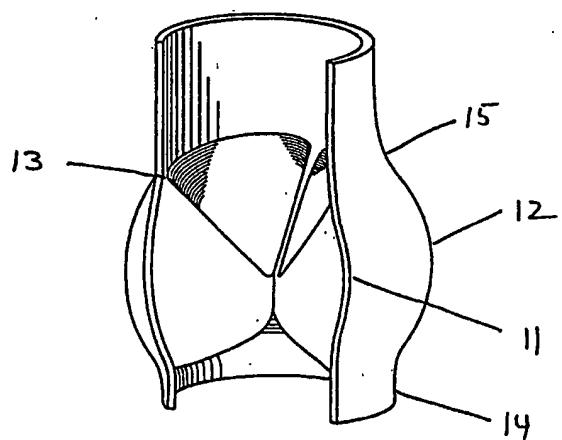


FIG. 3B

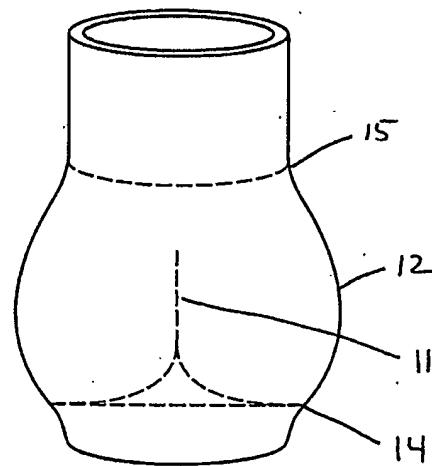


FIG. 3C

3 / 49

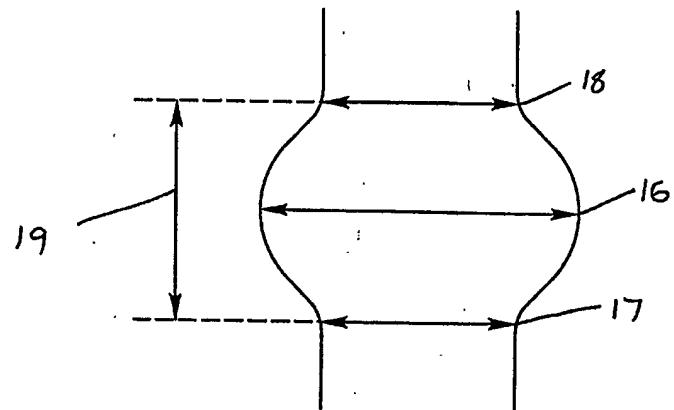


FIG. 4

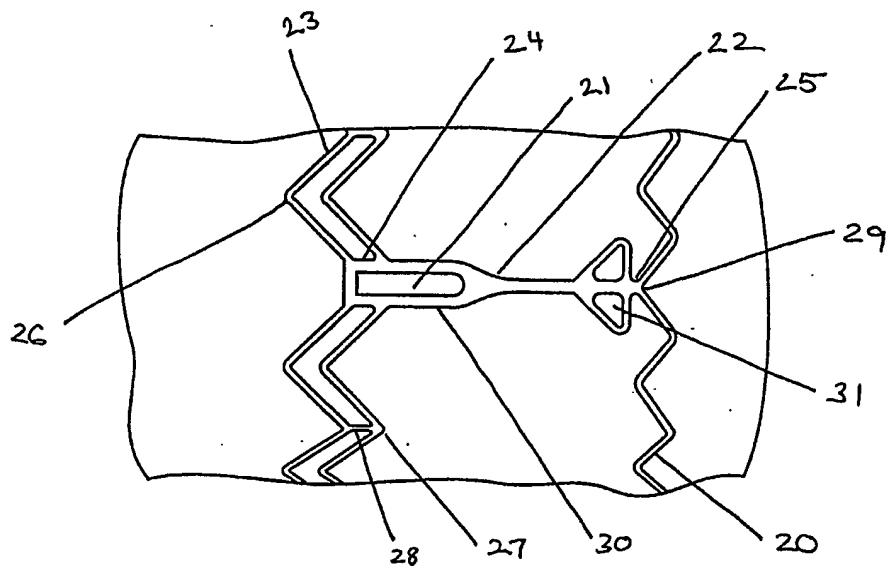


FIG. 5

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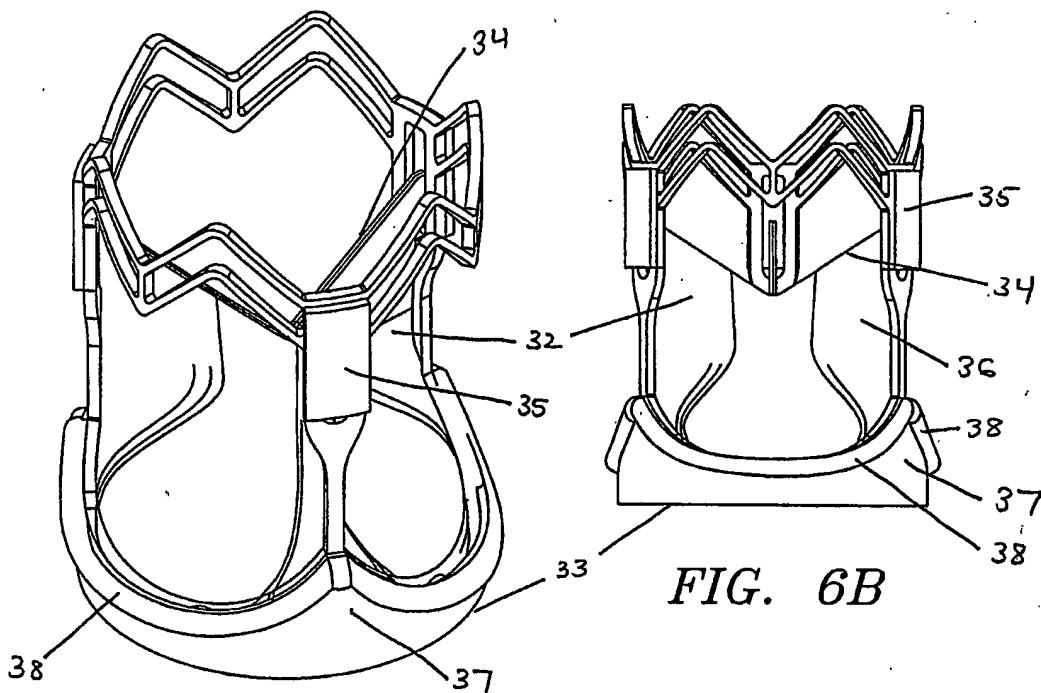


FIG. 6A

FIG. 6B

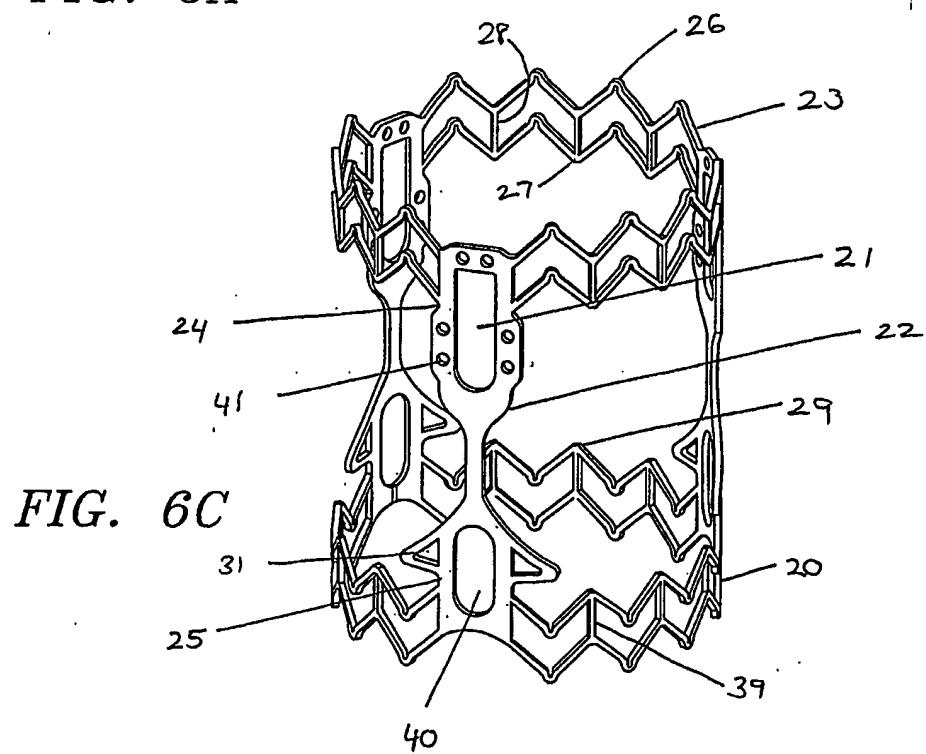


FIG. 6C

5 / 49

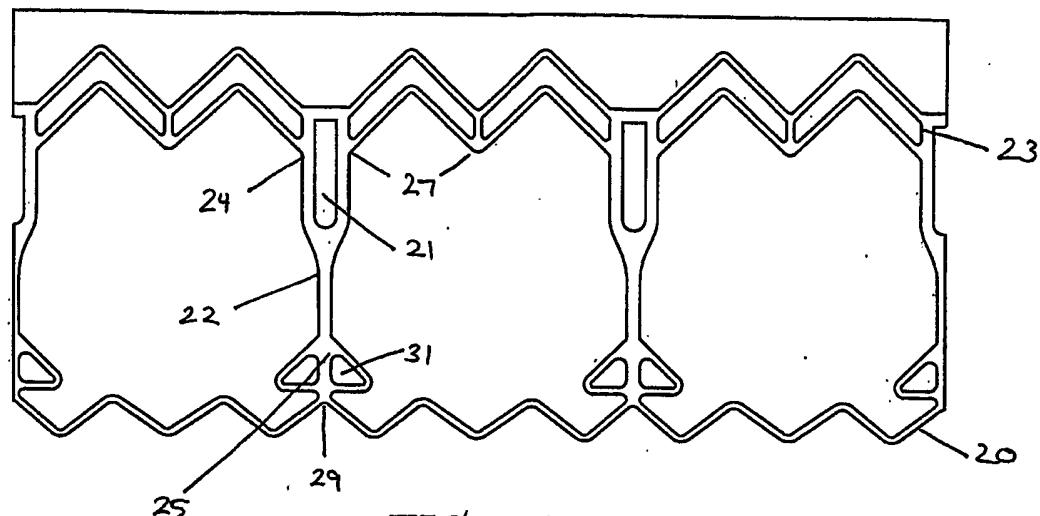


FIG. 7

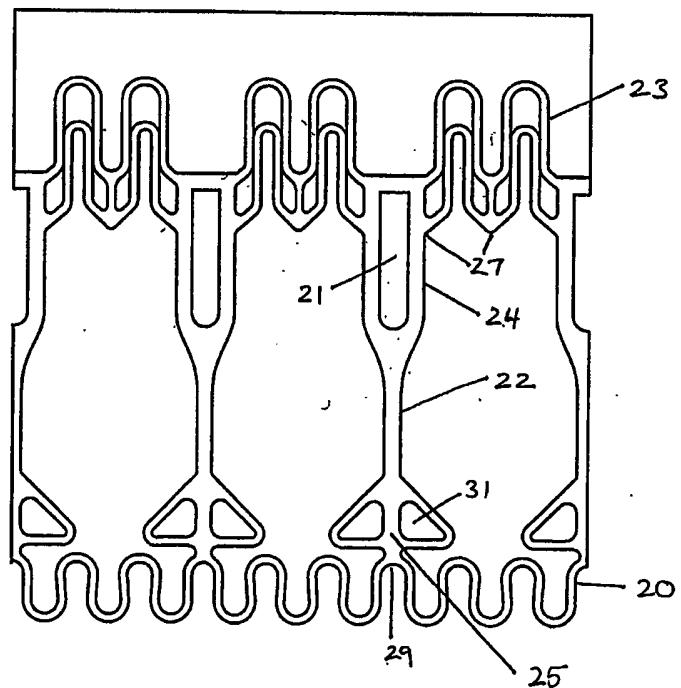


FIG. 8

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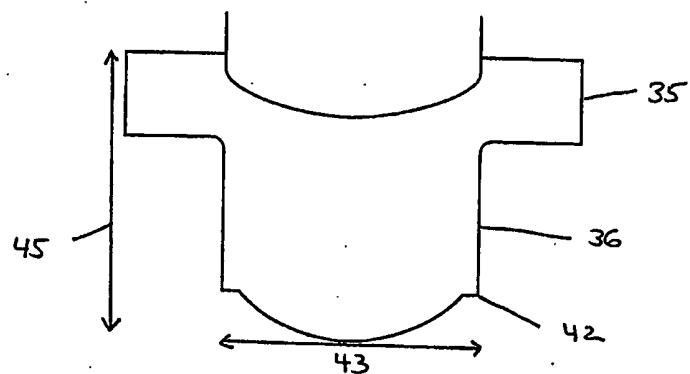


FIG. 9

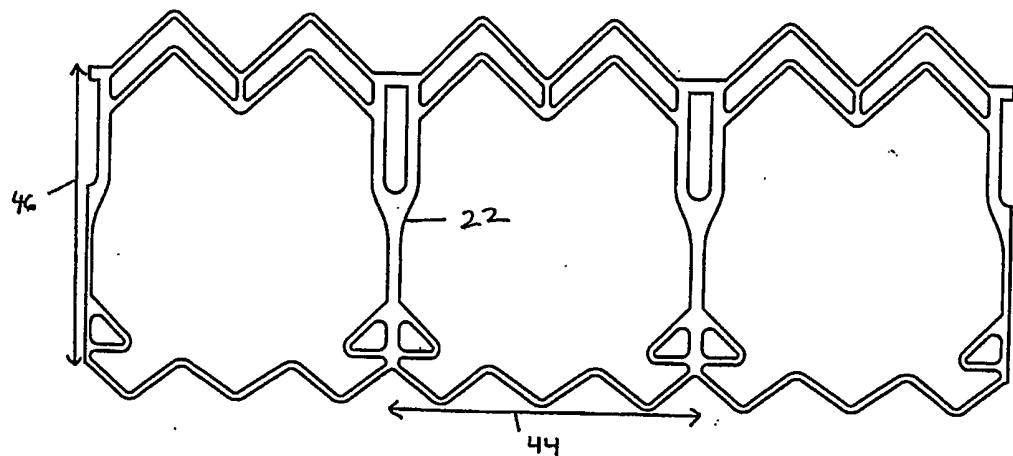


FIG. 10

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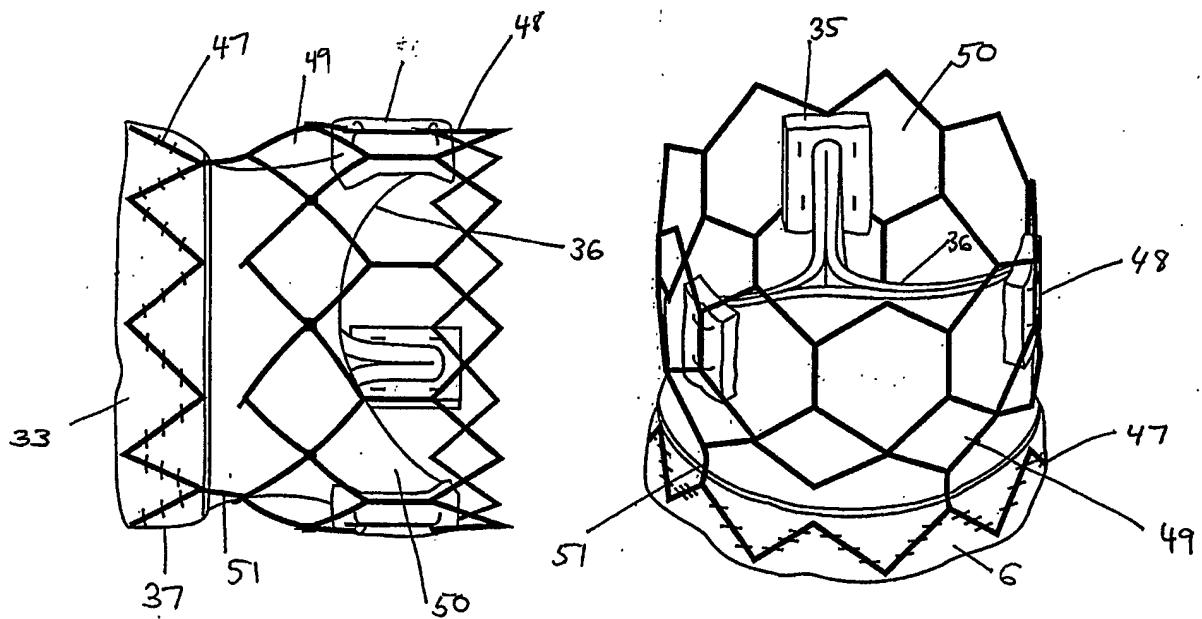


FIG. 11

FIG. 12

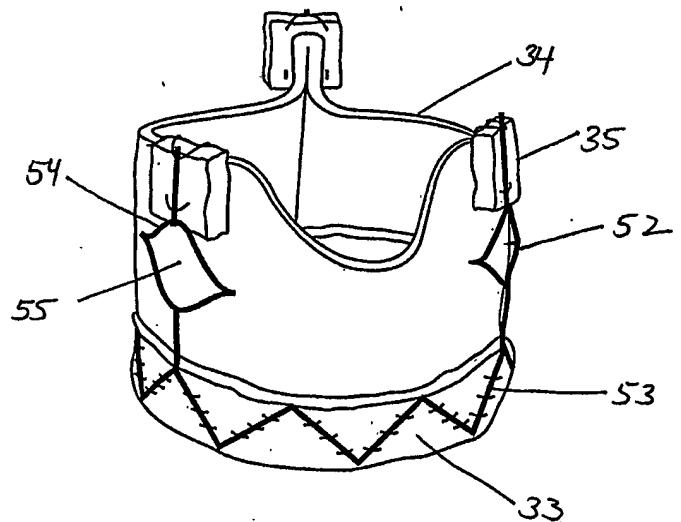
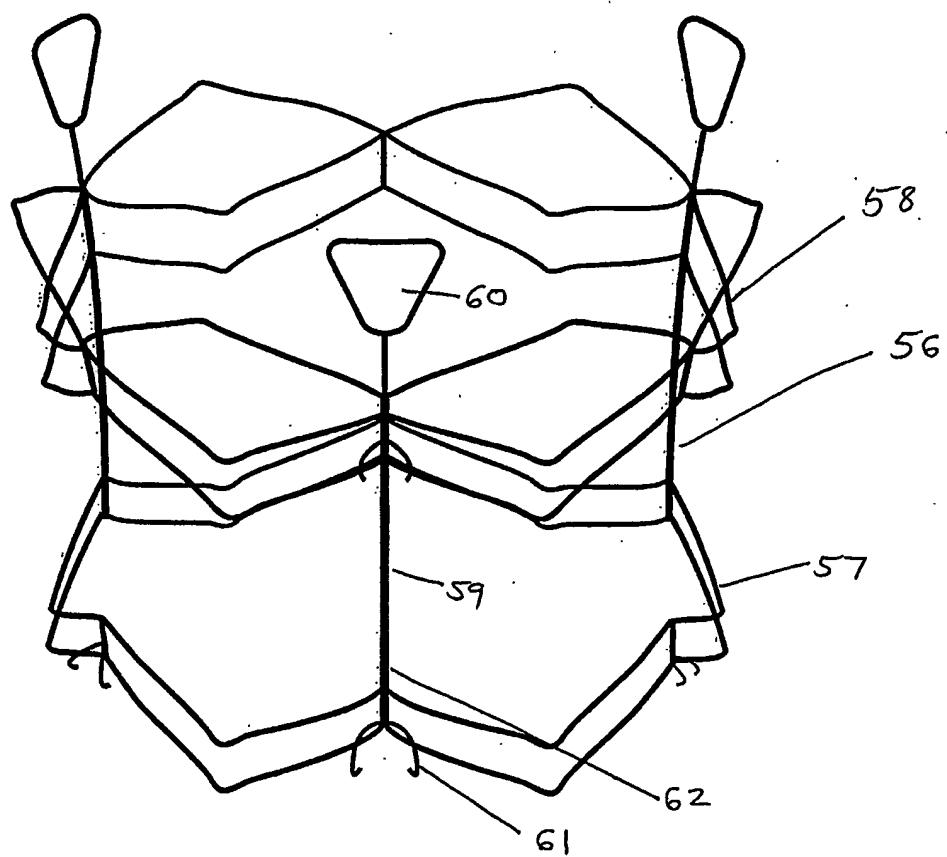


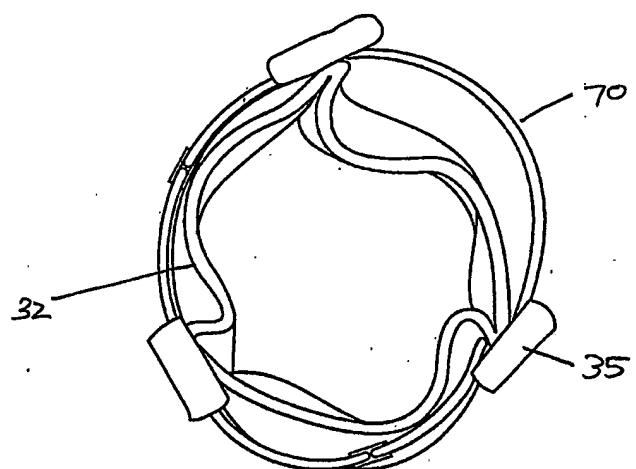
FIG. 13

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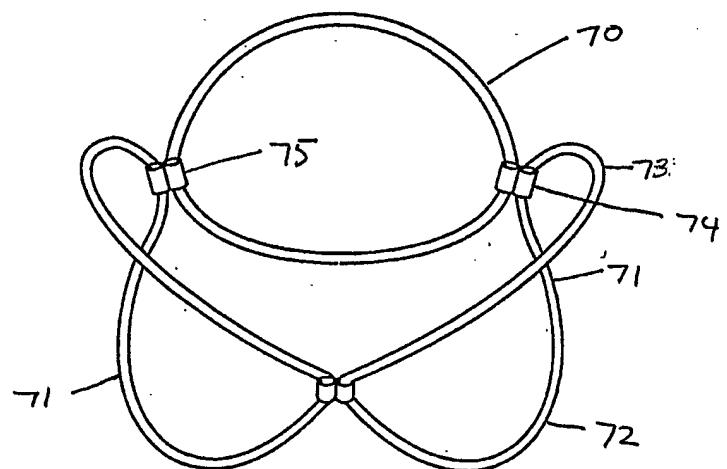


*FIG. 14*

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*Fig. 15A*



*Fig. 15B*

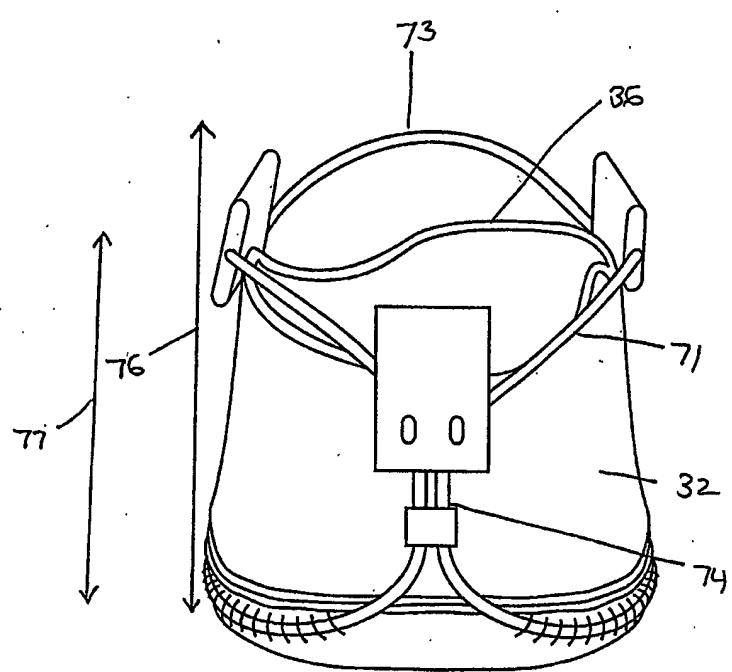


FIG. 16A

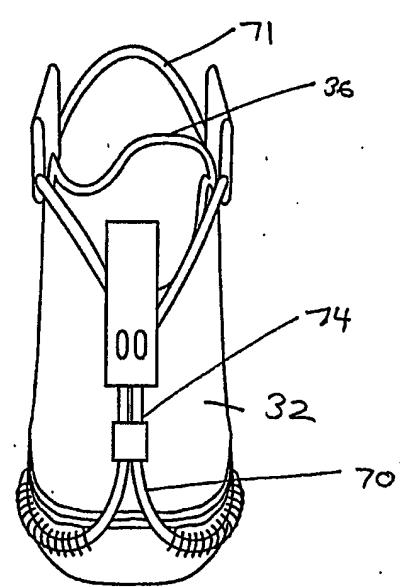
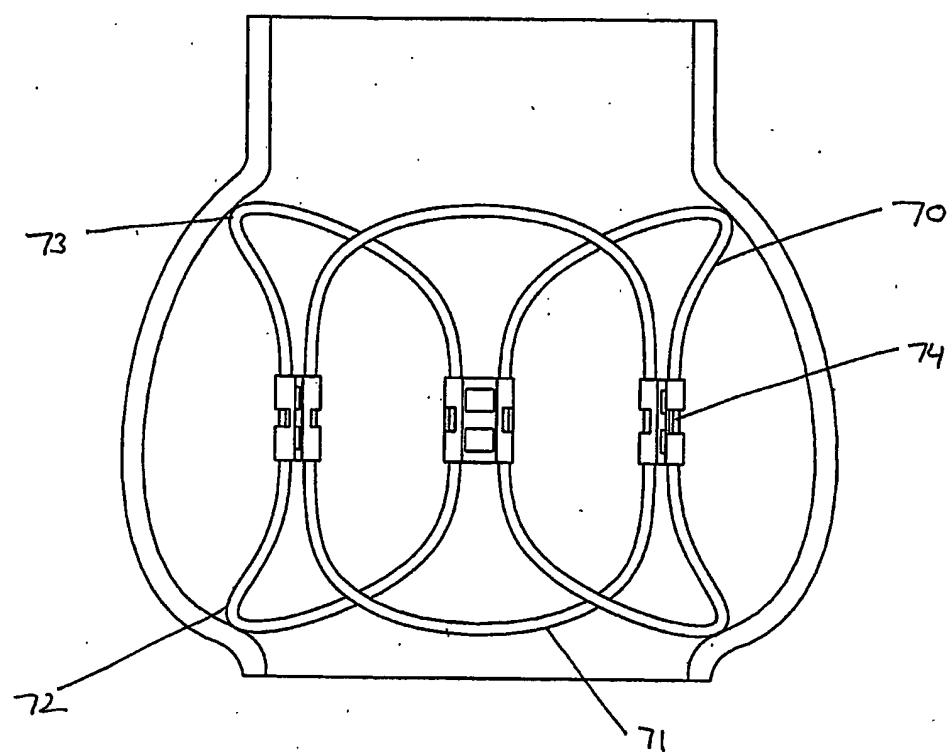


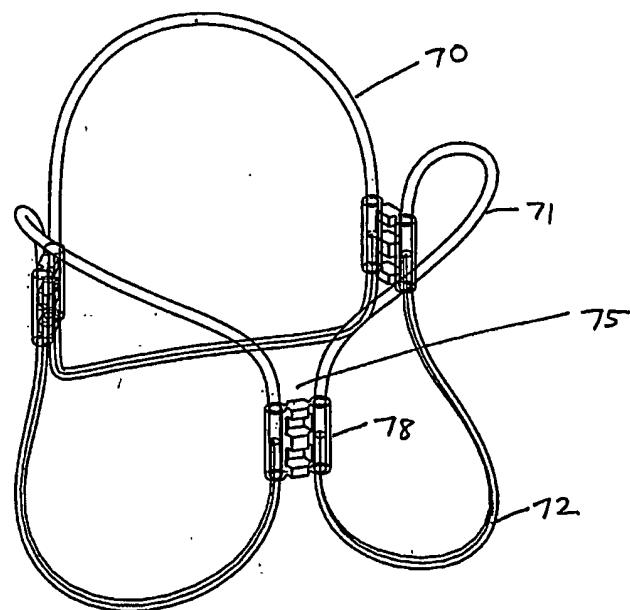
FIG. 16B

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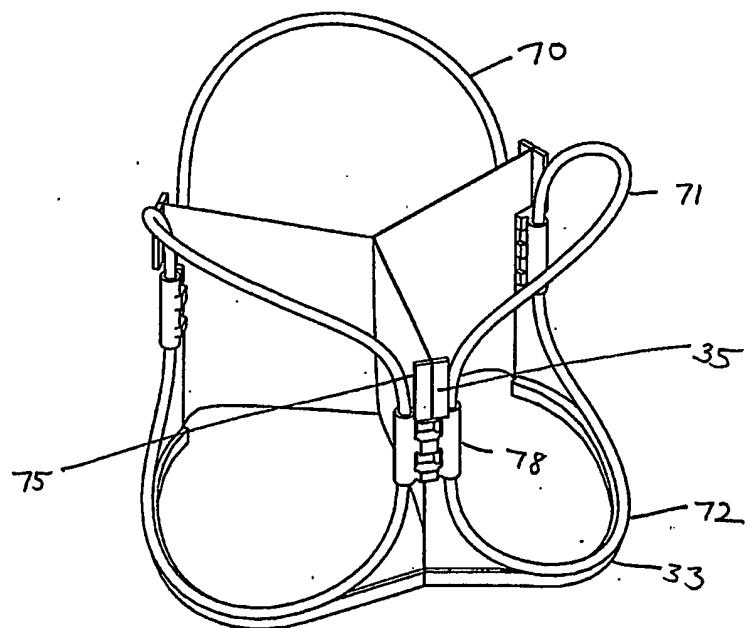


*FIG. 17*

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*FIG. 18A*



*FIG. 18B*

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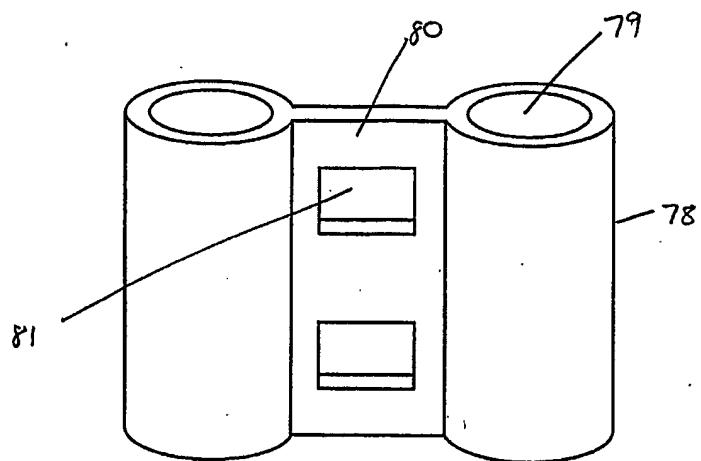


FIG. 19A

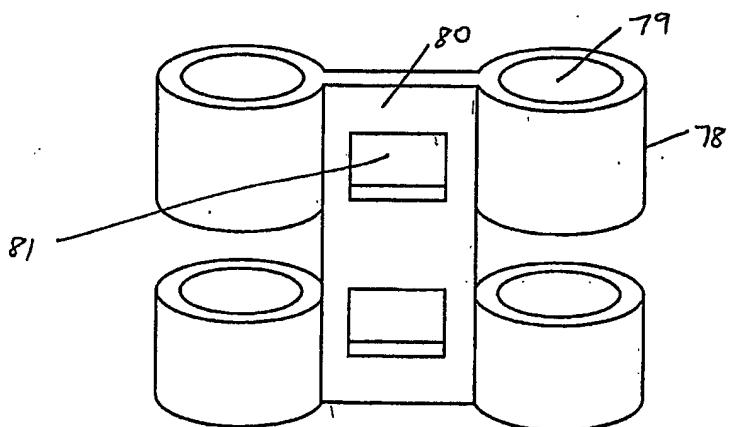


FIG. 19B

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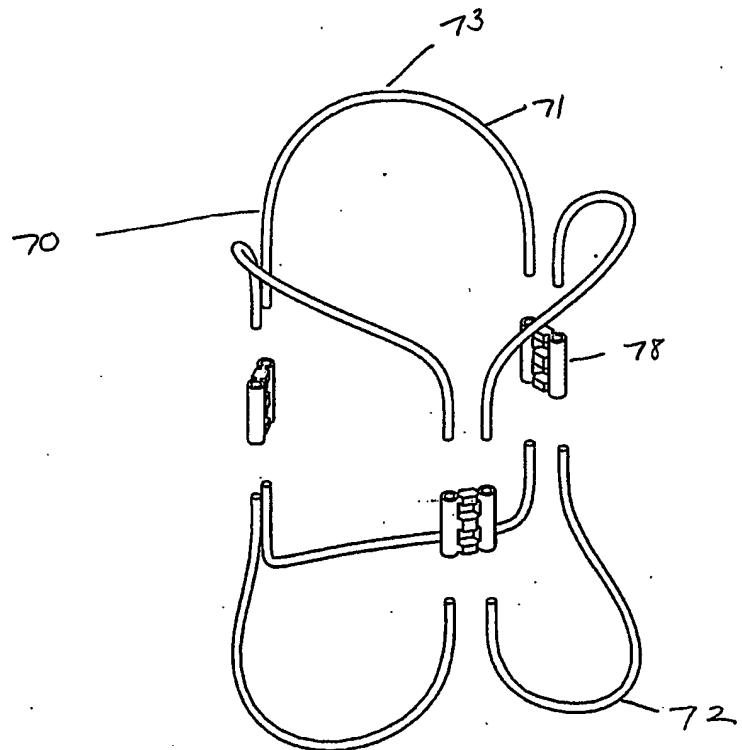


FIG. 20A

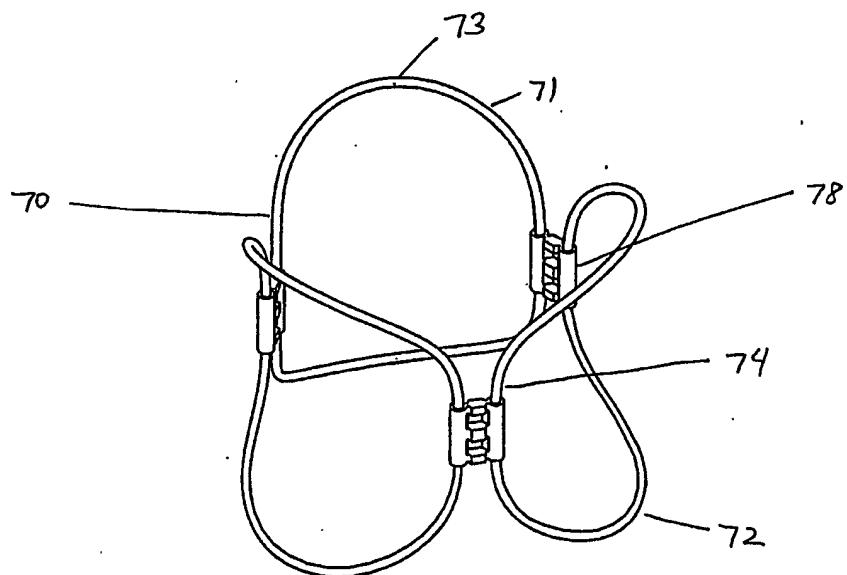


FIG. 20B

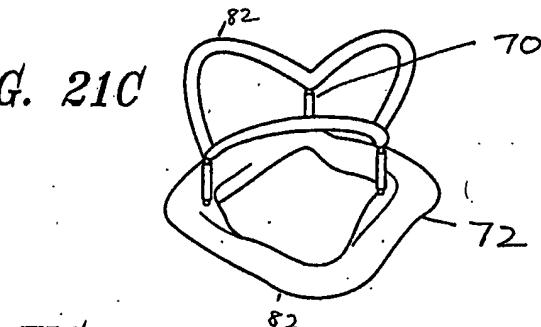
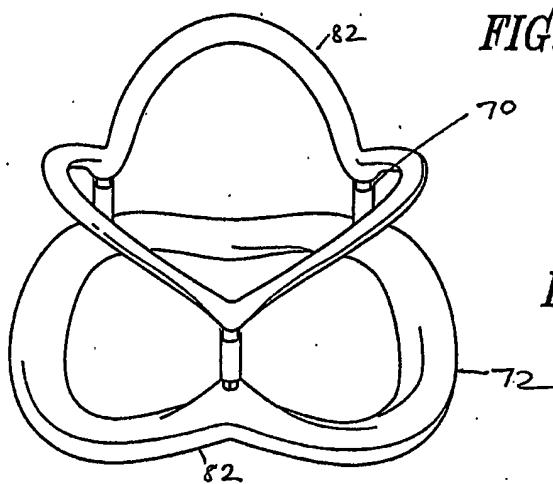
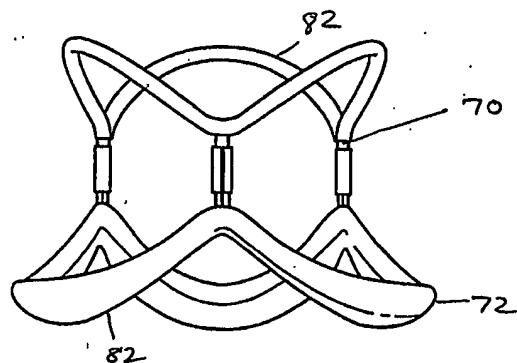
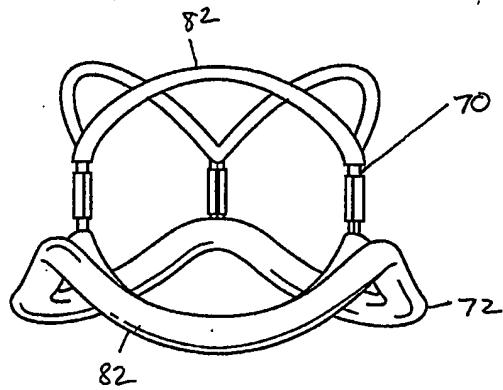
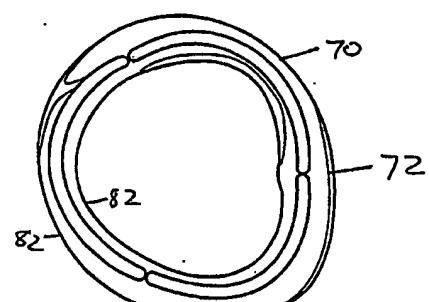
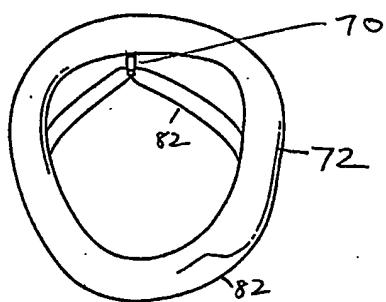
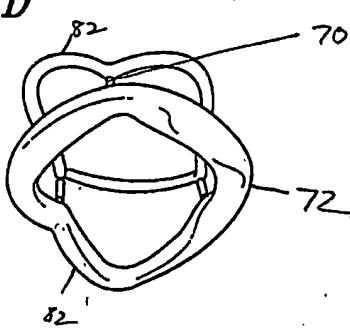


FIG. 21E



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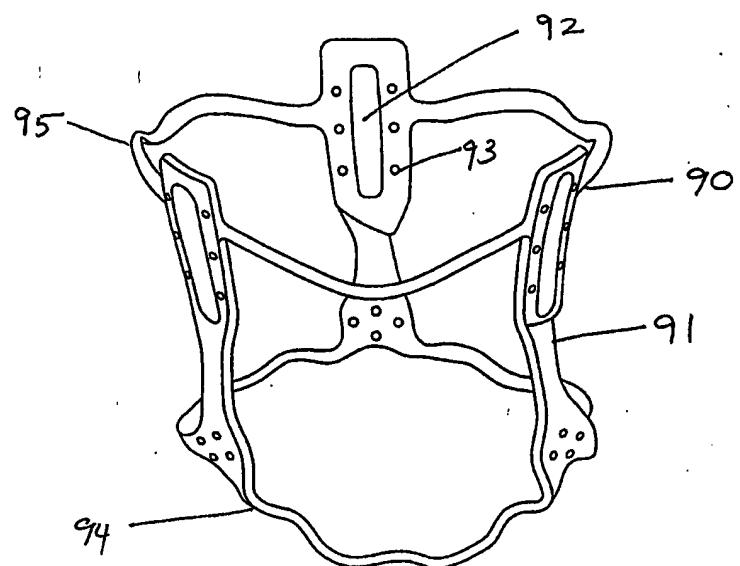


FIG. 22A

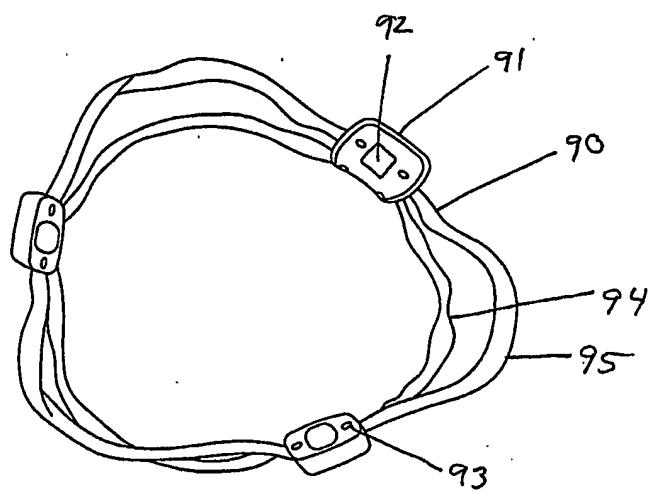


FIG. 22B

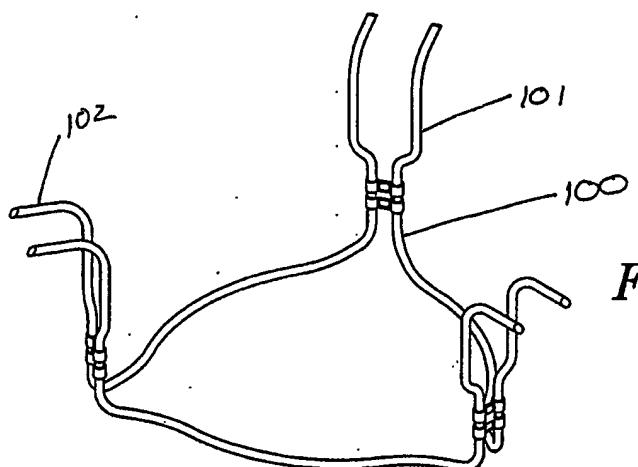


FIG. 23A

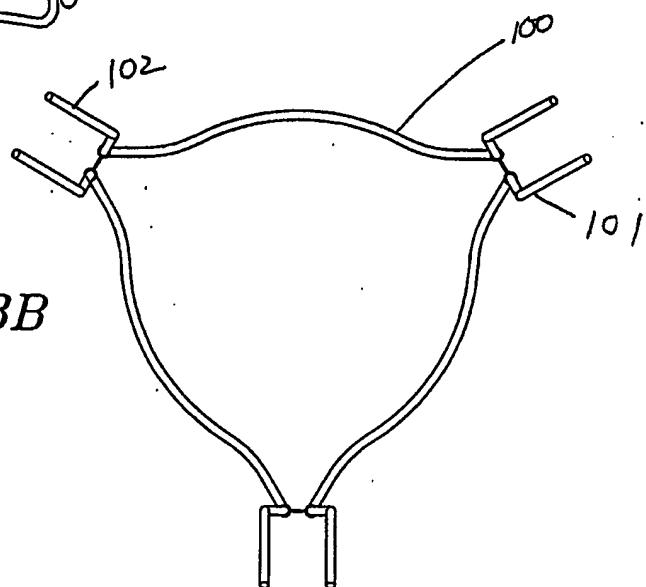


FIG. 23B

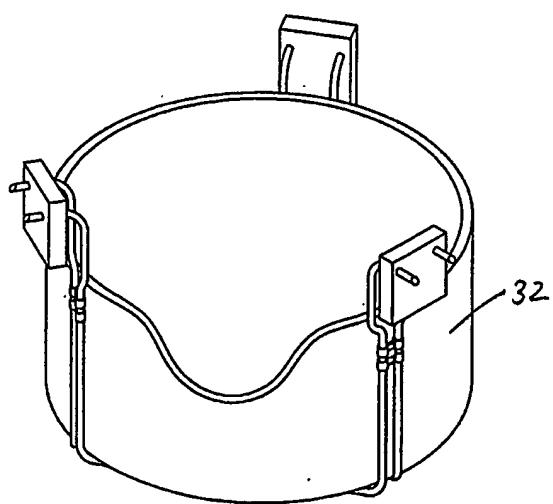


FIG. 23C

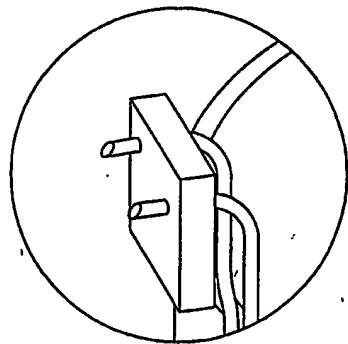


FIG. 23D

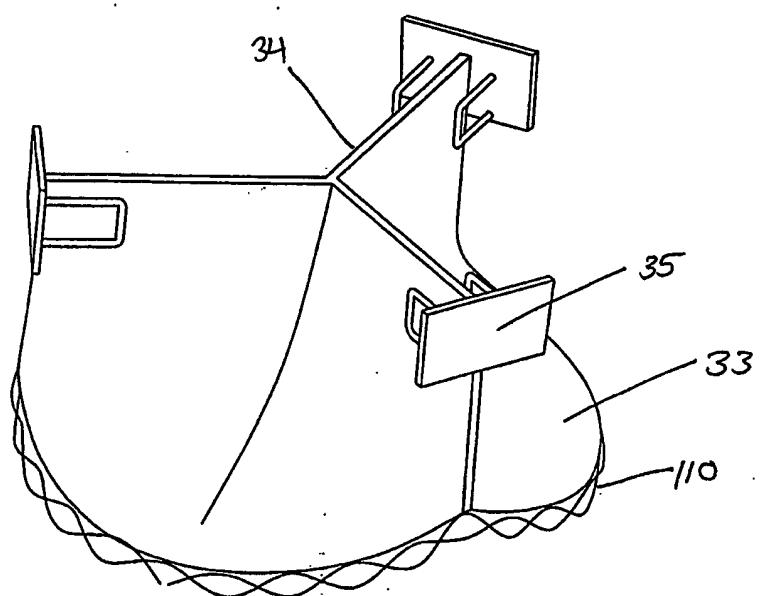


FIG. 24

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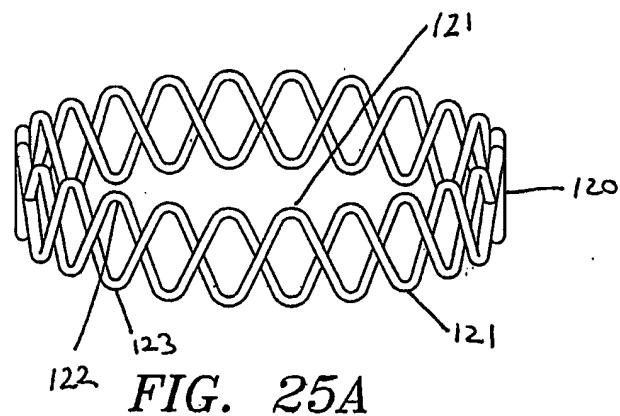


FIG. 25A

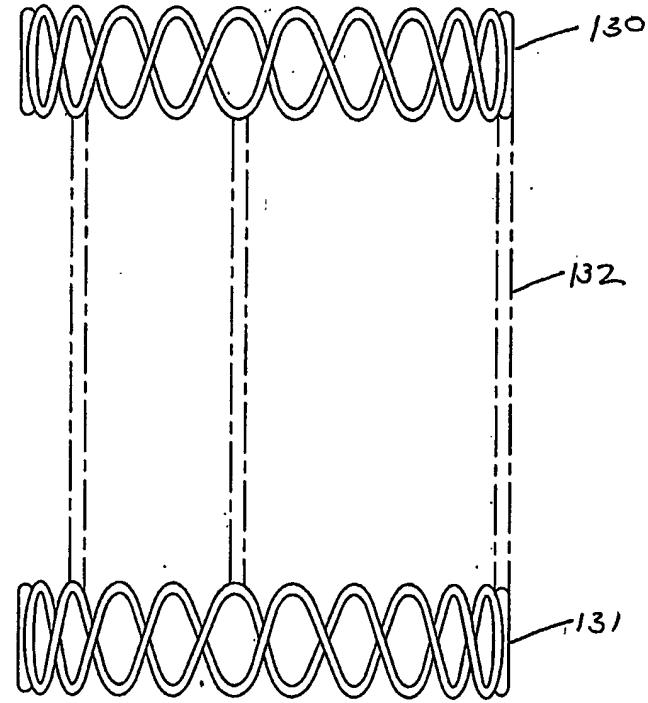


FIG. 25B

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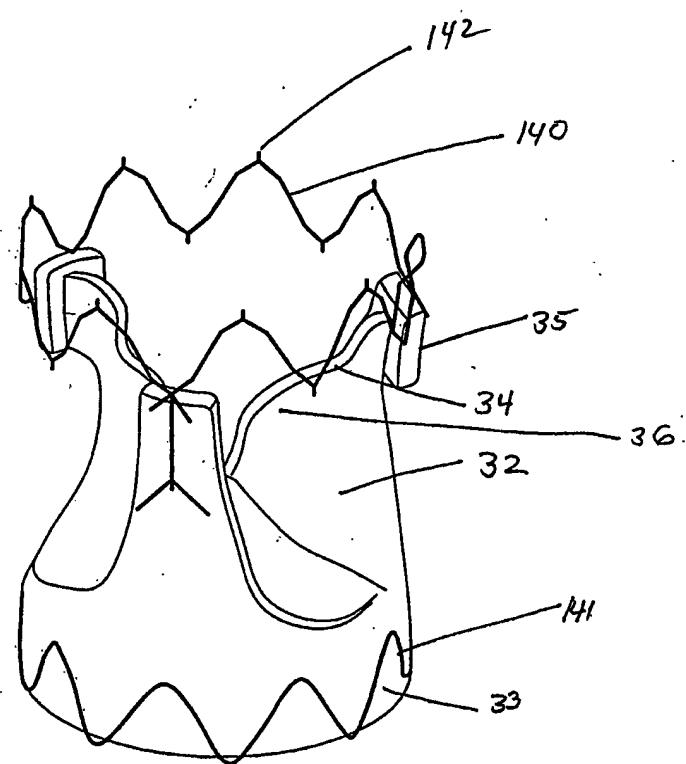
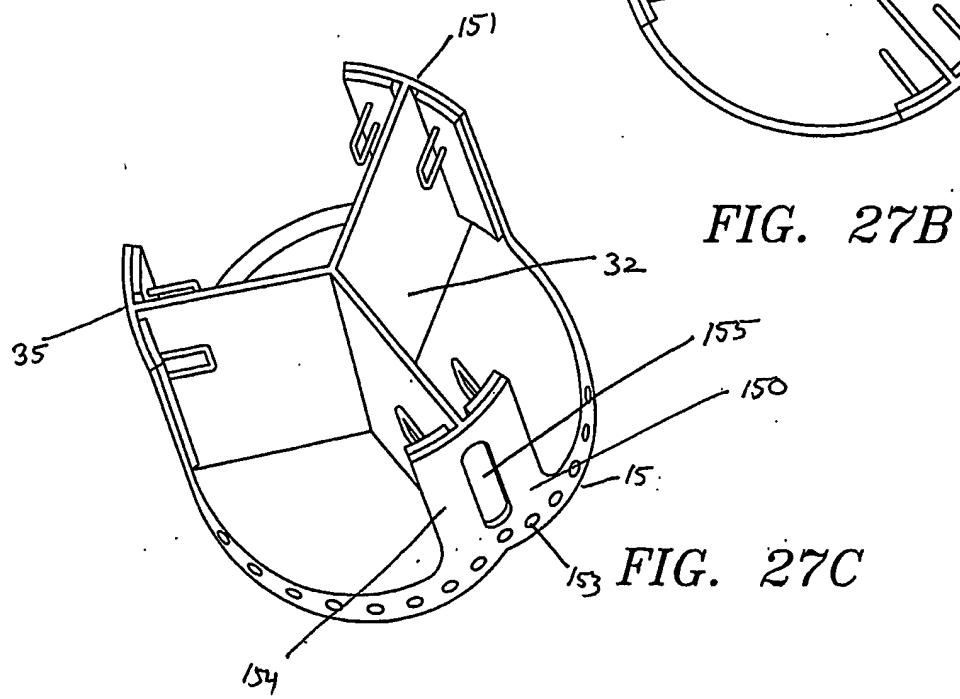
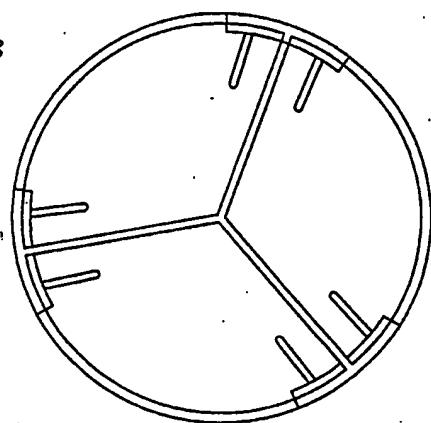
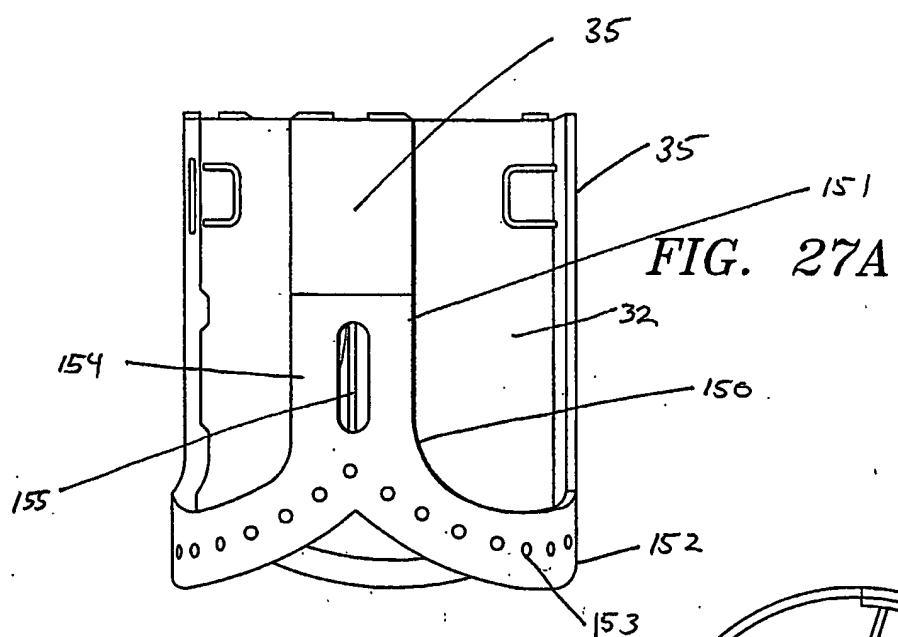


FIG. 26

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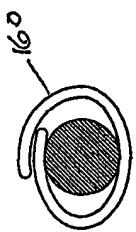
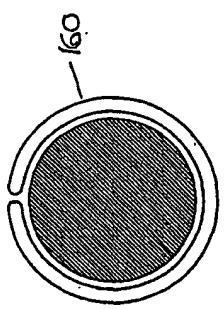


FIG. 28A

FIG. 28B

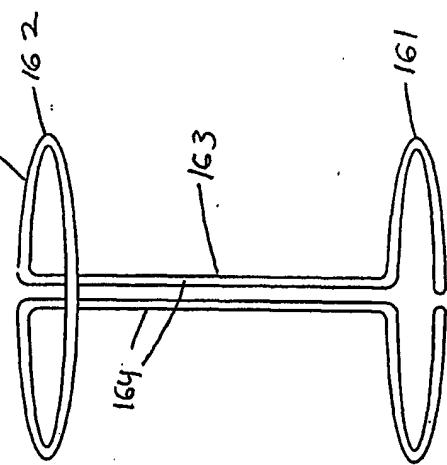


FIG. 28D

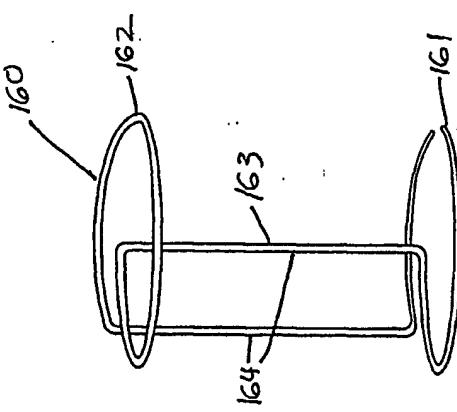


FIG. 28C

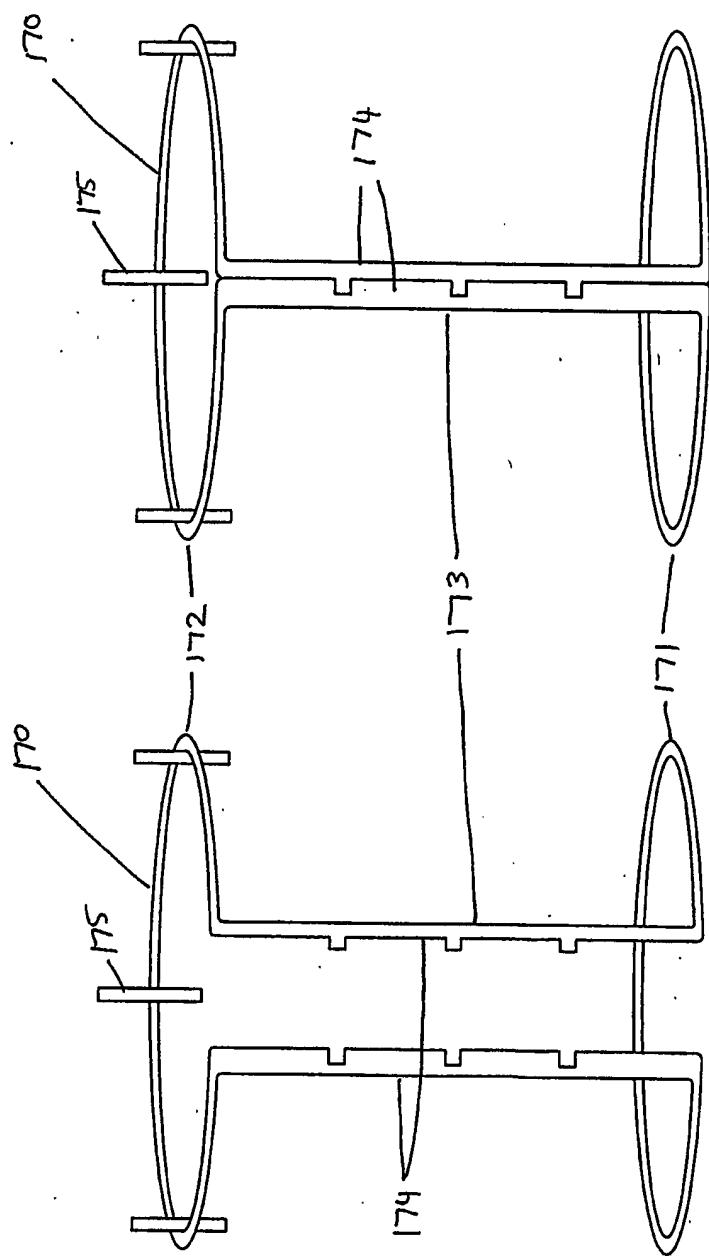


FIG. 29A

FIG. 29B

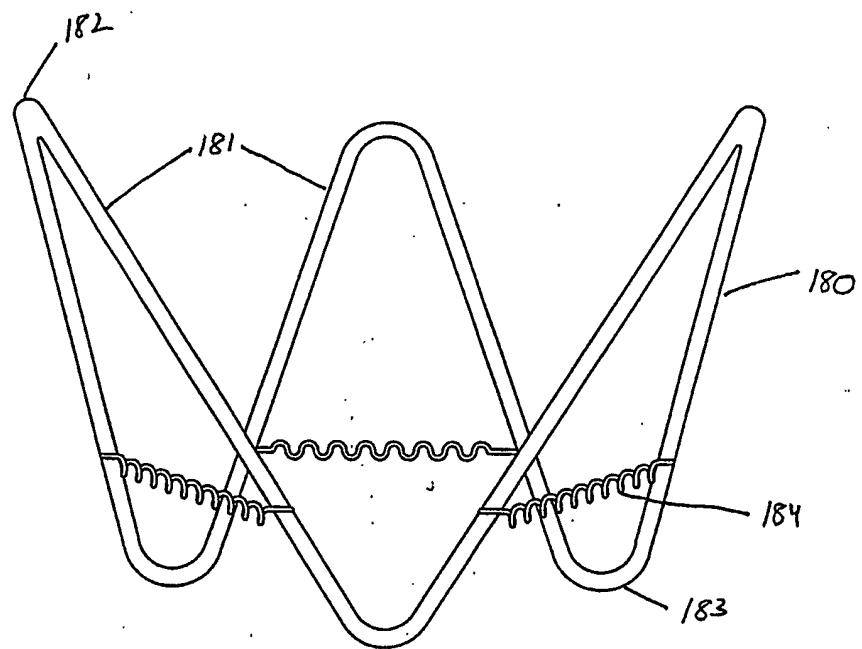


FIG. 30A

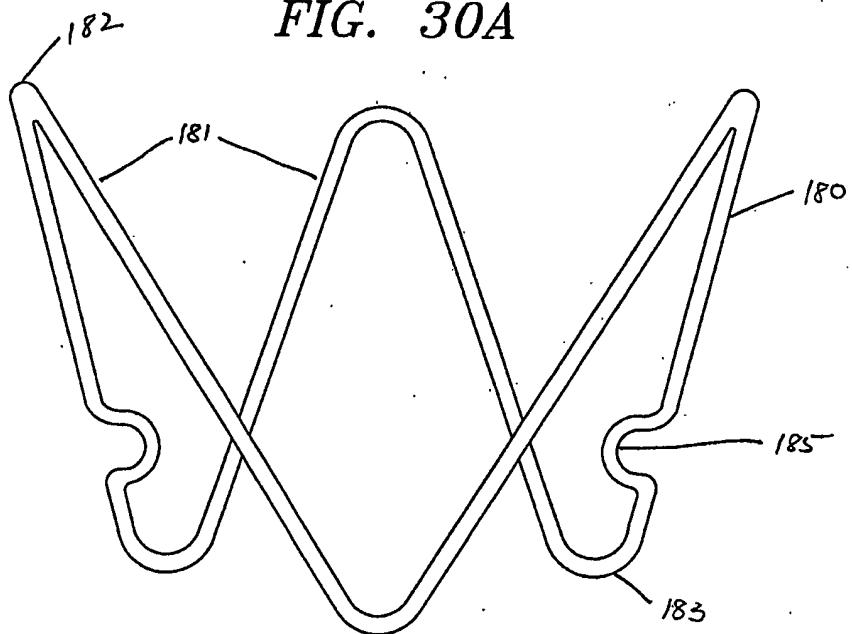


FIG. 30B

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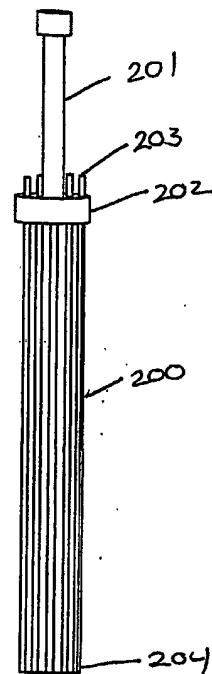


FIG. 31A

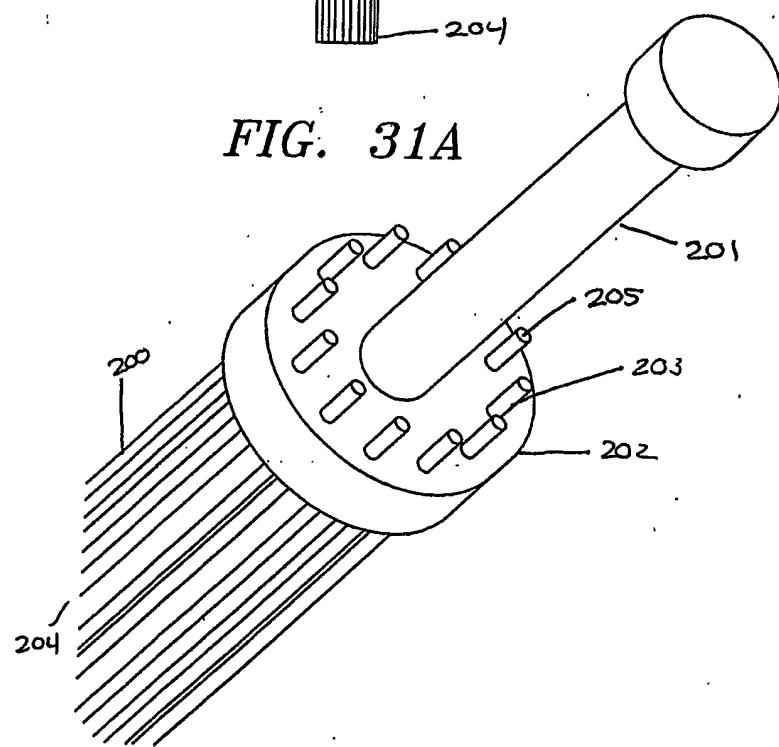


FIG. 31B

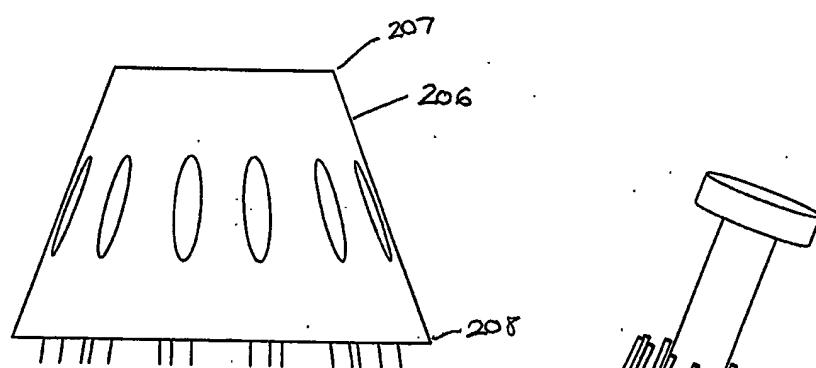


FIG. 32A

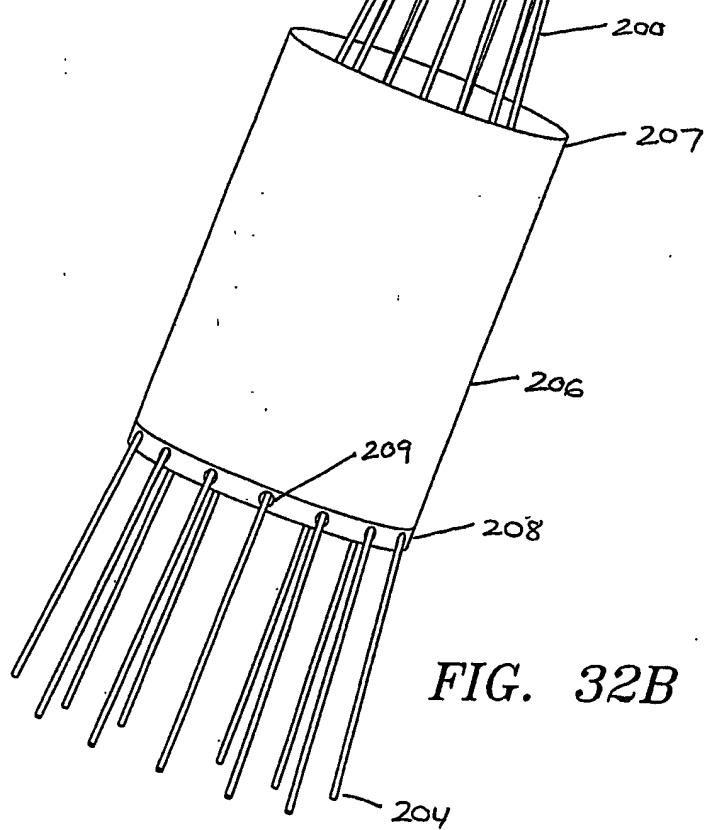


FIG. 32B

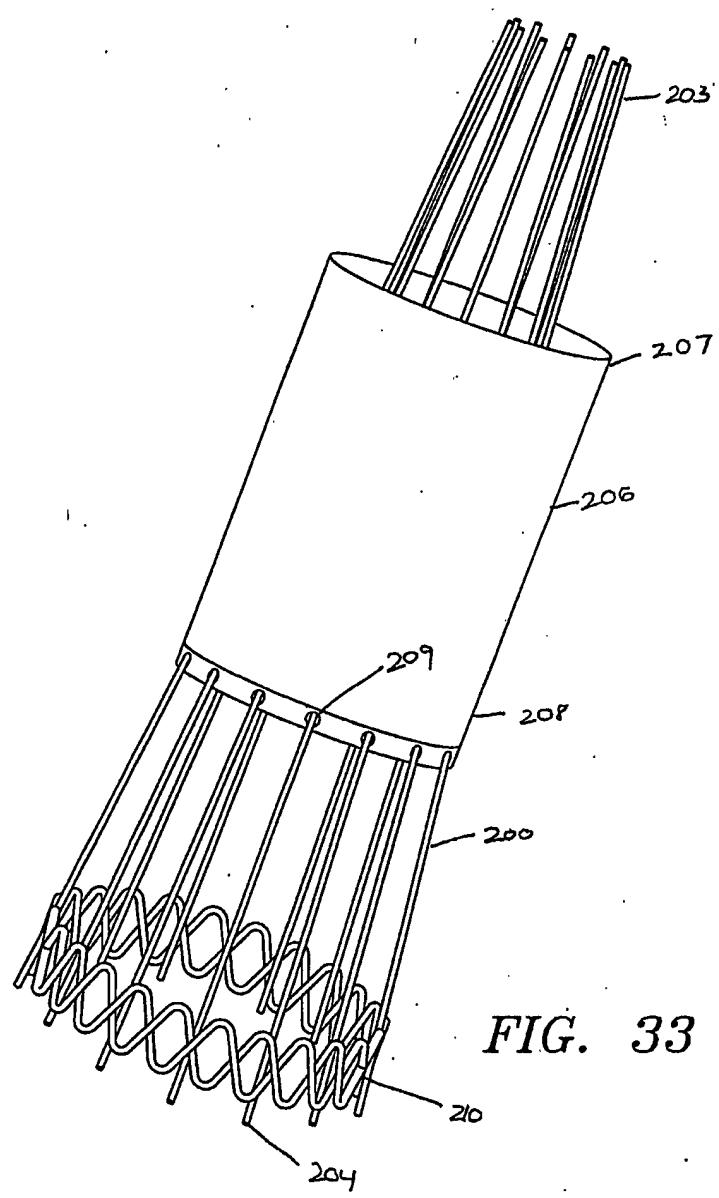


FIG. 33

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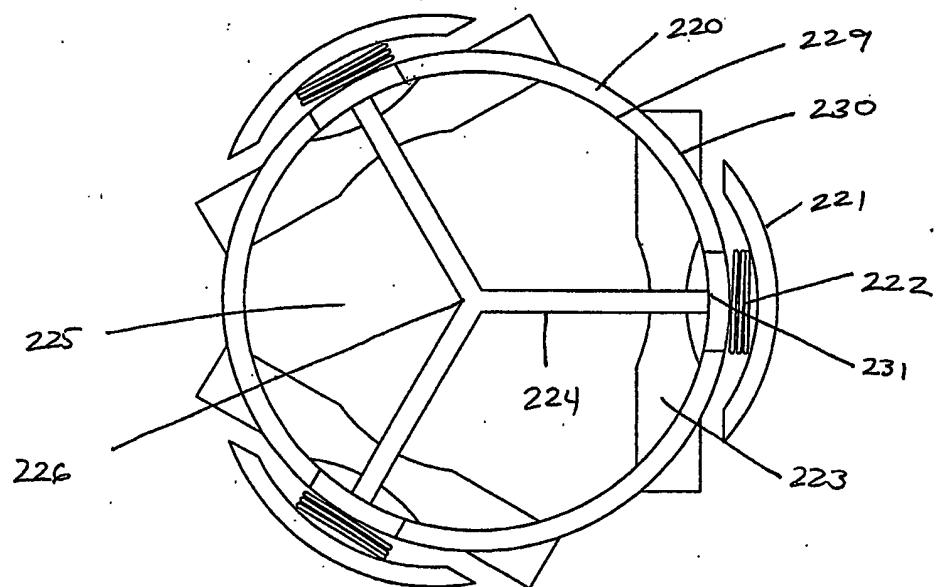
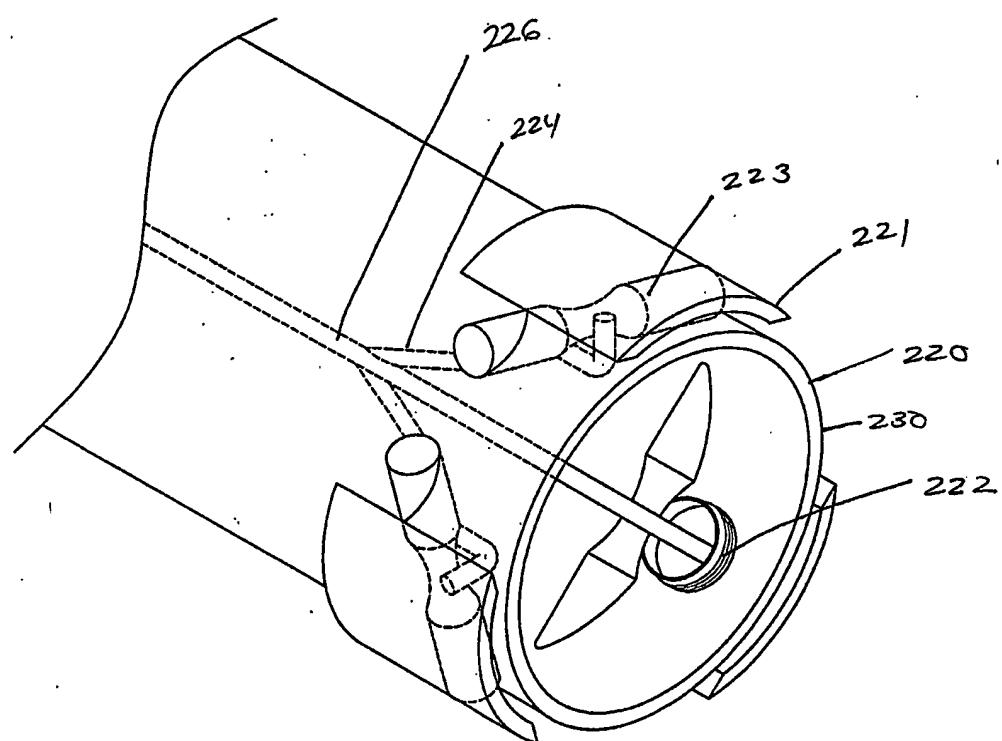


FIG. 34



*FIG. 35*

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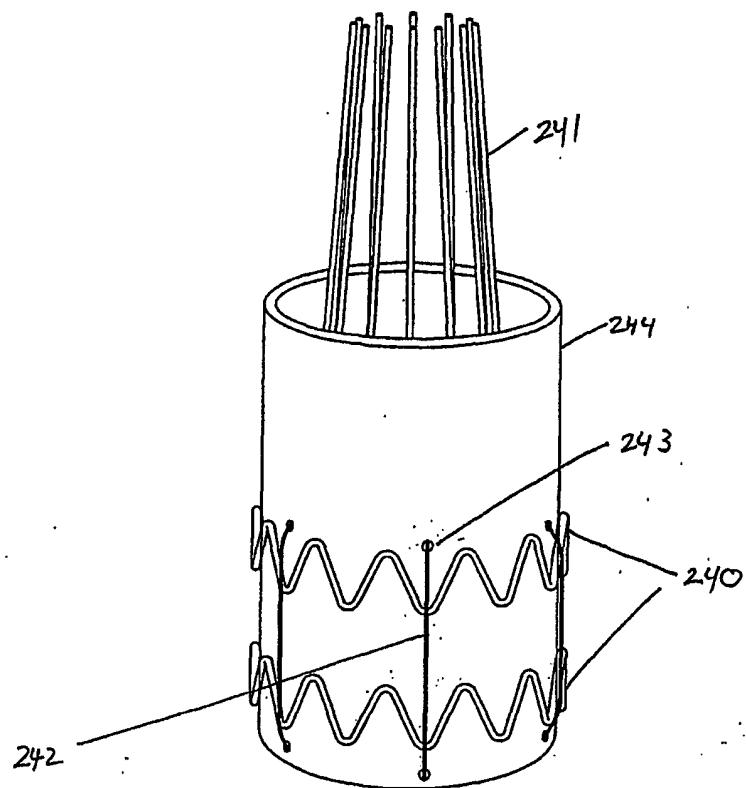


FIG. 36A

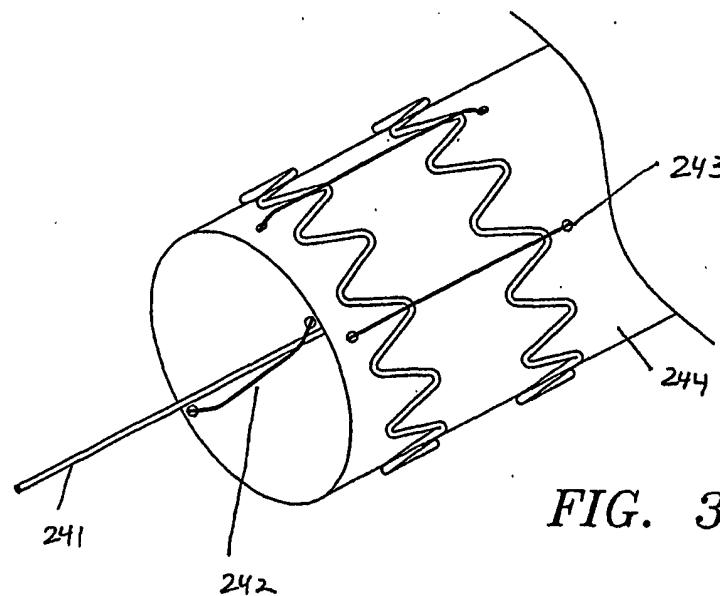


FIG. 36B

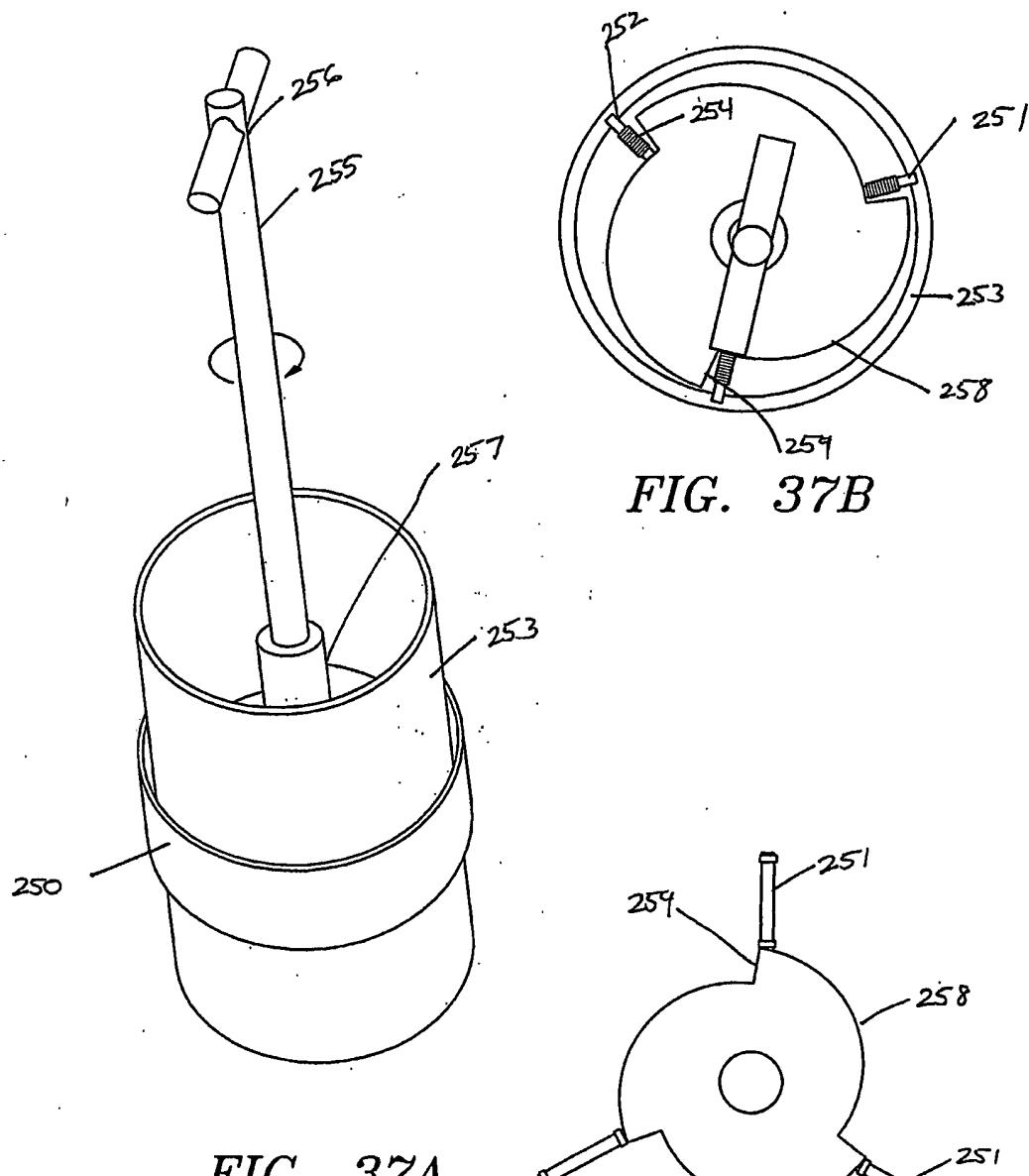
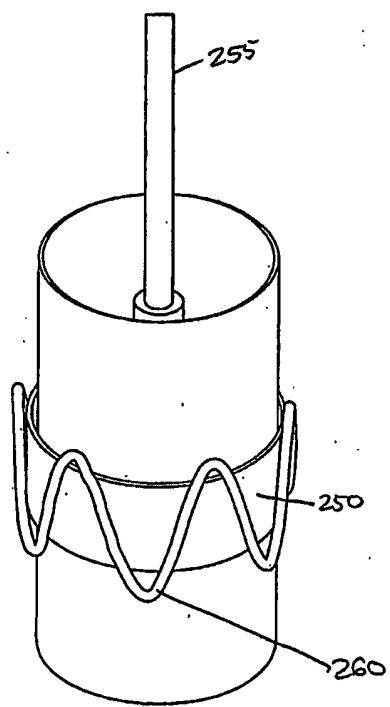
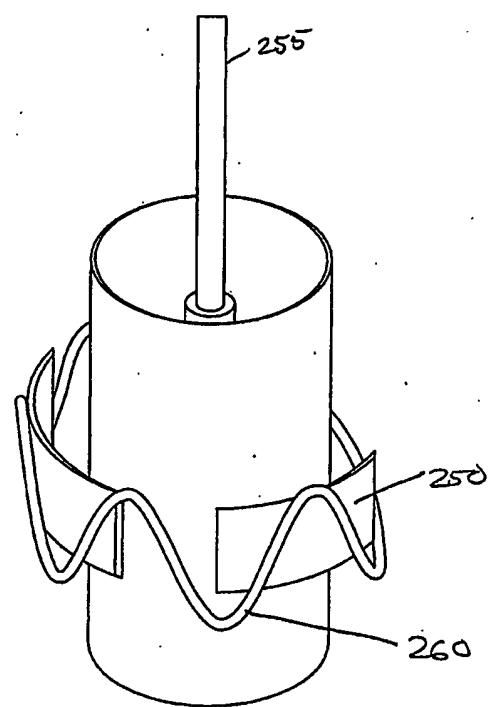


FIG. 37C



*FIG. 38A*



*FIG. 38B*

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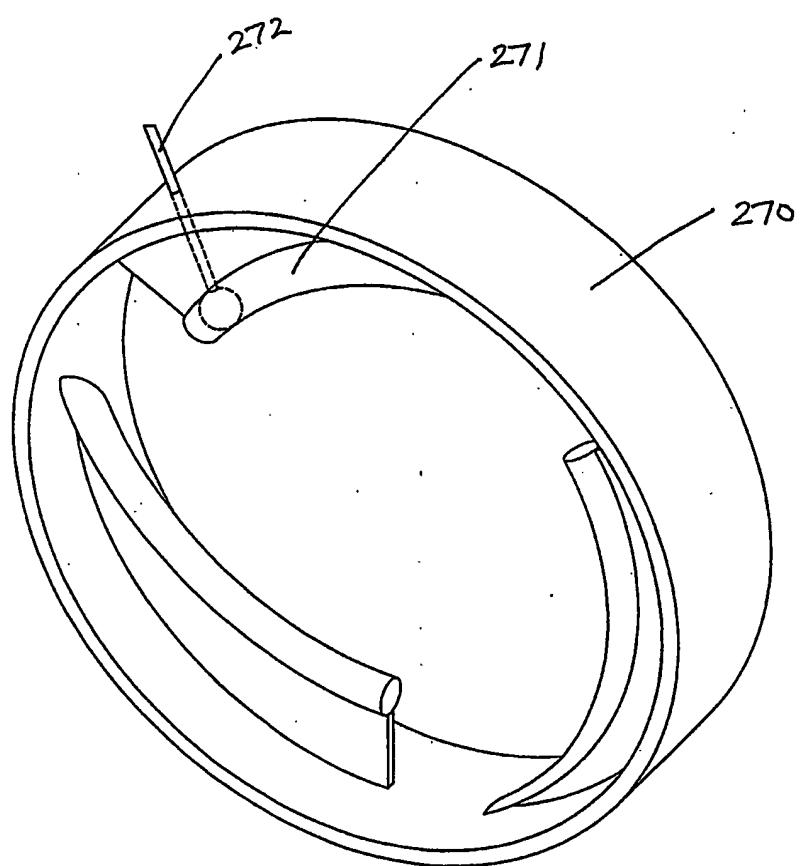


FIG. 39

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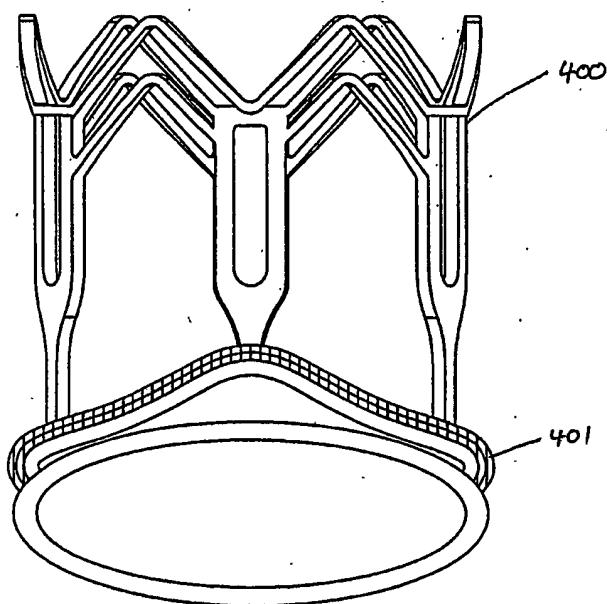


FIG. 40

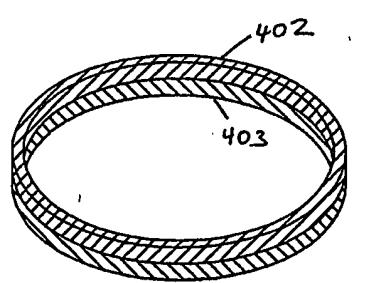


FIG. 41A

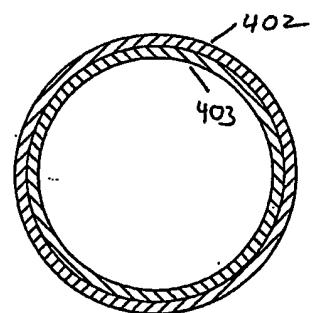


FIG. 41B

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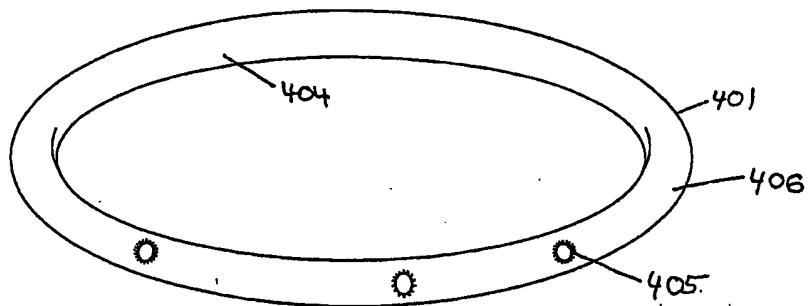


FIG. 42

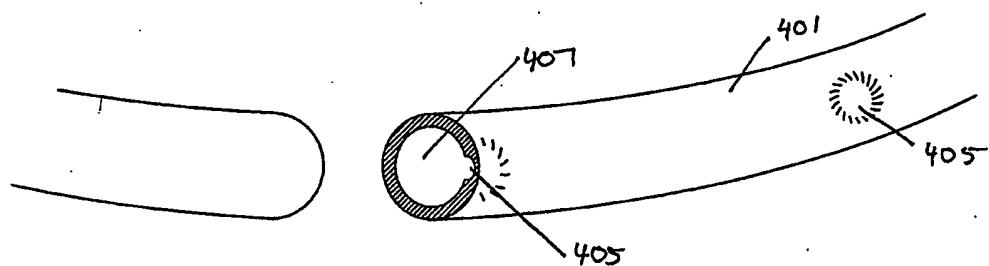


FIG. 43

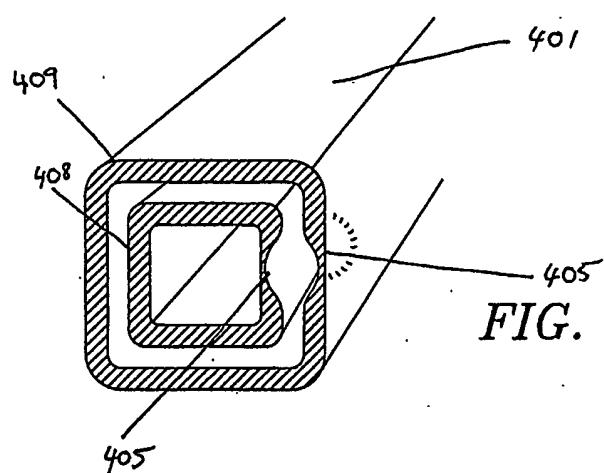


FIG. 44

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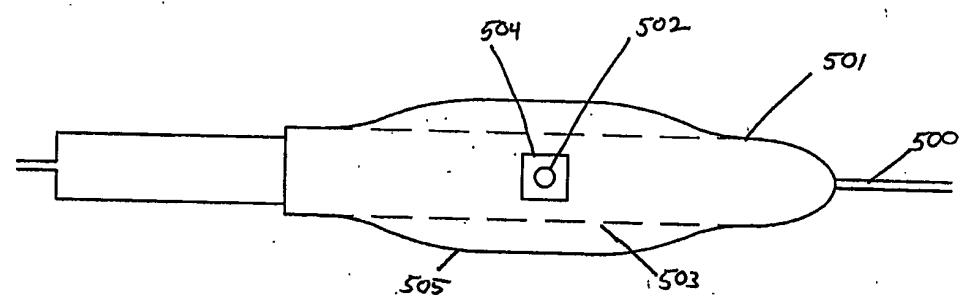


FIG. 45A

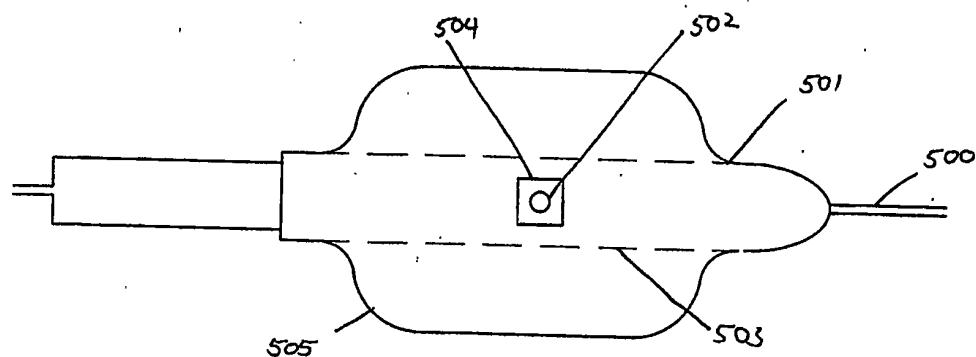


FIG. 45B

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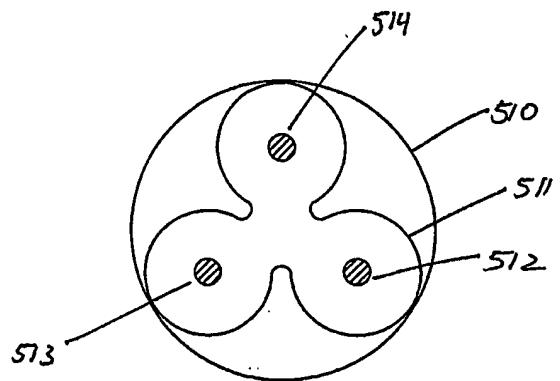


FIG. 46

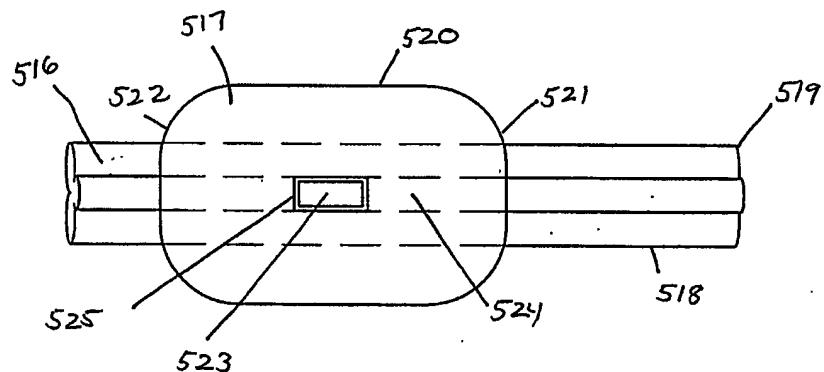


FIG. 47

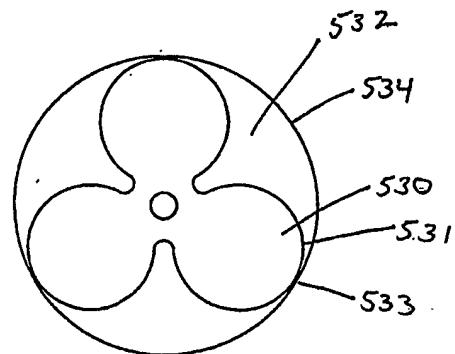


FIG. 48

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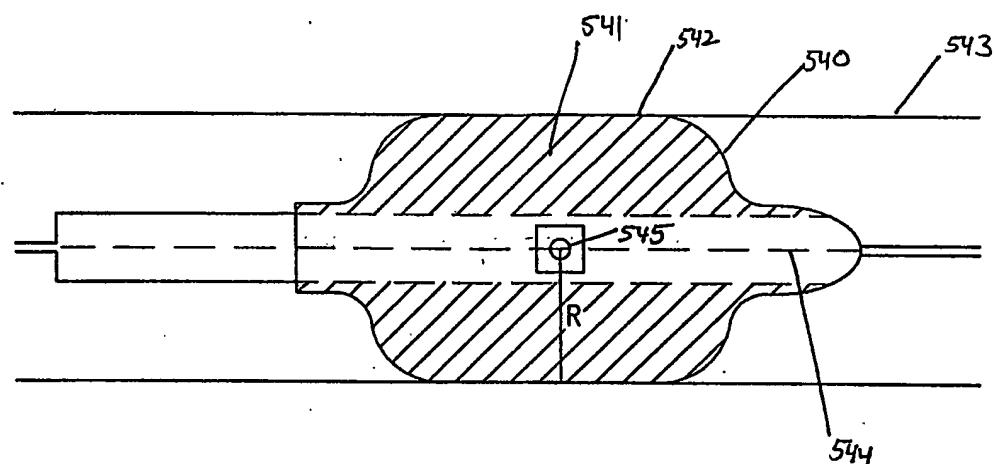


FIG. 49

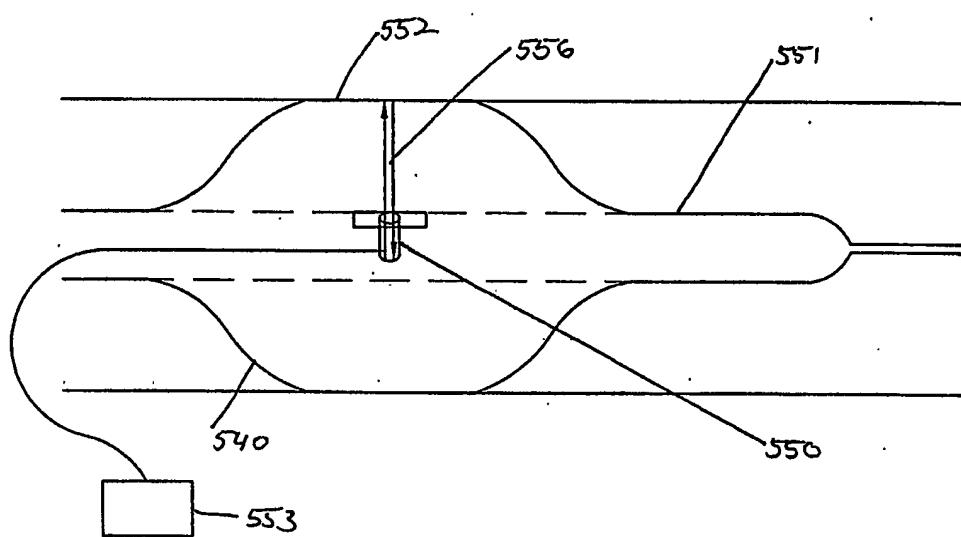


FIG. 50

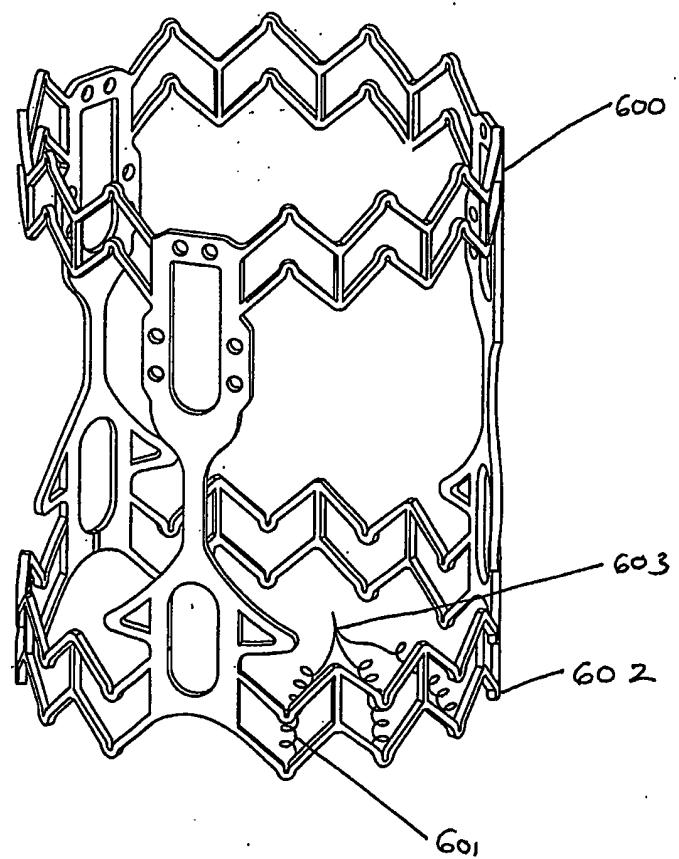


FIG. 51

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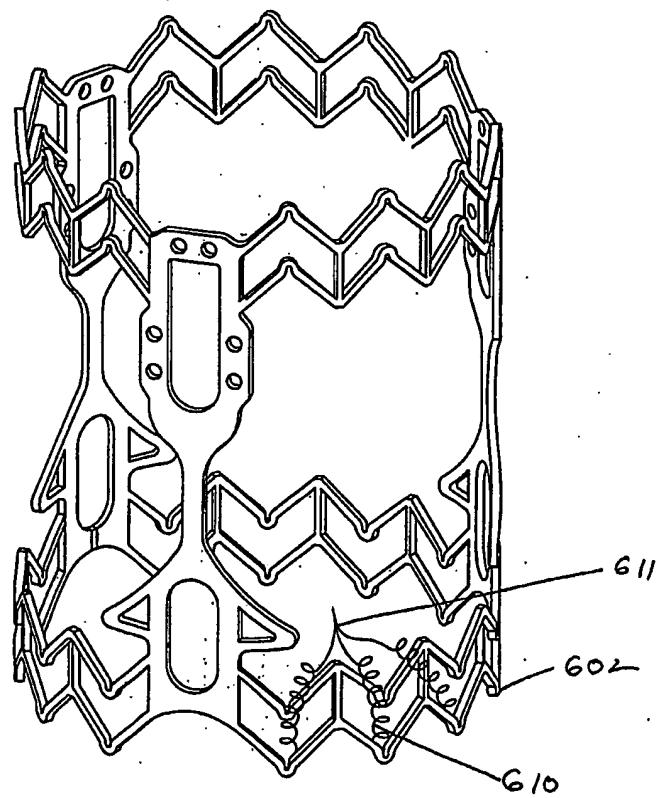


FIG. 52

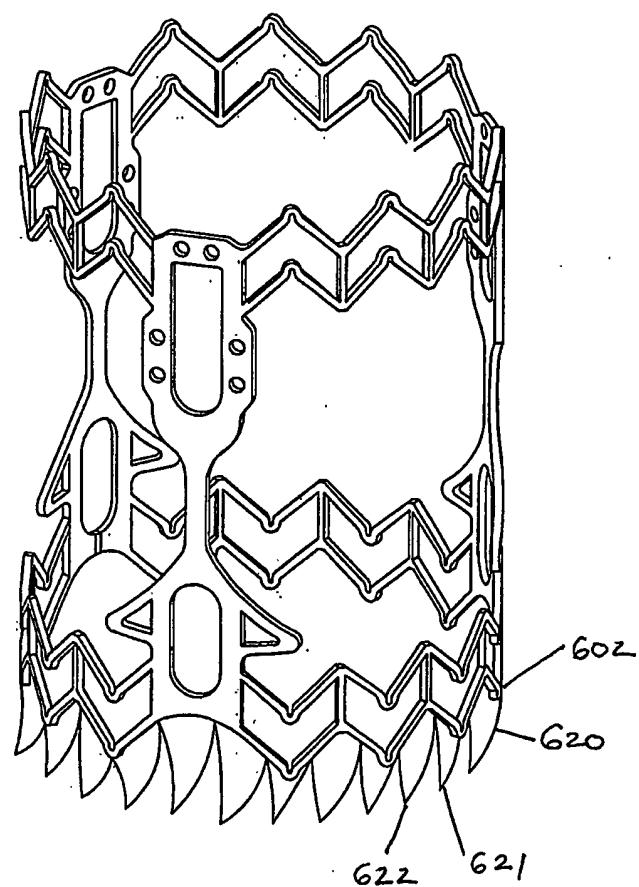


FIG. 53

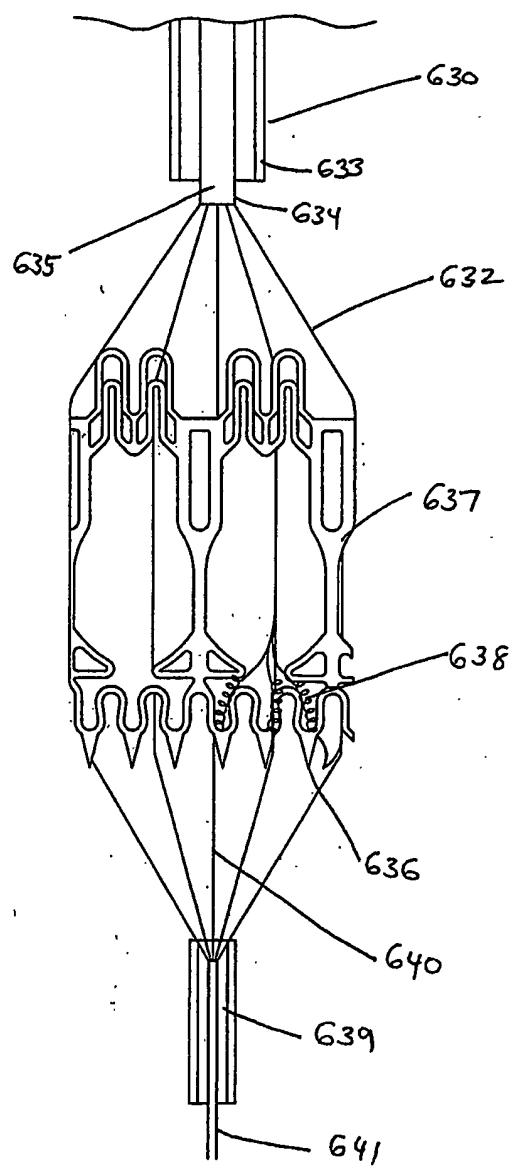


FIG. 54

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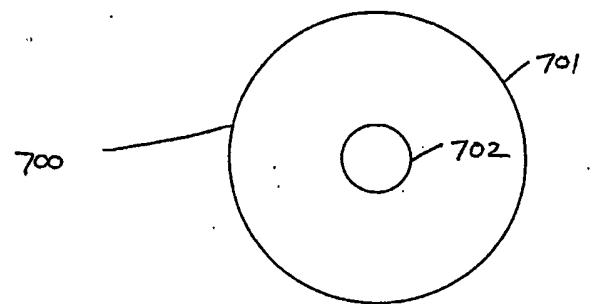


FIG. 55A

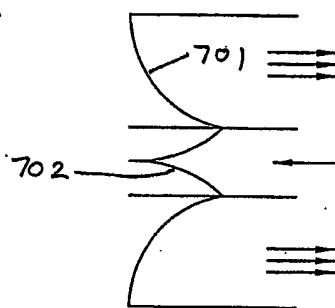


FIG. 55B

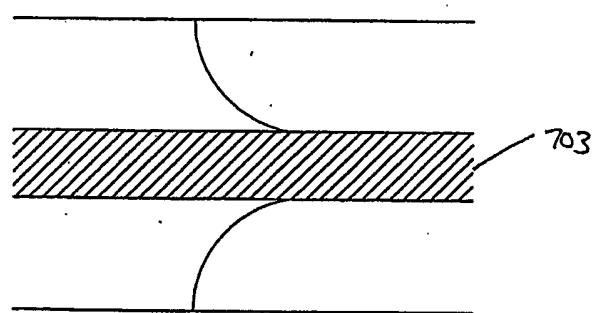


FIG. 55C

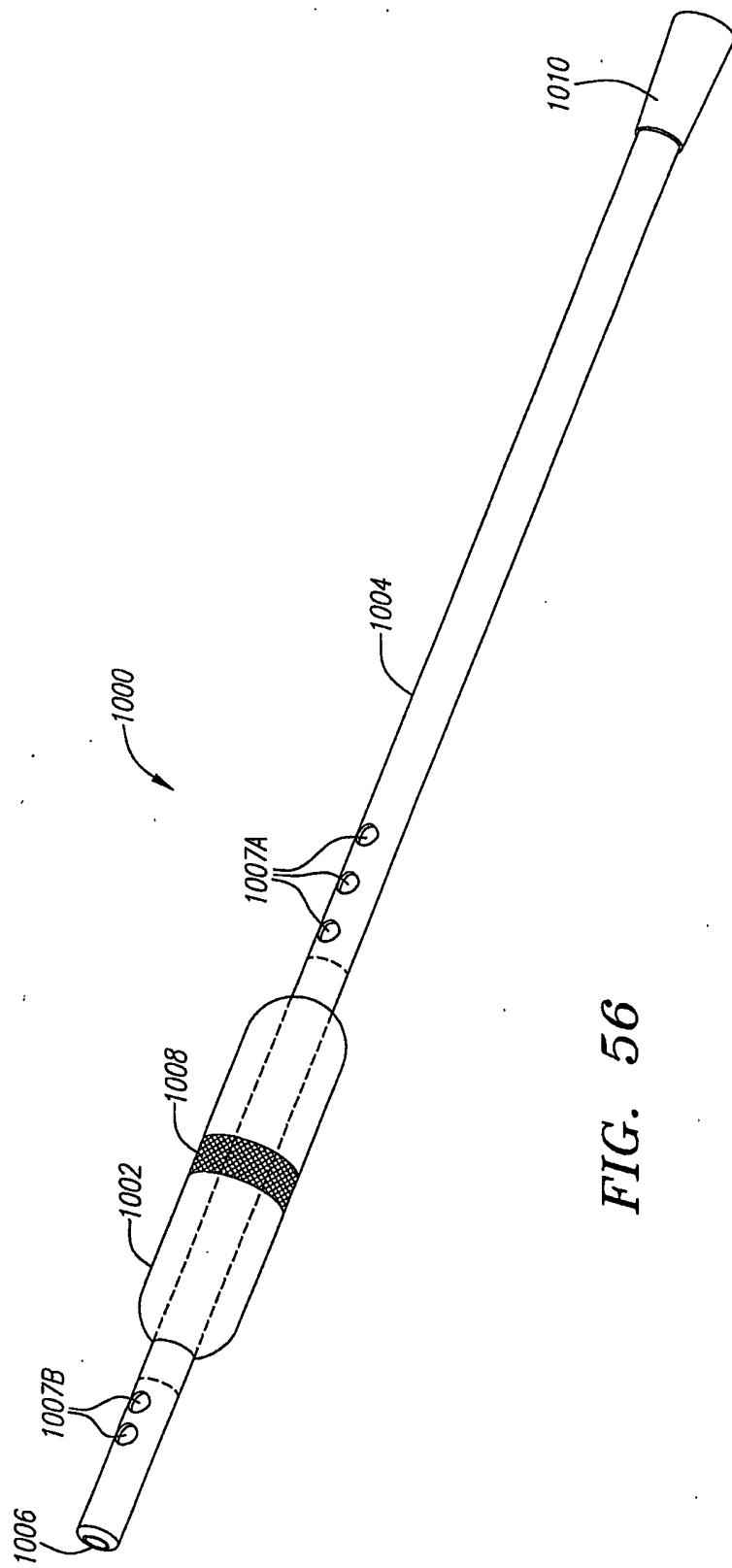


FIG. 56

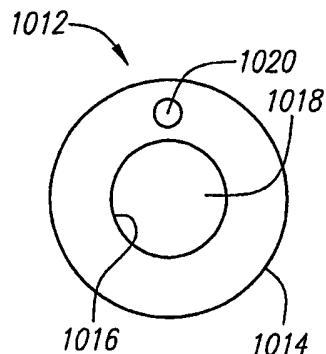


FIG. 57A

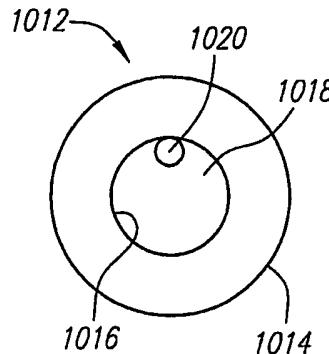


FIG. 57B

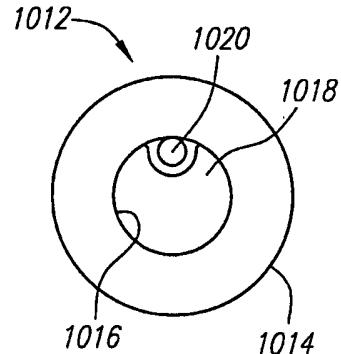


FIG. 57C

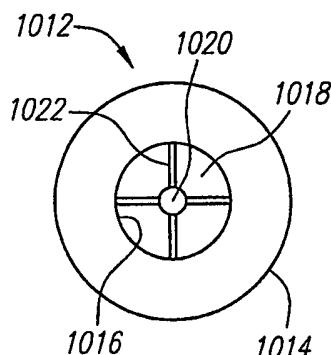


FIG. 57D

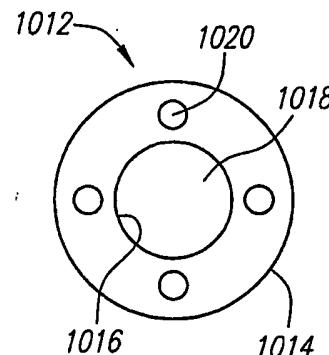


FIG. 57E

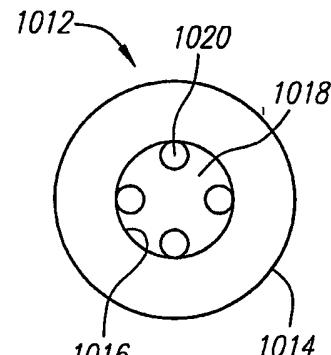


FIG. 57F

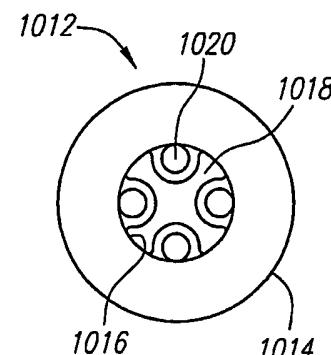


FIG. 57G

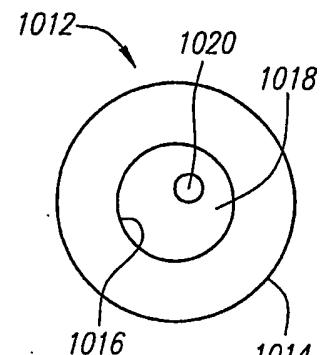


FIG. 57H

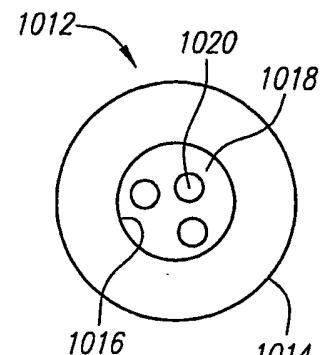
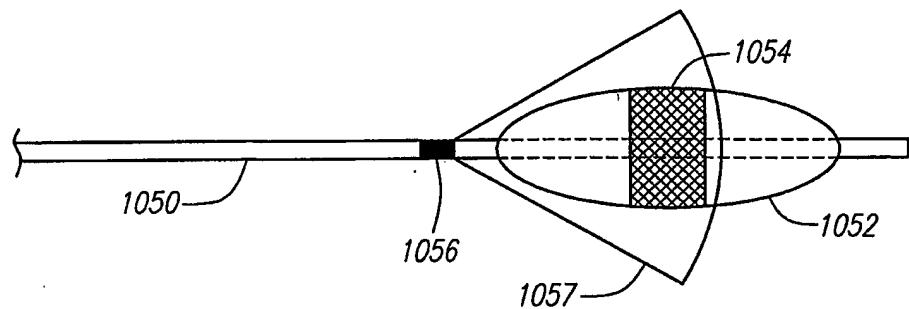
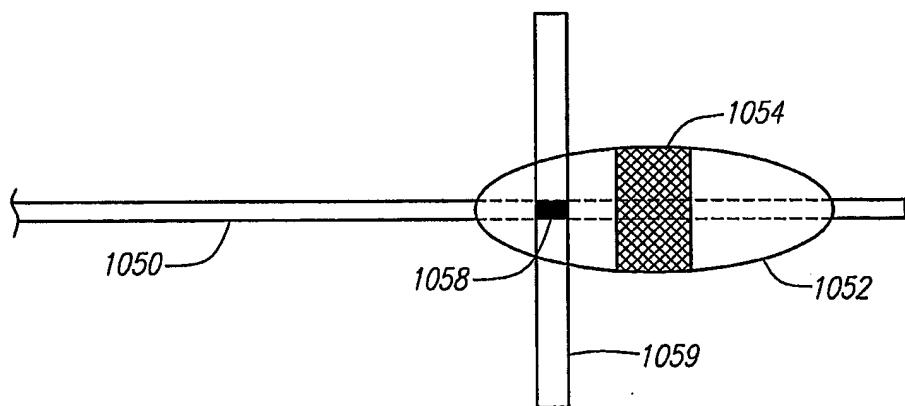


FIG. 57I

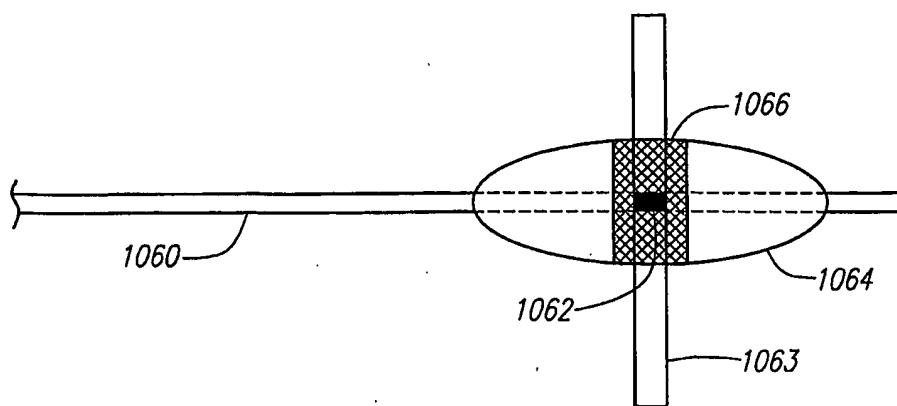


*FIG. 58A*

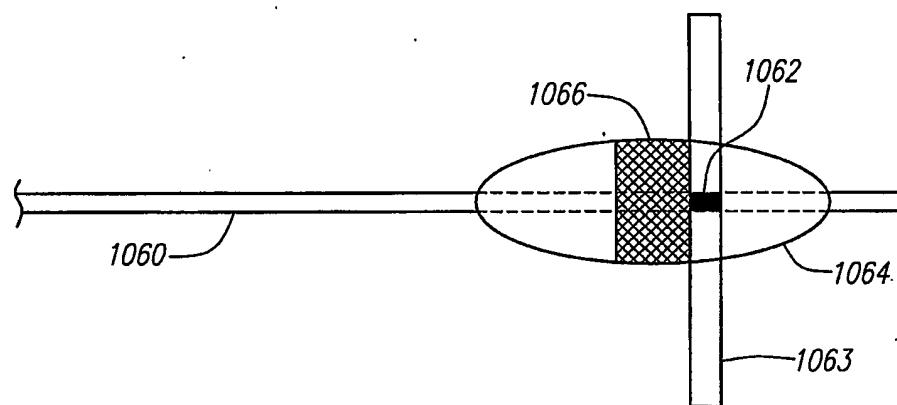


*FIG. 58B*

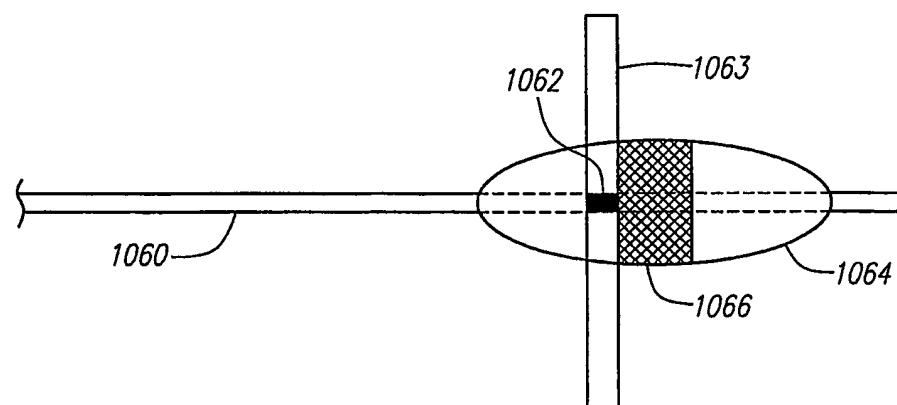
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*FIG. 59A*



*FIG. 59B*



*FIG. 59C*

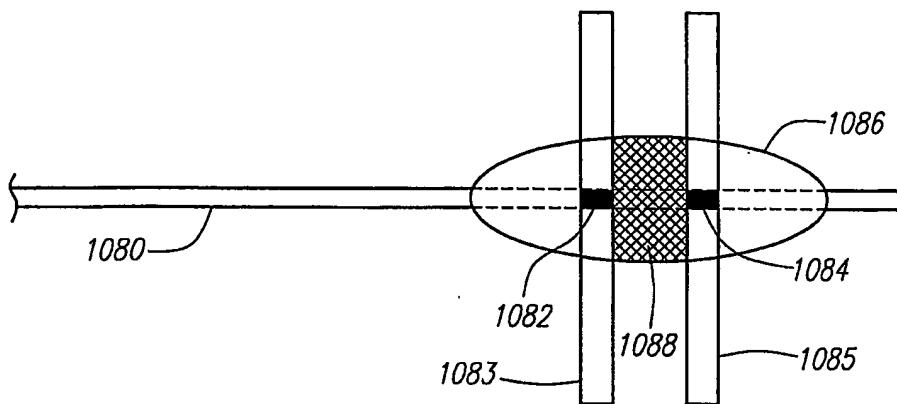


FIG. 60A

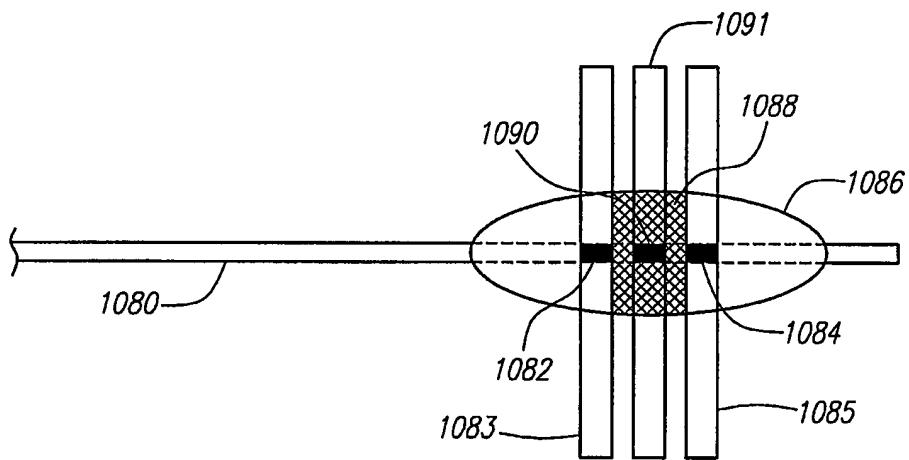


FIG. 60B

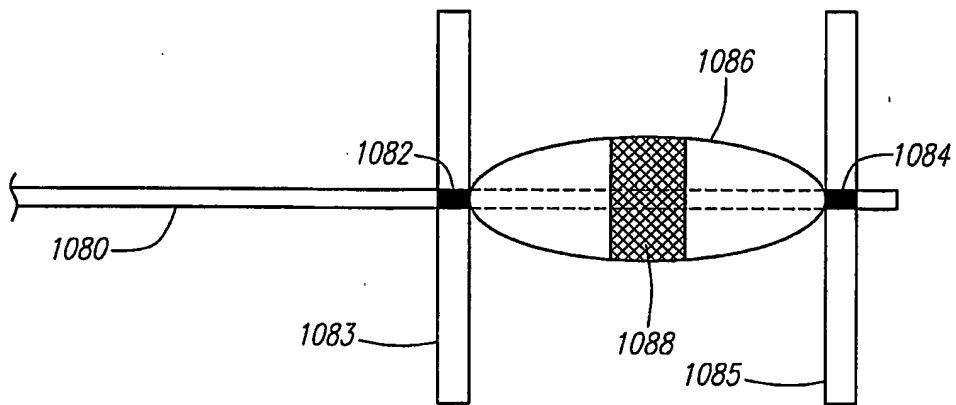


FIG. 60C

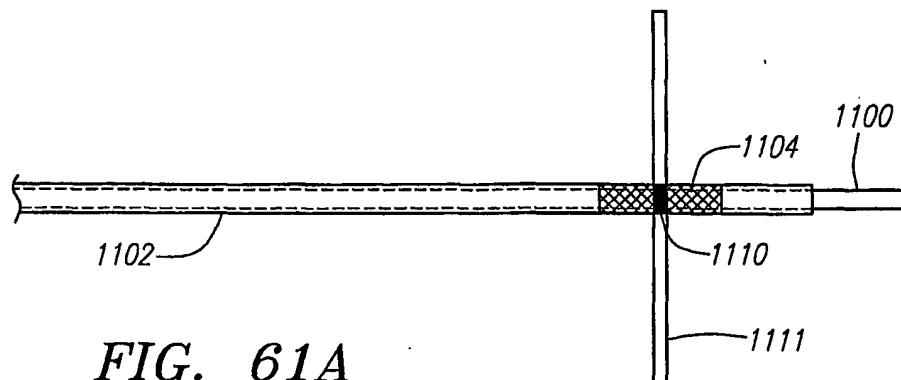


FIG. 61A

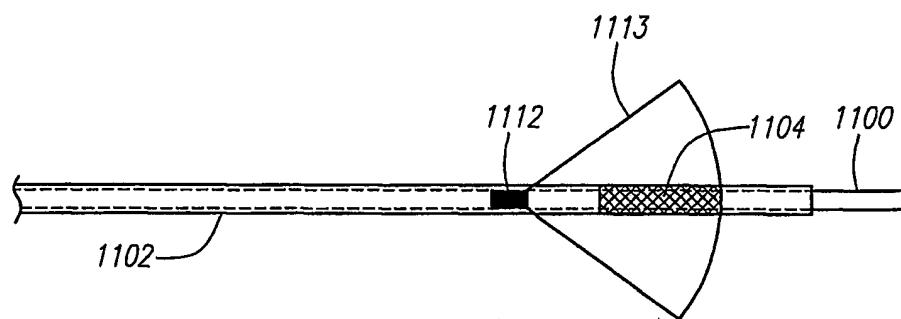


FIG. 61B

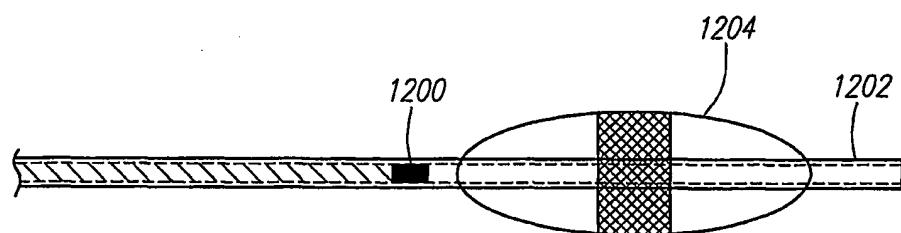


FIG. 62

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US04/33026

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(7) : A61F 2/24, 2/76  
US CL : 623/2.1, 2.11, 900

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
U.S. : 623/2.1, 2.11, 900, 1.24, 1.26, 2.12-2.41

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
Please See Continuation Sheet

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 6,494,909 B2 (GREENHALGH) 17 December 2002 (17.12.2002), see figures 9, 10, and specification.	1-3,5-6,18-23,25,27-28,30-31,33-37,39-41,51-52,65-67,69-73,78-80,82,85-87,89-90
X	US 6,425,916 B1 (GARRISON et al) 30 July 2002 (30.07.2002), see entire specification.	1-3,5-8,10-23,25,27-28,30-37,39,44-52,65-67,69-73,78-80,82,224-227,234-235,239,241,248-249
X	US 2003/0114913 A1 (SPENSER et al) 19 June 2003 (19.06.2003), see figures 1, 20-21, 24-25, 27, 28, 40, 42.	1-3,5-7,10-20,52,65-67,69-76,78-80,82,84
A	US 2002/0052651 A1 (MYERS et al) 02 May 2002 (02.05.2002), see figures 8, 9, 28, 29.	
A	US 6,454,799 B1 (SCHRECK) 24 September 2002 (24.09.2002), see figures 1-5.	



Further documents are listed in the continuation of Box C.



See patent family annex.

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- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier application or patent published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T"

later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

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document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

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Date of the actual completion of the international search

04 March 2005 (04.03.2005)

Date of mailing of the international search report

25 MAR 2005

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Commissioner for Patents  
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Alexandria, Virginia 22313-1450

Faxsimile No. (703) 305-3230

Authorized officer

Cheryl Miller

Telephone No. (571) 272-4755

**INTERNATIONAL SEARCH REPORT**

International application No.  
PCT/US04/33026

**Continuation of B. FIELDS SEARCHED Item 3:**

East database

search terms: adhesive, cut, cutting, ablation, probe, heart valve, transducer, window, slot, opening, ultrasound, balloon, catheter.

**INTERNATIONAL SEARCH REPORT**

International application No.  
PCT/US04/33026

**C. (Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 6,302,875 B1 (MAKOWER et al) 16 October 2001 (16.10.2001), see entire specification.	